

FOIA MARKER

This is not a textual record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

Collection/Record Group: Clinton Presidential Records

Subgroup/Office of Origin: Counsel Office

Series/Staff Member: Jon Yarowsky

Subseries:

OA/ID Number: 10977

FolderID:

Folder Title:

Hawaii District Court: Broder, Sherry (D. HI) [2]

Stack:

S

Row:

111

Section:

7

Shelf:

7

Position:

3

THE WHITE HOUSE
WASHINGTON

July 5, 1995

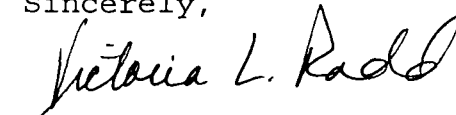
Dear Mr. Winer:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in dark ink, appearing to read "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent.

Victoria L. Radd
Associate Counsel to the President

Andrew S. Winer, Esquire
Jervis, Winer & Meheula
Pali Palms Plaza
970 North Kalahed Avenue
Suite A-300
Kailua, Hawaii 96734

110181CU

JERVIS WINER & MEHEULA

ATTORNEYS AT LAW

GERARD A. JERVIS
ANDREW S. WINER
WILLIAM MEHEULA

STEVEN H. ADEN
GLENN T. HONDA, JR.

PALI PALMS PLAZA
970 NORTH KALAHEO AVENUE
SUITE A-300
KAILUA, HAWAII 96734

TELEPHONE 254-5855
FAX 254-6872
& 254-2324

MARK L. WEBER, LAW CLERK

May 30, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D.C. 20005

**Re: Appointment of Sherry P. Broder to the United States District
Court for the District of Hawaii**

Dear President Clinton:

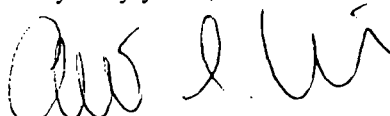
I am writing to endorse the appointment of Sherry P. Broder for the position of United States District Court for the District of Hawaii. Although I am aware of many excellent candidates for this opening, Ms. Broder's background and skills uniquely qualify to serve as a federal judge.

I have known Ms. Broder for many years, and I have had an opportunity to personally observe her abundant legal talents and leadership qualities. As a practicing attorney, I have worked with and against her in several cases, and she has demonstrated the highest degree of legal skill in these cases. In addition, Ms. Broder has successfully handled several high profile cases including the recent torture cases involving Ferdinand Marcos and an earlier case involving heptachlor contamination in milk.

In addition to her litigation skills, Ms. Broder has also demonstrated her leadership abilities with her commitment to public service. Ms. Broder was the first woman president of the Hawaii State Bar Association, and I had the fortune to serve as the president of the Young Lawyers Division of the Hawaii State Bar Association during her term. As a result of Ms. Broder's bar leadership, the Hawaii Supreme Court adopted a rule prohibiting membership in private clubs that banned women from membership.

In summary, Ms. Broder's background and commitment to public service make her an ideal choice to serve as a federal judge. I ask for your favorable consideration of her appointment.

Very truly yours,


Andrew S. Winer

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence Received (YY/MM/DD) 95/06/16Name of Correspondent: ANDREW S. WINER☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERRY BRODER FOR USDC, DIST. OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Code	Completion Date YY/MM/DD
<u>W MIKVA</u>	ORIGINATOR	<u>95/06/16</u>	<u>JS</u>	<u>C</u>	<u>95/06/16</u>
<u>W AT 19</u>	Referral Note: <u>A</u>	<u>95/06/20</u>	<u>JS</u>		<u>1 1</u>
	Referral Note:				<u>1 1</u>
	Referral Note:				<u>1 1</u>
	Referral Note:				<u>1 1</u>
	Referral Note:				<u>1 1</u>

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: SC

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB).

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

THE WHITE HOUSE

WASHINGTON

July 5, 1995

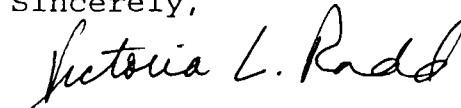
Dear Senator Taniguchi:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

The Honorable Brian T. Taniguchi
Hawaii State Legislature
State Capitol
Honolulu, Hawaii 96813



HAWAII STATE LEGISLATURE
STATE CAPITOL
HONOLULU, HAWAII 96813

May 26, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D.C. 20005

Dear President Clinton:

I am writing to strongly support the appointment of Sherry P. Broder for the position of United States District Judge, District of Hawaii. I believe she will make a significant contribution to the Federal bench.

I have known Ms. Broder for 18 or so years. During this time, I have worked with her in various capacities. Most significant among these would be when I assisted her on a class action suit related to the use of heptachlor on pineapple chop. We also shared law offices for a few years and I have, thus, been able to observe her administrative and lawyering skills on a day-to-day basis.

In all my dealings with her, I have found Ms. Broder to be honest, hard-working and intelligent. She has a great degree of compassion and has made many personal sacrifices to further the cause of justice here in Hawaii.

As an elected official, I deal with hundreds of different people every year. There are very few who I would consider as worthy and qualified to serve as a member of the Federal District Court. Sherry Broder is one of these very few. I urge you to consider her appointment.

Thank you for allowing me to share my thoughts. If I can be of further assistance, please do not hesitate to contact me.

Sincerely,

Brian T. Taniguchi
Hawaii State Senator
11th District

INTERGOVERNMENTAL AFFAIRS CORRESPONDENCE TRACKING SHEET

Date received in IGA 6/15/95

Correspondence referred to: MH JE JH LA BD

EB

I. Presidential Response Needed -- Forward to Emily Curtis Rm 93

☐ Brief Acknowledgement
☐ Policy Response

Check if applicable:

Personal signature requested _____

Return to IGA for final approval: MH JE JH LA

Approval needed by other staff or office: _____

Background information to assist the writer drafting response:

II. Response from Marcia Hale -- Forward to Anna Winderbaum

III. Scheduling Request -- Forward to Bill Daley, rm. 110

☐ regret ☐ pending ☐ prepare scheduling proposal

IV. Personnel Recommendation -- Forward to Ana Duque, Office of Presidential Personnel, rm. 135

V. Request for a Presidential Message (letter of greetings for an event) or Proclamation -- Forward to Carmen Fowler, rm 91

THE WHITE HOUSE

WASHINGTON

July 5, 1995

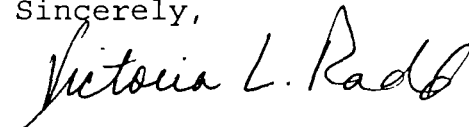
Dear Ms. Ritchey:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink that reads "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

Ms. Denise M. Ritchey
99-1782 Hoapono Place
Aiea, Hawaii 96701

24
C. Wright
M. H.

116190cu

Denise M. Ritchey
99-1782 Hoapono Place
Aiea, Hawaii 96701
Telephone No.: (808) 487-7406
(808) 531-1411

May 24, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear President Clinton:

I am writing to you to give the highest recommendation possible to Sherry P. Broder for the position of United States District Court Judge for the District of Hawaii.

I have worked for the Law Offices of Sherry P. Broder for ten years and I believe that the length of my employment alone reflects very favorably upon Ms. Broder as an employer and attorney. Ms. Broder is a very intelligent, hard-working, fair, and honest person. I believe one of her most valuable personal attributes is her ability and willingness to openly listen and take into consideration what others have to say. Working for Ms. Broder has been a good learning experience. She sets a good example for others to follow. She fosters an opportunity for growth through challenging work assignments and the freedom to work independently. Significantly, she is always very appreciative of her employees' efforts. In addition, under the daily stress and demands of the practice of law, Ms. Broder continues to be a pleasure to work with and is willing to work together with the staff to perform whatever tasks are necessary to get the job done.

Ms. Broder is an excellent attorney and well respected within the legal community. Ms. Broder has consistently demonstrated outstanding skills as an attorney. I have always been impressed with her knowledge of the law and zealous advocacy for our clients. She is a highly motivated individual who does not waiver in the face of opposition, yet is willing to compromise in the best interests of the client. I have always admired her ability to be tactfully straightforward with clients and others. In addition, I have often marvelled at her ability to competently and effectively produce under short time constraints. Ms. Broder

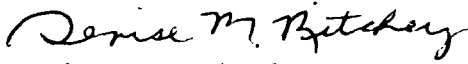
President William J. Clinton
May 24, 1995
Page Two

is excellent at settling cases, as well as mediating. In her capacity as an arbitrator I have readily observed that Ms. Broder always conducts herself in an impartial and diligent manner, carefully weighing all of the evidence.

I highly respect Sherry P. Broder not only as an employer and attorney, but as a person and a friend. She is very personable and considerate of others. Ms. Broder is always very supportive, understanding, and encouraging. She is a compassionate and caring person who has meaningfully touched the lives of many and has a lot to offer the community at large.

Most importantly, Ms. Broder has the utmost integrity and moral courage. I have always known her to adhere to the highest ethical standards. Ms. Broder has a great respect for the judiciary and has continually contributed to the improvement of legal services and further promoting justice through her commitment and involvement in volunteer activities within the community. Ms. Broder has an excellent reputation in the community and has distinguished herself as an exemplary leader, and I am very proud to work with such a well respected member of our community. From personally working with Ms. Broder, I know that she will be a fair, honest and hard working judge. I truly believe that with her experience and personal qualities, Ms. Broder will best serve not only the judiciary but the people of Hawaii.

Sincerely,


Denise M. Ritchey
Paralegal

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence Received (YY/MM/DD) 95/06/16Name of Correspondent: DENISE M. RITCHEY☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHEKRY BRODER FOR USDC; DIST. OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Completion Date YY/MM/DD
<u>W MIKVA</u>	ORIGINATOR	<u>95/06/16</u>	<u>WSS</u>	<u>C 95/06/16</u>
<u>W AT 19</u>	Referral Note: <u>A</u>	<u>95/06/20</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: _____

SCANNED

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB).

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

THE WHITE HOUSE

WASHINGTON

July 5, 1995

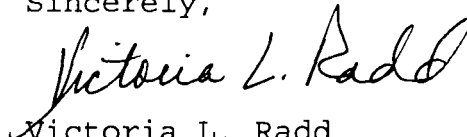
Dear Ms. Lau:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

Ms. Corianne W. Lau
2515 Dole Street
#203
Honolulu, Hawaii 96822

R-229
Legal Counsel

Corianne W. Lau
2515 Dole Street, #203
Honolulu, HI 96822

116212CU

May 31, 1995

The Honorable William J. Clinton
President, United States of America
1600 Pennsylvania Avenue
Washington, D.C. 20005

Re: Sherry P. Broder for Judge, U.S.D.C., District of Hawaii

Dear President Clinton:

I am writing in support of Sherry Broder's candidacy for judge of the United States District Court for the District of Hawaii. I am the Executive Director of the Hawaii Institute for Continuing Legal Education, and a past president of Hawaii Women Lawyers. However, I am writing this as an individual and a personal friend of Ms. Broder. I have known Ms. Broder for over twelve years. During that time, I have had ample opportunity to observe her on a professional level, as a volunteer leader of numerous professional and community organizations and as a personal friend.

Ms. Broder's commitment to excellence in the practice of law is evident not only in the successful resolution of standard personal injury and commercial cases, but in her selection of difficult cases that directly affect the public interest. Her untiring dedication to the children of Hawaii led her to push for a just resolution of a large class action case involving Heptachlor-tainted milk. Her belief in universal human rights caused her to pursue justice for torture victims of Ferdinand Marcos' brutal regime in the Philippines.

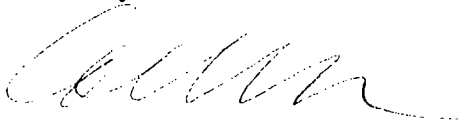
As the first female president of the Hawaii State Bar Association, Sherry Broder paved the way for other women attorneys to become leaders in bar activities and tackled difficult issues facing the profession. She continues to serve as a role model for other women lawyers.

Ms. Broder possesses all the qualities that would render her an outstanding federal court jurist. She is judicious, fair, non-political, compassionate, incorruptible, has distinguished herself as a legal scholar, and enjoys the ideal judicial temperament.

On a personal level, Sherry never ceases to amaze me with her ability to remain accessible and unpretentious despite her numerous accomplishments and honors. I am extremely honored to consider her a friend and a mentor.

I urge you to appoint Sherry Broder to the position of judge of the United States District Court for the District of Hawaii.

Sincerely,



Corianne W. Lau

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence Received (YY/MM/DD) 95/06/16Name of Correspondent: CORIANNE LAU☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERREY BRODER FOR USDC; DIST. OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Code	Completion Date YY/MM/DD
W MKVA	ORIGINATOR	95/06/16	S S S S S	C	95/06/16
W AT 19	Referral Note: A	950620			
	Referral Note:				

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: SCA

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB).

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

THE WHITE HOUSE

WASHINGTON

July 5, 1995

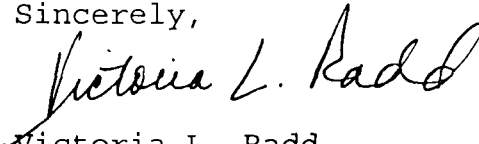
Dear Mr. Kaulukukui:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in dark ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

Mr. Thomas Kaulukukui
45-128 Alixa Place
Kaneohe, Hawaii 96744

2 2106
116203cu
PRESIDENT WILLIAM J. CLINTON
UNITED STATES WHITE HOUSE
1600 PENNSYLVANIA AVENUE
WASHINGTON, D.C. --20005

DEAR MR. PRESIDENT:

THIS LETTER IS BEING WRITTEN IN SUPPORT OF SHIRLEY BRODER FOR THE VACANT UNITED STATES FEDERAL DISTRICT COURT JUDGESHIP. I HAVE KNOWN HER FROM THE FIRST DAY I TOOK OFFICE AS A TRUSTEE FOR THE OFFICE OF HAWAIIAN AFFAIRS IN 1980. SHIRLEY SERVED THE OFFICE AS LEGAL COUNSEL AND HAS CONTINUED TO SERVE IN THAT CAPACITY UP TO THE PRESENT. SHE WAS INSTRUMENTAL IN THE FORMATION OF THE OFFICE OF HAWAIIAN AFFAIRS IN THE CONSTITUTIONAL CONVENTION OF 1978 WHEN SHE WAS ASSIGNED TO THE COMMITTEE ON HAWAIIAN AFFAIRS.

HER PROFESSIONAL ACTIVITIES AS AN ATTORNEY ARE NUMEROUS. SHE IS THE FIRST WOMAN ATTORNEY TO BE ELECTED PRESIDENT OF THE HAWAII STATE BAR ASSOCIATION IN 1993 AND VICE PRESIDENT IN 1992; PRESIDENT OF HAWAII WOMEN'S LAWYERS, HEARING OFFICER FOR THE OFFICE OF DISCIPLINARY COUNSEL FROM 1989 TO THE PRESENT.

SOME OF HER HONORS ARE: NOMINATED TRIAL LAWYER OF THE YEAR, OUTSTANDING WOMAN LAWYER AWARD, RESOLUTION RECOGNIZING ACHIEVEMENT FROM HAWAII SENATE, HOUSE OF REPRESENTATIVE, CITY COUNCIL AND CITY AND COUNTY OF HONOLULU. SHE HAS CO-AUTHORED A NUMBER OF PUBLICATIONS: OCEAN BOUNDARIES IN THE SOUTH PACIFIC, THE LEGAL VIEW, OCEAN LEASING FOR HAWAII, EQUAL RIGHTS AMENDMENT FOR WOMEN IN THE CRIMINAL JUSTICE SYSTEM.

PERHAPS HER MOST OUTSTANDING ACHIEVEMENT AS AN ATTORNEY IS BEING PART OF A TEAM OF ATTORNEYS TO WIN A SUIT AGAINST THE REGIME OF LATE PHILIPPINE PRESIDENT FERDINAND MARCOS FOR THE VICTIMS OF TORTURE. A U.S. DISTRICT COURT AWARDED \$766.4 MILLION FOR THE 9,070 PLAINTIFFS IN THE CLASS ACTION SUIT. BRODER WON A \$4 MILLION SETTLEMENT FROM MEADOW GOLD AND FOREMOST DAIRIES IN HAWAII IN A CLASS ACTION SUIT ON BEHALF OF 850,000 CAHU CONSUMERS EXPOSED TO DANGEROUS LEVELS OF PESTICIDE HEPTACHLOR IN MILK IN 1981 AND 1982. ABOUT 3 MILLION OF THAT WAS USED TO ESTABLISH A NON-PROFIT FOUNDATION TO FINANCE SCIENTIFIC TESTING OF HEPTACHLOR'S EFFECT ON HUMAN TISSUES. THERE IS MORE TO HER ACHIEVEMENTS AS AN ATTORNEY AND OTHER NOTEWORTHY CONTRIBUTIONS TO HAWAII.

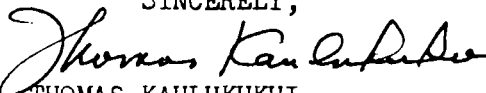
ON A PERSONAL NOTE, I WAS A U.S. MARSHAL FOR THE STATE OF HAWAII FOR TWO FOUR YEAR TERMS APPOINTED BY THE LATE PRESIDENT RICHARD NIXON AND AM FAMILIAR WITH THE WORK OF THE FEDERAL JUDGE. I HAVE BEEN A MEMBER OF THE BOARD OF TRUSTEES OF THE OFFICE OF HAWAIIAN AFFAIRS FROM IT'S INCEPTION UNTIL I RETIRED AFTER 12 YEARS. I HAVE BEEN VICE-CHAIR AND CHAIRMAN OF THE BOARD AND HAVE WORKED CLOSELY WITH SHIRLEY BRODER, WHO WAS AND STILL IS THE LEGAL COUNSEL FOR THE ORGANIZATION.

I AM PRESENTLY CHAIRMAN OF THE CHARLES HEMENWAY SCHOLARSHIP FOUNDATION AT THE UNIVERSITY OF HAWAII, A POSITION I HAVE HELD FOR OVER 20 YEARS. I HAVE BEEN A MEMBER OF THAT FOUNDATION FOR OVER 40 YEARS. ALTHOUGH RETIRED FROM ACTIVE PARTICIPATION IN COMMUNITY AFFAIRS, I AM STILL INTERESTED IN THE WORKINGS OF OUR STATE AND FEDERAL GOVERNMENT AND IT'S EFFECTIVENESS FOR THE BENEFIT OF THE PEOPLE.

WHEN SHIRLEY BRODER WAS INTERVIEWED BY ONE OF OUR LOCAL NEWSPAPERS, SHE WAS ASKED THE QUESTION: "IF YOU COULD BE SOMEONE ELSE FOR A DAY, WHO WOULD THAT PERSON BE? HER ANSWER: "IF I COULD BE SOMEONE ELSE FOR A DAY, IT WOULD BE FUN TO BE HILLARY CLINTON." YOUR WIFE HILLARY WAS ONE YEAR AHEAD OF SHIRLEY AT WELLESLEY COLLEGE. BY THE WAY, SHIRLEY IS ONE OF YOUR STAUNCH SUPPORTERS.

YOUR SUPPORT OF SHIRLEY BRODER WOULD BE GREATLY APPRECIATED AND I AM SURE SHE WILL SERVE THE OFFICE WELL.

SINCERELY,


THOMAS KAULUKUKUI

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence Received (YY/MM/DD) 95/06/16Name of Correspondent: THOMAS KAULUKUKUI☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERY BRODER FOR USDC; DIST. OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Completion Date YY/MM/DD
W MIKVA	ORIGINATOR	95/06/16	MS	C 95/06/16
W AT 19	A	95/06/20	MS	/ /
	Referral Note:			/ /
	Referral Note:			/ /
	Referral Note:			/ /
	Referral Note:			/ /

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: _____

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB).

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

THE WHITE HOUSE

WASHINGTON

June 26, 1995

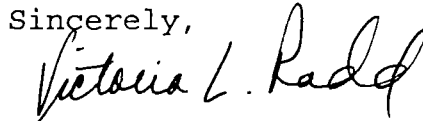
Dear Mr. Knox and Ms. Evans:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in dark ink, appearing to read "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent.

Victoria L. Radd
Associate Counsel to the President

Dr. John M. Knox, and
Ms. Mary Alice Evans
41-858 Laumilo Street
Waimanalo, Hawaii 96795-1647

115184cu
6

JOHN M. KNOX & MARY ALICE EVANS

May 23, 1995

The Honorable Bill Clinton
Office of the President
The White House
Washington, D.C.

Dear President Clinton:

We are John M. Knox (husband) and Mary Alice Evans (wife), writing you in support of the appointment of Sherry P. Broder to the position of U.S. District Judge for the District of Hawaii

John is a socio-economic research consultant who has been affiliated with both SMS Research and his own company, Community Resources, Inc. (recently sold to SMS); he has been active in both Waimanalo community affairs and Hawaii professional associations. Mary Alice is a planner in the Office of State Planning, Office of the Governor, and a longtime state Democratic Party member. She is expressing her personal views in this letter.

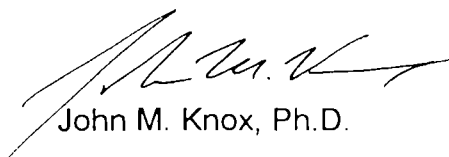
We have known Sherry Broder 16 years, since 1979. Sherry is a personal friend, family attorney, former neighbor, and occasional colleague on planning issues related to native Hawaiians.

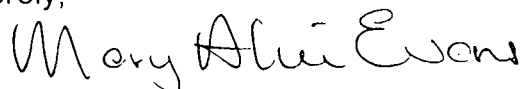
The local community is well aware of Sherry's professional activities as recent president of the Hawaii State Bar Association, attorney for the Office of Hawaiian Affairs, and lead local attorney in the historic lawsuit filed by torture victims of the Marcos regime in the Philippines. Through this and other work on behalf of disadvantaged or injured parties, Sherry has demonstrated a strong commitment to advocating the ideals embodied in the U.S. Constitution.

Sherry is one of the brightest people we know in Hawaii. She is a graduate of Wellesley and of Boalt Law School at the University of California - Berkeley. From both our professional and personal dealings with her, we can assure you that Sherry's character and ethics are above reproach. She is well known in this community as a strong advocate for her clients and causes, but we also know her as a wise, mature, and highly fair individual, possessing the needed mix of tact and firmness which is essential in a judge.

As a mother of three children, Sherry also brings a strong personal commitment to legal issues such as defending families and shielding children from drug abuse. We believe she would serve the people of Hawaii with distinction.

Sincerely,


John M. Knox, Ph.D.


Mary Alice Evans

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☐ I - INCOMINGDate Correspondence
Received (YY/MM/DD) 95/06/08Name of Correspondent: JOHN M. KNOX & MARY ALICE EVANS☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERRY P. BRODER FOR USDC; DISTRICT OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Code	Completion Date YY/MM/DD
CU AT MIKVA	ORIGINATOR	95 06 08		C	95 06 08
CU AT 19	Referral Note: A	95 06 13			
	Referral Note:				
	Referral Note:				
	Referral Note:				
	Referral Note:				

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: _____

Keep this worksheet attached to the original incoming letter.
Send all routing updates to Central Reference (Room 75, OEOB).
Always return completed correspondence record to Central Files.
Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

SCANNED

THE WHITE HOUSE

WASHINGTON

July 5, 1995

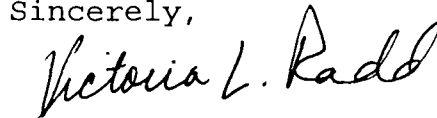
Dear Ms. Kamali'i:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink that reads "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent.

Victoria L. Radd
Associate Counsel to the President

Ms. Kina'u Boyd Kamali'i
Chairperson
Committee on Land and Sovereignty
711 Kapi'olani Boulevard
Suite 500
Honolulu, Hawaii 96813



Office of Hawaiian Affairs

COMMITTEE ON LAND AND SOVEREIGNTY

Kina'u Boyd Kamali'i*Chairperson***Moanike'ala Akaka***Vice Chairperson**Members***Rowena N. Akana****Billie Beamer****Samuel L. Kealoha, Jr.****Tuesday, May 30, 1995****The Honorable William J. Clinton****President of the United States****1600 Pennsylvania Avenue****Washington, D.C. 20005****Aloha President Clinton:**

This is to strongly urge your consideration of
Ms. Sherry P. Broder for the position of U.S. District Judge,
District of Hawaii. I believe the appointment of
Ms. Sherry P. Broder will add great judicial strength and
breadth to the U.S. District Court, District of Hawaii by
virtue of her legal skills as an attorney concentrating on civil
litigation, personal injury, products liability and class actions.

Ms. Sherry P. Broder is a graduate with a Bachelor's
Degree from Wellesley College, and Legal Degree from Boalt
Hall, University of California, Berkeley California, J.D.
Order of Coif (Top 10%).

She was admitted to the Hawaii State Bar in 1976 and
was the first woman to be elected President, Hawaii State Bar
Association, 1993 and serves as Council to the Office of
Hawaiian Affairs, Board of Trustees. Her knowledge of
Native Hawaiian Rights and sensitivity regarding Hawaiian
issues is deeply appreciated by the Hawaiian people.

I am extremely proud of Sherry and I know that she will serve with honor and distinction and who has unquestioned integrity and dedication to Justice.

Me Ke Aloha,

A handwritten signature in black ink that reads "Kina'u Boyd Kamali'i". The script is cursive and fluid, with the first name "Kina'u" and last name "Kamali'i" clearly legible, and "Boyd" in the middle.

Kina'u Boyd Kamali'i,
Trustee-at-Large
Chairperson, Committee on Land & Sovereignty

KBK:mk

ENCLOSURE: RESUME

SHERRY P. BRODER

Grosvenor Canter, Suite 1800
733 Bishop Street
Honolulu, Hawaii 96813
Telephone: (808) 531-1411
Facsimile: (808) 531-8411

EDUCATION

1975 Legal: J.D. Order of the Coif (top 10%)
Boalt Hall, University of California
Berkeley, California

1970 Undergraduate: B.A. Wellesley Scholar
Wellesley College
Wellesley, Massachusetts

BAR MEMBERSHIP

Hawaii State Bar - admitted 1976
California State Bar - admitted 1976

PROFESSIONAL
EMPLOYMENT HISTORY

1979-present Attorney, Law Offices of Sherry P.
Broder, concentrating in civil
litigation, personal injury, products
liability, and class actions

 Arbitrator, Hawaii Court Annexed
Arbitration Program

 Arbitrator, Expedited Claims Process,
Securities & Exchange Commission v.
Prudential Securities

 Hearings Officer, Office of Disciplinary
Counsel, State of Hawaii

1979 & 1980 Attorney, Senate, Hawaii State
Sessions Legislature, Transportation Committee and
Majority Floor Leader

1978 Deputy Chief Attorney, Constitutional
Convention, State of Hawaii, Committees
on Local Government, Public Health and
Welfare, Hawaiian Affairs

1976-1977 Attorney, Hawaii Correctional Legal
Services

1974-1976

Attorney and Law Clerk, Law firm of
Garry, Dreyfus, McTernan, Brotsky San
Francisco, California

1970-1972

Computer Programmer and Systems Analyst
Bank of America, 1 Van Ness Avenue, San
Francisco, California

PUBLICATIONS

1982

S. Broder and J. Van Dyke, Ocean
Boundaries in the South Pacific, 4 U.
Haw. L. Rev. 1

1982

S. Broder, "The Legal View: The First
Amendment and the Student's Right to
Know," Papers, Intellectual Freedom
Institute, Graduate School of Library
Studies, University of Hawaii at Manoa,
June 14-18

1981

G. Clay and S. Broder, Ocean Leasing for
Hawaii, Hawaii State Department of
Planning and Economic Development

1979

S. Broder and B. Wee, Hawaii's Equal
Rights Amendment: Its Impact on Athletic
Opportunities and Competition for Women,
2 University of Hawaii Law Review, 97-143

1977

L. Naito and S. Broder, "Women in the
Criminal Justice System," Hawaii
International Women's Year Conference

1976

J. Van Dyke, S. Wolinsky, S. Broder, E.
Elliot, and K. Reilly, Onadra v. Superior
Court of the City and County of San
Francisco: A Challenge to the
Composition of the San Francisco Grand
Jury, Hastings Law Journal, 565-636

PROFESSIONAL ACTIVITIES

Hawaii State Bar Association
President, 1993; Vice President, 1992;
Treasurer, 1990-1991; Director, 1989-
1990; Chair, Judicial Administration,
1982; Chair, Legislative Committee, 1981

Hawaii Justice Foundation (IOLTA)
Secretary, 1994; Board Member, 1990-1996

Hawaii Lawyers Care
Board Member, 1989-1992; Chair, Grievance
Committee, 1989-1990

Hawaii Federal Judicial Conference
Delegate, 1988-1991, 1994-1997

Hawaii State Judicial Conference
Delegate 1988-1993; Co-Chair 1993

Hawaii Women Lawyers
President, 1982; Treasurer, 1981

Office of Disciplinary Counsel
Hearings Officer, 1989 to present

Hawaii Trial Lawyers
Vice President, 1989-1992

The Hawaii Women Lawyers Foundation
President 1984; Vice President, 1985;
Secretary 1986; Grant Distribution
Committee, 1984 to present

Hawaii Public Television
Board Member, 1989-1995; Chair, Finance
Committee, 1993

Wellesley Club of Hawaii
Treasurer, 1982-1985

HONORS

1994	Trial Lawyers for Public Justice Nominated: Trial Lawyer of the Year, (one of eight), Washington DC
1993	American Bar Association General Practice Section - Sole Practitioner of the Year Award
1992	Hawaii House of Representatives Resolution Recognizing Achievements
1986	Hawaii State Senate Resolution Recognizing Achievements
1986	City Council, City & County of Honolulu Resolution Recognizing Achievements
1986	Hawaii Women Lawyers Outstanding Woman Lawyer Award

1985

National Wildlife Federation
Conservation Service Citation for legal
work on behalf of Hawaii's consumers for
heptachlor in milk supply

1983

Child & Family Services
Award as Outstanding Attorney for legal
work on behalf of abused and neglected
minors

THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET

INCOMING

DATE RECEIVED: JUNE 14, 1995

NAME OF CORRESPONDENT: KINA'U BOYD KAMALI'I

SUBJECT: URGES PRESIDENT'S CONSIDERATION OF MS. SHERRY
P. BRODER FOR THE POSITION OF UNITED STATES
DISTRICT JUDGE, DISTRICT OF HAWAII

ROUTE TO: OFFICE/AGENCY	(STAFF NAME)	ACTION		DISPOSITION	
		ACT CODE	DATE YY/MM/DD	TYPE RESP	C COMPLETED D YY/MM/DD
ABNER MIKVA		ORG	95/06/14		C 95/06/16
W AT 19	REFERRAL NOTE: _____	A	95/06/20		
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				

COMMENTS: ENCLOSURE

ADDITIONAL CORRESPONDENTS: MEDIA: L INDIVIDUAL CODES: _____
 MAIL USER CODES: (A) _____ (B) _____ (C) _____

 *ACTION CODES: *DISPOSITION *OUTGOING *
 * * *CORRESPONDENCE: *
 *A-APPROPRIATE ACTION *A-ANSWERED *TYPE RESP=INITIALS *
 *C-COMMENT/RECOM *B-NON-SPEC-REFERRAL * OF SIGNER *
 *D-DRAFT RESPONSE *C-COMPLETED * CODE = A *
 *F-FURNISH FACT SHEET *S-SUSPENDED *COMPLETED = DATE OF *
 I-INFO COPY/NO ACT NEC * OUTGOING *
 *R-DIRECT REPLY W/COPY * * * *
 *S-FOR-SIGNATURE * * *
 *X-INTERIM REPLY * * *

REFER QUESTIONS AND ROUTING UPDATES TO CENTRAL REFERENCE
 (ROOM 75, OEOB) EXT-2590
 KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING
 LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS
 MANAGEMENT.

SC 115352CU

THE WHITE HOUSE
WASHINGTON

July 5, 1995

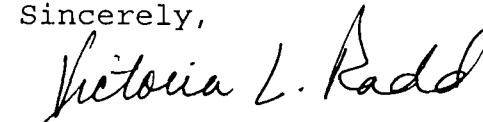
Dear Mr. Hee:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink that reads "Victoria L. Radd". The signature is written in a cursive, flowing style.

Victoria L. Radd
Associate Counsel to the President

Mr. Clayton H.W. Hee
Office of Hawaiian Affairs
711 Kapi'olani Boulevard
Suite 500
Honolulu, Hawaii 96813-5249



STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
711 KAPI'OLANI BOULEVARD, SUITE 500
HONOLULU, HAWAII 96813-5249
PHONE (808) 594-1888
FAX (808) 594-1865

May 23, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear President Clinton:

I am writing to give the highest recommendation possible to Sherry P. Broder who has applied for the position of United States District Court Judge for the District of Hawaii.

I have worked with Ms. Broder for several years in her capacity as the attorney for the Office of Hawaiian Affairs. I am very familiar with her professional abilities. Ms. Broder has consistently demonstrated excellent skills as an attorney and possesses strong analytical abilities. She has been a loyal advocate for the Hawaiian people for almost 20 years. I have always been impressed with her intelligence, integrity, and zealous advocacy. Ms. Broder has proven herself as an outstanding litigator and appellate advocate. She has extensive and distinguished experience in state and federal courts. Ms. Broder is a very able attorney, well versed in the law. Ms. Broder is very intelligent, diligent, and scholarly.

Ms. Broder has personal qualities that will serve her well as Judge. Ms. Broder has an outstanding record of community service and thus I am not surprised that she has chosen to enter public service. She has consistently demonstrated her commitment to all segments of our community. She is a recognized community leader and has always fulfilled her duties with commitment and excellence. Her knowledge and experience will be a tremendous asset to the people of the State of Hawaii. Furthermore, she has good common sense.

Letter to President Clinton

May 23, 1995

Page 2

I can state without reservation that Ms. Broder is an excellent candidate for United States District Court Judge and would serve the people of Hawaii well. I am confident that Ms. Broder would be a compassionate, fair, honest and hard working judge. Ms. Broder's integrity and moral courage are above reproach. I respectfully request that you nominate Ms. Broder for the position of United States District Court Judge for the District of Hawaii.

Sincerely,

A handwritten signature in black ink, appearing to read "Clayton H.W. Hee". The signature is fluid and cursive, with the first name "Clayton" written in a more stylized, connected manner.

CLAYTON H.W. HEE

THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET

INCOMING

FG053

DATE RECEIVED: JUNE 14, 1995

NAME OF CORRESPONDENT: MR. CLAYTON H. W. HEE

SUBJECT: SUPPORTS THE RECOMMENDATION OF SHERRY P.
BRODER FOR THE POSITION OF UNITED STATES
DISTRICT COURT JUDGE FOR THE DISTRICT OF
HAWAII

ROUTE TO: OFFICE/AGENCY	(STAFF NAME)	ACTION		DISPOSITION	
		ACT CODE	DATE YY/MM/DD	TYPE RESP	C COMPLETED D YY/MM/DD
ABNER MIKVA		ORG	95/06/14	4	C 25/06/16
WA 19	REFERRAL NOTE: _____	A	95/06/14	5	_____
	REFERRAL NOTE: _____		____/____/____		____/____/____
	REFERRAL NOTE: _____		____/____/____		____/____/____
	REFERRAL NOTE: _____		____/____/____		____/____/____
	REFERRAL NOTE: _____		____/____/____		____/____/____

COMMENTS: _____

ADDITIONAL CORRESPONDENTS: _____ MEDIA: L INDIVIDUAL CODES: _____

MAIL USER CODES: (A) _____ (B) _____ (C) _____

```

*****
*ACTION CODES:          *DISPOSITION          *OUTGOING          *
*                        *                      *CORRESPONDENCE:   *
*A-A-APPROPRIATE ACTION *A-ANSWERED          *TYPE RESP=INITIALS *
*C-COMMENT/RECOM        *B-NON-SPEC-REFERRAL *      OF SIGNER    *
*D-DRAFT RESPONSE       *C-COMPLETED        *      CODE = A     *
*F-FURNISH FACT SHEET   *S-SUSPENDED        *COMPLETED = DATE OF *
*I-INFO COPY/NO ACT NEC*                        *      OUTGOING    *
*R-DIRECT REPLY W/COPY *                        *                      *
*S-FOR-SIGNATURE        *                        *                      *
*X-INTERIM REPLY        *                        *                      *
*****

```

REFER QUESTIONS AND ROUTING UPDATES TO CENTRAL REFERENCE
(ROOM 75, OEOB) EXT-2590
KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING
LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS
MANAGEMENT.

THE WHITE HOUSE

WASHINGTON

July 5, 1995

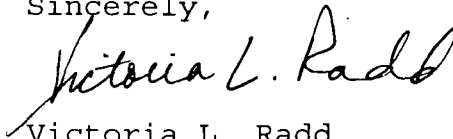
Dear Ms. DeSoto:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink that reads "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent.

Victoria L. Radd
Associate Counsel to the President

Ms. A. Frenchy DeSoto
Chairperson
Office of Hawaiian Affairs
711 Kapi'olani Boulevard
Suite 500
Honolulu, Hawaii 96813-5249



STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
711 KAPI'OLANI BOULEVARD, SUITE 500
HONOLULU, HAWAII 96813-5249
PHONE (808) 594-1888
FAX (808) 594-1865

May 23, 1995

The President
The White House
1600 Pennsylvania Avenue
Washington, D.C. 20005

Dear Mr. President:

I am writing to strongly urge the selection of Ms. Sherry P. Broder for the position of United States District Court Judge, District of Hawaii.

I have known Ms. Broder for nearly 20 years, ever since she assisted Hawaiians who were charged with trespassing on the island of Kaho'olawe. It has always been a pleasure to work with Ms. Broder. She is an extremely intelligent, hard-working, and understanding individual.

Ms. Broder has had extensive experience in most legal issues relating to the claims of the Hawaiian people. She was the attorney assigned to the Committee on Hawaiian Affairs during the 1978 State Constitutional Convention. As the chairwoman of that committee, I found Ms. Broder to be a very competent, tireless worker and visionary.

Later, after I was elected as a Trustee to the Office of Hawaiian Affairs in 1980, I worked with Ms. Broder on various specific legal matters for the Office of Hawaiian Affairs. She has worked on a more formal basis for the Office of Hawaiian Affairs pursuant to a contract since 1987. From that time, Ms. Broder has been counsel to the Office of Hawaiian Affairs Board of Trustees. She has attended most of the Board of Trustees meetings and has represented the Office of Hawaiian Affairs in both the Federal and State courts. Ms. Broder has always done an outstanding and dedicated job. It has been a pleasure to work with her.

The President
Selection of Sherry P. Broder
May 23, 1995
Page 2

In closing, I am confident that Ms. Broder would be an excellent choice for Federal District Judge and would serve our community with distinction, honor and fairness. I give her the highest recommendation.

Should there be a need for additional information, please feel free to have pertinent staff contact me at (808) 594-1869, or toll free, at (800) 468-4644.

Mahalo and aloha.

Respectfully yours,

A handwritten signature in black ink, appearing to read "A. Frenchy DeSoto".

Trustee A. Frenchy DeSoto, Chairperson,
Legislative Review Committee

AFD:rmq

cc: Sherry P. Broder, Attorney at Law

THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET

INCOMING

DATE RECEIVED: JUNE 14, 1995

NAME OF CORRESPONDENT: MR. A. FRENCHY DESOTO

SUBJECT: URGES THE SELECTION OF MS. SHERRY P. BRODER
FOR THE POSITION OF UNITED STATES DISTRICT
COURT JUDGE, DISTRICT OF HAWAII

		ACTION		DISPOSITION	
ROUTE TO: OFFICE/AGENCY	(STAFF NAME)	ACT CODE	DATE YY/MM/DD	TYPE RESP	C COMPLETED D YY/MM/DD
ABNER MIKVA		ORG	95/06/14		
WAT 19	REFERRAL NOTE: _____	A	95/06/30		95/06/16
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				

COMMENTS: _____

ADDITIONAL CORRESPONDENTS: MEDIA: L INDIVIDUAL CODES: _____

MAIL USER CODES: (A) _____ (B) _____ (C) _____

```

*****
*ACTION CODES:          *DISPOSITION          *OUTGOING          *
*                      *                      *CORRESPONDENCE:  *
*A-A-APPROPRIATE ACTION *A-ANSWERED          *TYPE RESP=INITIALS *
*C-COMMENT/RECOM        *B-NON-SPEC-REFERRAL *          OF SIGNER  *
*D-DRAFT RESPONSE       *C-COMPLETED        *          CODE = A   *
*F-FURNISH FACT SHEET   *S-SUSPENDED        *COMPLETED = DATE OF *
*I-INFO COPY/NO ACT NEC *                      *          OUTGOING  *
*R-DIRECT REPLY W/COPY  *                      *                      *
*S-FOR-SIGNATURE        *                      *                      *
*X-INTERIM REPLY        *                      *                      *
*****

```

REFER QUESTIONS AND ROUTING UPDATES TO CENTRAL REFERENCE
(ROOM 75, OEOB) EXT-2590
KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING
LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS
MANAGEMENT.

SCA

THE WHITE HOUSE

WASHINGTON

July 5, 1995

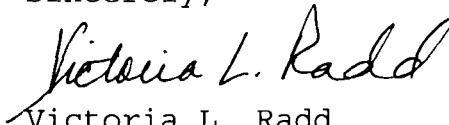
Dear Mr. Carpenter:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" and last name "Radd" clearly legible.

Victoria L. Radd
Associate Counsel to the President

Mr. Dante K. Carpenter
Office of Hawaiian Affairs
711 Kapi'olani Boulevard
Suite 500
Honolulu, Hawaii 96813-5249



STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
711 KAPI'OLANI BOULEVARD, SUITE 500
HONOLULU, HAWAII 96813-5249
PHONE (808) 594-1888
FAX (808) 594-1865

May 30, 1995

The Honorable William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D.C. 20005

Dear Mr. President:

I am informed that Sherry P. Broder has applied for the position of U.S. District Judge for the District of Hawaii. This letter offers the highest recommendation in support of Ms. Broder's application.

Ms. Broder serves as legal counsel to the Board of Trustees of the Office of Hawaiian Affairs (OHA). As OHA's administrator, I have had the opportunity to work closely with Ms. Broder on numerous occasions. She is extremely competent, fair-minded, discreet and compassionate. Her knowledge of and sensitivity to the issues affecting the people of Hawaii are greatly appreciated by the trustees, the Hawaiian community and the many others who have benefitted from her services.

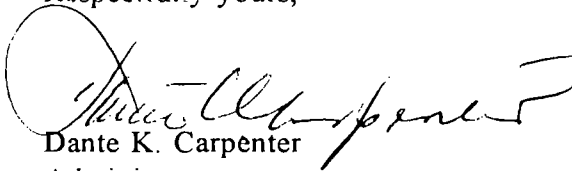
Ms. Broder's excellence in the judicial arena is evidenced by her many achievements, among which are nomination as one of eight Trial Lawyers of the Year (1994), Sole Practitioner of the Year-General Practice Section of the American Bar Association (1993) and Outstanding Woman Lawyer-Hawaii Women Lawyers (1986). Her achievements as an attorney and her uncompromising service to the people of Hawaii have been recognized by formal resolutions adopted by the Hawaii State Legislature and the Honolulu City Council.

The Honorable William J. Clinton
May 30, 1995
Page Two

Ms. Broder's unwavering integrity, keen legal mind and deep understanding of the human species ensures that her appointment will afford the highest degree of service to the people of Hawai'i and the American judicial system.

Without reservation, it is my sincere pleasure to recommend Sherry P. Broder for the position of U.S. District Court Judge for the District of Hawai'i.

Respectfully yours,



Dante K. Carpenter
Administrator

THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET

INCOMING

DATE RECEIVED: JUNE 14, 1995

NAME OF CORRESPONDENT: MR. DANTE K. CARPENTER

SUBJECT: SUPPORTS THE RECOMMENDATION OF MS. SHERRY P.
BRODER FOR THE POSITION OF UNITED STATES
DISTRICT JUDGE FOR THE DISTRICT OF HAWAII

ROUTE TO: OFFICE/AGENCY (STAFF NAME)		ACTION		DISPOSITION	
		ACT CODE	DATE YY/MM/DD	TYPE RESP	C COMPLETED D YY/MM/DD
ABNER MIKVA		ORG	95/06/14		95/06/16
W AT 19	REFERRAL NOTE: _____	A	95/06/20		95/06/20
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				
	REFERRAL NOTE: _____				

COMMENTS: _____

ADDITIONAL CORRESPONDENTS: MEDIA: L INDIVIDUAL CODES: _____

MAIL USER CODES: (A) _____ (B) _____ (C) _____

```

*****
*ACTION CODES:          *DISPOSITION          *OUTGOING          *
*                      *                      *CORRESPONDENCE:  *
*A-APPROPRIATE ACTION  *A-ANSWERED          *TYPE RESP=INITIALS *
*C-COMMENT/RECOM       *B-NON-SPEC-REFERRAL *      OF SIGNER    *
*D-DRAFT RESPONSE      *C-COMPLETED        *      CODE = A     *
*F-FURNISH FACT SHEET  *S-SUSPENDED        *COMPLETED = DATE OF *
*I-INFO COPY/NO ACT NEC*                      *      OUTGOING    *
*R-DIRECT REPLY W/COPY *                      *                      *
*S-FOR-SIGNATURE       *                      *                      *
*X-INTERIM REPLY       *                      *                      *
*****

```

REFER QUESTIONS AND ROUTING UPDATES TO CENTRAL REFERENCE
(ROOM 75, OEOB) EXT-2590
KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING
LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS
MANAGEMENT.

THE WHITE HOUSE
WASHINGTON

July 5, 1995

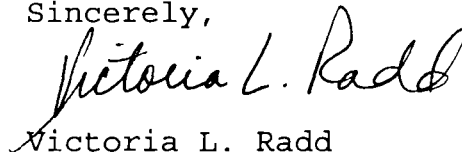
Dear Professor Bowman:

Thank you for writing to the President to recommend Sherry P. Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

Professor Addison M. Bowman
Richardson School of Law
2515 Dole Street
Honolulu, Hawaii 96822

Legal Council

116173cu

Richardson School of Law
2515 Dole Street
Honolulu, Hawaii 96822
May 31, 1995

President William J. Clinton
White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

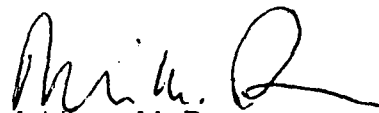
Dear President Clinton:

I write to urge the nomination and appointment of Sherry P. Broder for the position of U. S. District Judge for the District of Hawaii. I have known Ms. Broder for about twenty-two years, and have great respect for her integrity and her legal ability.

During her recent presidency of the Hawaii State Bar Association, Broder was presented with a proposal to substitute, for the existing Hawaii Code of Professional Responsibility, a new set of lawyers' rules based upon the ABA's Model Rules of Professional Conduct. In the spirit of the highest traditions of the bar, she organized a series of forums, on Oahu and the Neighbor Islands, at which bar members could question members of the committee that drafted the rules and could voice their opinions about the proposals. She also devoted two meetings of the bar's board of directors to the new rules. Because of these initiatives the drafting committee and the state supreme court benefitted from the input of the bar, and Hawaii's lawyers had an early opportunity to familiarize themselves with the new rules.

In my opinion Ms. Broder is one of the ablest lawyers in this state. Her appointment would distinguish the federal bench here in Hawaii.

Very truly yours,



Addison M. Bowman
Professor emeritus

WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence
Received (YY/MM/DD) 95/06/16Name of Correspondent: ADDISON M. BOWMAN☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERRY BROWDER FOR USDC ; DIST. OF
HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Completion Date YY/MM/DD
<u>W MIKVA</u>	ORIGINATOR	<u>95/06/16</u>	<u>SS</u>	<u>C 95/06/16</u>
<u>W AT 19</u>	Referral Note: <u>A</u>	<u>95/06/20</u>	<u>SS</u>	<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>		<u>/ /</u>

ACTION CODES:

A - Appropriate Action
C - Comment/Recommendation
D - Draft Response
F - Furnish Fact Sheet
to be used as Enclosure

I - Info Copy Only/No Action Necessary
R - Direct Reply w/Copy
S - For Signature
X - Interim Reply

DISPOSITION CODES:

A - Answered
B - Non-Special Referral
C - Completed
S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
Code = "A"
Completion Date = Date of Outgoing

Comments: _____

SCANNED

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB).

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

THE WHITE HOUSE

WASHINGTON

July 5, 1995

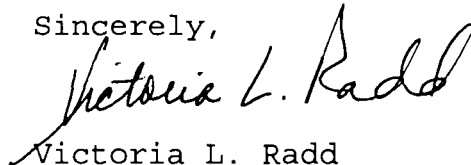
Dear Mr. Aiona:

Thank you for writing to the President to recommend Sherry Broder for appointment to the United States District Court for the District of Hawaii.

I can assure you that we will give your endorsement serious consideration as we work with President Clinton to fill this important vacancy.

If you have further questions about this matter, please feel free to contact my office at (202) 456-7901.

Sincerely,

A handwritten signature in black ink, reading "Victoria L. Radd". The signature is fluid and cursive, with the first name "Victoria" being more prominent and the last name "Radd" following in a similar style.

Victoria L. Radd
Associate Counsel to the President

Mr. Abraham Aiona
Vice Chairman
Office of Hawaiian Affairs
711 Kapi'olani Boulevard
Suite 500
Honolulu, Hawaii 96813-5249

#115381 cu



OFFICE OF HAWAIIAN AFFAIRS

May 26, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear President Clinton:

I am writing this letter in behalf of Attorney Sherry P. Broder who is seeking appointment to the Federal District Court Judgeship recently left vacant by the death of the late Judge Harold Fong.

I have known Ms. Broder since 1978 when she served as Deputy Chief Attorney for the Constitutional Convention, State of Hawaii, serving the committees on local government, public health and welfare, and Hawaiian Affairs. As a Councilman for Maui County at the time, I was impressed by her dedication and expertise, and her ability to understand the many complex problems of our diverse communities during this momentous time. She served with distinction and honor.

Since my election as a Trustee in 1990 to the Board of Trustees, Office of Hawaiian Affairs, I have had many occasions to meet with her at board meetings and to review her legal opinions relating to our operations and our Hawaiian beneficiaries. She has shown a high degree of business, understanding, compassion and, above all, a love for the Hawaiian people and their plight.

Without any hesitation, I urge you to consider her as one to replace the late Judge Harold Fong.

Very truly yours,

A handwritten signature in dark ink, appearing to read "Abraham Aiona", is written over a large, stylized circular flourish.

Trustee Abraham Aiona, Vice Chairman
Chairman, Committee on Budget, Finance and Policy

Attachments

TRUSTEES
Clayton H.W. Hee
CHAIRMAN
TRUSTEE O'AHU
Abraham Aiona
VICE CHAIRMAN
TRUSTEE MAUI
Moanike'ala Akaka
TRUSTEE HAWAII
Rowena N. Akana
TRUSTEE AT-LARGE
Billie Beamer
TRUSTEE AT-LARGE
A. Frenchy DeSoto
TRUSTEE AT-LARGE
Kina'u Boyd Kamali'i
TRUSTEE AT-LARGE
Moses K. Keale, Sr.
TRUSTEE KAUAI & NI'IIHAU
Samuel L. Kealoha, Jr.
TRUSTEE MOLOKAI & LANAI
Dante K. Carpenter
ADMINISTRATOR
Sesnita A.D. Moepono, Esq.
DEPUTY ADMINISTRATOR
Linda M. Colburn
DEPUTY ADMINISTRATOR

SHERRY P. BRODER

Grosvenor Center, Suite 1800
733 Bishop Street
Honolulu, Hawaii 96813
Telephone: (808) 531-1411
Facsimile: (808) 531-8411

EDUCATION

1975 Legal: J.D. Order of the Coif (top 10%)
Boalt Hall, University of California
Berkeley, California

1970 Undergraduate: B.A. Wellesley Scholar
Wellesley College
Wellesley, Massachusetts

BAR MEMBERSHIP

Hawaii State Bar - admitted 1976
California State Bar - admitted 1976

PROFESSIONAL
EMPLOYMENT HISTORY

1979-present Attorney, Law Offices of Sherry P.
Broder, concentrating in civil
litigation, personal injury, products
liability, and class actions

 Arbitrator, Hawaii Court Annexed
Arbitration Program

 Arbitrator, Expedited Claims Process,
Securities & Exchange Commission v.
Prudential Securities

 Hearings Officer, Office of Disciplinary
Counsel, State of Hawaii

1979 & 1980 Attorney, Senate, Hawaii State
Sessions Legislature, Transportation Committee and
Majority Floor Leader

1978 Deputy Chief Attorney, Constitutional
Convention, State of Hawaii, Committees
on Local Government, Public Health and
Welfare, Hawaiian Affairs

1976-1977 Attorney, Hawaii Correctional Legal
Services

1974-1976

Attorney and Law Clerk, Law firm of
Garry, Dreyfus, McTernan, Brotsky San
Francisco, California

1970-1972

Computer Programmer and Systems Analyst
Bank of America, 1 Van Ness Avenue, San
Francisco, California

PUBLICATIONS

1982

S. Broder and J. Van Dyke, Ocean
Boundaries in the South Pacific, 4 U.
Haw. L. Rev. 1

1982

S. Broder, "The Legal View: The First
Amendment and the Student's Right to
Know," Papers, Intellectual Freedom
Institute, Graduate School of Library
Studies, University of Hawaii at Manoa,
June 14-18

1981

G. Clay and S. Broder, Ocean Leasing for
Hawaii, Hawaii State Department of
Planning and Economic Development

1979

S. Broder and B. Wee, Hawaii's Equal
Rights Amendment: Its Impact on Athletic
Opportunities and Competition for Women,
2 University of Hawaii Law Review, 97-143

1977

L. Naito and S. Broder, "Women in the
Criminal Justice System," Hawaii
International Women's Year Conference

1976

J. Van Dyke, S. Wolinsky, S. Broder, E.
Elliot, and K. Reilly, Quadra v. Superior
Court of the City and County of San
Francisco: A Challenge to the
Composition of the San Francisco Grand
Jury, Hastings Law Journal, 565-636

PROFESSIONAL
ACTIVITIES

Hawaii State Bar Association
President, 1993; Vice President, 1992;
Treasurer, 1990-1991; Director, 1989-
1990; Chair, Judicial Administration,
1982; Chair, Legislative Committee, 1981

Hawaii Justice Foundation (IOLTA)
Secretary, 1994; Board Member, 1990-1996

Hawaii Lawyers Care
Board Member, 1989-1992; Chair, Grievance
Committee, 1989-1990

Hawaii Federal Judicial Conference
Delegate, 1988-1991, 1994-1997

Hawaii State Judicial Conference
Delegate 1988-1993; Co-Chair 1993

Hawaii Women Lawyers
President, 1982; Treasurer, 1981

Office of Disciplinary Counsel
Hearings Officer, 1989 to present

Hawaii Trial Lawyers
Vice President, 1989-1992

The Hawaii Women Lawyers Foundation
President 1984; Vice President, 1985;
Secretary 1986; Grant Distribution
Committee, 1984 to present

Hawaii Public Television
Board Member, 1989-1995; Chair, Finance
Committee, 1993

Wellesley Club of Hawaii
Treasurer, 1982-1985

HONORS

1994	Trial Lawyers for Public Justice Nominated: Trial Lawyer of the Year, (one of eight), Washington DC
1993	American Bar Association General Practice Section - Sole Practitioner of the Year Award
1992	Hawaii House of Representatives Resolution Recognizing Achievements
1986	Hawaii State Senate Resolution Recognizing Achievements
1986	City Council, City & County of Honolulu Resolution Recognizing Achievements
1986	Hawaii Women Lawyers Outstanding Woman Lawyer Award

1985

National Wildlife Federation
Conservation Service Citation for legal
work on behalf of Hawaii's consumers for
heptachlor in milk supply

1983

Child & Family Services
Award as Outstanding Attorney for legal
work on behalf of abused and neglected
minors

THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET

INCOMING

DATE RECEIVED: JUNE 14, 1995

NAME OF CORRESPONDENT: MR. ABRAHAM AIONA

SUBJECT: SUPPORTS THE RECOMMENDATION OF SHERRY P.
BRODER FOR THE APOINTMENT TO THE FEDERAL
DISTRICT COURT JUDGESHIP

		ACTION		DISPOSITION	
ROUTE TO: OFFICE/AGENCY	(STAFF NAME)	ACT CODE	DATE YY/MM/DD	TYPE RESP	C COMPLETED D YY/MM/DD
ABNER MIKVA		ORG	95/06/14	4	C 95/06/16
WAT 19	REFERRAL NOTE: _____	A	95/06/14	15	1/1
	REFERRAL NOTE: _____		1/1		1/1
	REFERRAL NOTE: _____		1/1		1/1
	REFERRAL NOTE: _____		1/1		1/1
	REFERRAL NOTE: _____		1/1		1/1

COMMENTS: RESUME' ENCLOSED

ADDITIONAL CORRESPONDENTS: MEDIA: L INDIVIDUAL CODES: _____

MAIL USER CODES: (A) _____ (B) _____ (C) _____

```

*****
*ACTION CODES:          *DISPOSITION          *OUTGOING          *
*                        *                        *CORRESPONDENCE:   *
*A-A-APPROPRIATE ACTION *A-ANSWERED        *TYPE RESP=INITIALS *
*C-COMMENT/RECOM        *B-NON-SPEC-REFERRAL *                OF SIGNER *
*D-DRAFT RESPONSE       *C-COMPLETED      *                CODE = A   *
*F-FURNISH FACT SHEET   *S-SUSPENDED      *COMPLETED = DATE OF    *
*I-INFO COPY/NO ACT NEC *                        *                OUTGOING *
*R-DIRECT REPLY W/COPY  *                        *                        *
*S-FOR-SIGNATURE        *                        *                        *
*X-INTERIM REPLY        *                        *                        *
*****

```

REFER QUESTIONS AND ROUTING UPDATES TO CENTRAL REFERENCE
(ROOM 75, OEOB) EXT-2590
KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING
LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS
MANAGEMENT.

SCANNED

THE WHITE HOUSE

WASHINGTON

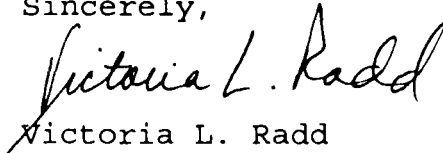
July 10, 1995

Dear Ms. Broder:

Thank you for writing to the First Lady to apprise her of your interest in serving in the U.S. District Court for the District of Hawaii.

I can assure you that we will give your candidacy serious consideration as we work with President Clinton to fill this important vacancy.

Sincerely,

A handwritten signature in cursive script that reads "Victoria L. Radd". The signature is written in dark ink and is positioned above the typed name.

Victoria L. Radd
Associate Counsel to the President

Sherry P. Broder, Esquire
Grosvenor Center
Makai Tower
Suite 1800
733 Bishop Street
Honolulu, Hawaii 96813

SHERRY P. BRODER

ATTORNEY AT LAW • A LAW CORPORATION

CROSSVENDOR CENTER • MAKAI TOWER, SUITE 1800 • 733 BISHOP STREET • HONOLULU, HAWAII 96813
TELEPHONE (808) 531-1411 • FACSIMILE (808) 531-8411

June 23, 1995

FEDERAL EXPRESS

First Lady Hillary Rodham Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear First Lady Hillary Rodham Clinton:

I am writing to you to apprise you of the fact that Senators Inouye and Akaka have submitted my name on a "short list" of four to the President for the position of federal district court judge, District of Hawaii. I have enclosed my resume and other materials so that you may be able to evaluate my qualifications in the event you become involved in the process of selection.

We met recently at a private reception for the President and First Lady just before the testimonial dinner for Governor John D. Waihee, III. I had been one of several co-chairs of the Hawaii Lawyers for Clinton Campaign Committee in 1992. Shaunagh Robbins (Wellesley '69), you, and I (Wellesley '70) had long discussions about the PBS documentary on the Wellesley Class of '69 and about my efforts to obtain justice for the 10,000 victims of torture, summary execution, and disappearance in the Philippines during martial law under Ferdinand E. Marcos. I have two beautiful photographs of the President and me hanging on my office wall. Unfortunately our talk was not similarly photographed.

I resided in Stone Hall from 1967 to 1970 and remember you as a brilliant, outstanding and talented college leader.

As an example of my analytical skills and writing abilities, I am enclosing copies of two of the several law review articles that I co-authored: Broder and Van Dyke, Ocean Boundaries in the South Pacific, 4 U. Haw. L. Rev. 1 (1982) and Broder and Wee, Hawaii's Equal Rights Amendment: Its Impact on Athletic Opportunities and Competition for Women, 2 U. Haw. L. Rev. 97 (1979). And to provide examples of the community's recognition of my legal work and commitment to justice, I have enclosed two volumes of newspaper articles about my legal work and public activities.

First Lady Hillary Rodham Clinton
June 23, 1995
Page Two

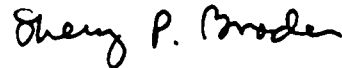
Of particular value in summarizing my recent legal work are the October, 1992 Midweek article featuring me on the front page, the February 1992 Hawaii Business Magazine interview with me, and the August, 1991 Honolulu Star Bulletin feature article by Susan Manuel about my legal work. Also included are some of the columns I wrote in the HSBA Bar Journal during 1993 when I was President of the State Bar Association.

Finally, I have enclosed several representative letters of recommendation.

I recently received a letter from Eleanor D. Acheson, Office of Policy Development, U.S. Department of Justice, stating that "we intend to work as quickly as possible -- with the Counsel to the President -- to fill this vacancy." That statement combined with other information leads me to conclude that the selection process will probably be handled in an expedited manner.

I hope that you will become involved in the selection process and support my application. Thank you very much for your attention to this matter.

Sincerely,

A handwritten signature in cursive script, reading "Sherry P. Broder".

SHERRY P. BRODER

SPB:dmr
Enclosures

WHL COUNSEL
MARTIN ANDERSON
HUGH SHEARER
CONRAD M. WEISER
DAVID J. DEZZANI
RONALD H. W. LUM
DAVID J. REBER
JOHN R. LACY
ROBERT F. HIRANO
RAYMOND S. IWAMOTO
RONALD K. K. SAKIMURA
THOMAS W. WILLIAMS, JR.
MICHAEL A. SHEA
WILLIAMS, MILLER
JACQUELINE L. S. EARLE
KENNETH A. ROSS*
LANIL E. WART
RANDALL K. STEVERSON
PATRICIA Y. LEE
GARY M. SLOVIN
LISA WOODS MUNGER
ERNEST J. T. LOO
BRUCE L. LAMON
PETER T. KASHIWA
RUSSELL S. KATO
LANT A. JOHNSON
VINCENT A. PIEKARSKI
MARK B. DESMARAIS

LINDA ZICHITTELLA LEONG
SCOTT G. LEONG
LEIGHTON J. H. S. YUEN
CORLIS J. CHANG
CARL J. SCHLACK, JR.
BARBARA A. PETRUS
ROBERT J. HACKMAN
CYNTHIA M. NOJIMA
MIKI OKUMURA
MARK F. ITO
WAYNE H. MURAOKA
AUBREY E. J. NG
DONNA A. TANCUE
GREGORY R. KIM
RICHARD K. MIRIKITANI
ALAN S. FUJIMOTO
WALTER C. DAVISON
DAVID W. SHERMAN
GREGG J. KINKLEY
RAYMOND K. OKADA
GAIL O. AYABE
DALE E. ZANE
LINDALEE K. FARM
MARGARET JENKINS LEONG
CAROL A. ERLIN
CRAIG I. NAKANISHI

GOODSILL ANDERSON QUINN & STIFEL

ATTORNEYS AT LAW

ALII PLACE, SUITE 1800 • 1099 ALAKEA STREET
HONOLULU, HAWAII 96813

MAIL ADDRESS: P.O. Box 3196
HONOLULU, HAWAII 96801

TELEPHONE (808) 547-5600 • FAX (808) 547-5880

July 3, 1995

120583cu file
JEFFREY SCOTT PIPER
ROY JOHN TIJOE
LYNNE T. TOYOFUKU
JUDITH A. CARRITHERS
JENNIFER COOK CLARK
KURT K. KAWAFUCHI
KELLIE M. N. SEKIYA
JUDY Y. LEE
ELIZABETH A. STRANCE*
KITTY K. KAMAKA
LENNES N. OMURO
LISA T. REDELL
NORA E. CONROY
MOON-KI CHAI
DEREK R. KOBAYASHI*
MARY A. RENNER
WILMA SUR
THOMAS BENEDICT
COUNSEL
RANDALL W. ROTH
E. LAURENCE GAY
RICHARD PHILPOTT
OF COUNSEL:
MARSHALL M. GOODSILL
WILLIAM E. QUINN
GENRO KASHIWA
ROBERT G. HITE

PETER Y. KIKUTA
TRISHA M. KIMURA
DAVID W. LONBORG
KAREN L. S. STANITZ
EDMUND K. SAPPERY
NATALIE S. HIU
RUSSELL K. KAUPU
LISA VON DER MEIDEN
ELIZABETH R. CROOM*
LISA A. BAIL
MIA Y. TERUYA
DONNA H. KALAMA
CAROLYN K. GUGELYK
DOUGLAS A. CODIGA
VINCENT J. CHOW
LISA S. HO WONG
RICHARD H. S. SING

RICHARD E. STIFEL
1920-1993
C. JEPSON GARLAND
1934-1995
RICHARD T. ISHIDA*
1935-1994
*KONA OFFICE
PHONE (808) 329-7731
FAX: (808) 326-2384

President William J. Clinton
The White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Re: Judicial Vacancy, United States District
Court, District of Hawaii

Dear President Clinton:

Hawaii newspapers recently printed a list of four names purportedly submitted for your consideration in making an appointment to fill the vacancy on the United States District Court for the District of Hawaii created by the recent death of Judge Harold M. Fong. I was very pleased to see the name of Sherry P. Broder on that list. The purpose of this letter is to highlight some of the reasons I believe Ms. Broder would be an excellent choice for appointment to this position.

While each of the four candidates has strengths, I believe Ms. Broder's many and diverse accomplishments in and out of the courtroom cause her to stand out in the group. Ms. Broder has maintained a small, but often high profile, law practice, taking primarily cases with broad implications which raise constitutional issues or issues that impact groups or classes of people. Her practice has been highly successful and has given her a substantial amount of courtroom experience. At the same time, Ms. Broder has risen to the top of the legal profession in Hawaii. Her election as president of the Hawaii State Bar Association, the first woman to hold the position, clearly reflects the high esteem accorded to her by her colleagues in the Hawaii bar.

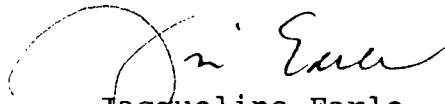
Ms. Broder has many of the attributes that made Harold Fong such an outstanding judge. Like Judge Fong, Ms. Broder has a high standard of personal and professional ethics and the respect of a broad spectrum of the legal profession in Hawaii. Based upon my observations of her in practice and my experiences with her in Bar Association matters, I believe that Ms. Broder also possesses the temperament, the intellect, and

President William J. Clinton
July 3, 1995
Page 2

the personal presence to be a highly effective United States District Judge.

For all of the above reasons, I respectfully urge you to appoint Sherry Broder to fill the current judicial vacancy in the United States District Court for the District of Hawaii. Thank you for your consideration.

Very truly yours,



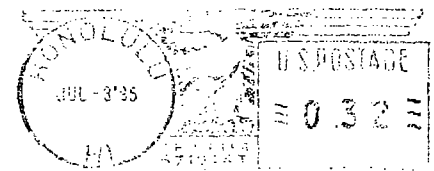
Jacqueline Earle

JE:pc

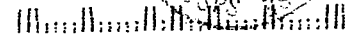
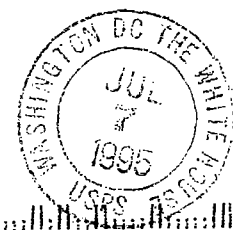
cc: Victoria L. Radd, Esq.
Eleanor D. Acheson, Esq.

JE
GOODSILL ANDERSON QUINN & STIFEL

ATTORNEYS AT LAW
P. O. BOX 3196
HONOLULU, HAWAII 96801



President William J. Clinton
The White House
1600 Pennsylvania Avenue
Washington, D.C. 20005



WHITE HOUSE CORRESPONDENCE TRACKING WORKSHEET

☐ O - OUTGOING☐ H - INTERNAL☒ I - INCOMINGDate Correspondence Received (YY/MM/DD) 95/07/14Name of Correspondent: JACQUELINE EARLE☐ MI Mail Report

User Codes: (A) _____ (B) _____ (C) _____

Subject: SUPPORTS SHERREY BRODER FOR USDC; DISTRICT OF HAWAII

ROUTE TO:

ACTION

DISPOSITION

Office/Agency (Staff Name)	Action Code	Tracking Date YY/MM/DD	Type of Response	Code	Completion Date YY/MM/DD
<u>CW MIKVA</u>	ORIGINATOR	<u>95/07/14</u>	<u>Σ</u>	<u>C</u>	<u>95/07/14</u>
<u>CW AT 19</u>	Referral Note: <u>A</u>	<u>95/07/17</u>	<u>Σ</u>		<u>/ /</u>
	Referral Note:	<u>/ /</u>			<u>/ /</u>
	Referral Note:	<u>/ /</u>			<u>/ /</u>
	Referral Note:	<u>/ /</u>			<u>/ /</u>
	Referral Note:	<u>/ /</u>			<u>/ /</u>

ACTION CODES:

A - Appropriate Action
 C - Comment/Recommendation
 D - Draft Response
 F - Furnish Fact Sheet
 to be used as Enclosure

I - Info Copy Only/No Action Necessary
 R - Direct Reply w/Copy
 S - For Signature
 X - Interim Reply

DISPOSITION CODES:

A - Answered
 B - Non-Special Referral
 C - Completed
 S - Suspended

FOR OUTGOING CORRESPONDENCE:

Type of Response = Initials of Signer
 Code = "A"
 Completion Date = Date of Outgoing

Comments: _____

SCANNED

Keep this worksheet attached to the original incoming letter.

Send all routing updates to Central Reference (Room 75, OEOB):

Always return completed correspondence record to Central Files.

Refer questions about the correspondence tracking system to Central Reference, ext. 2590.

RECORDS MANAGEMENT ONLY

CLASSIFICATION SECTION

No. of Additional Correspondents: _____ Media: _____ Individual Codes: _____

Prime Subject Code: _____ Secondary Subject Codes: _____

PRESIDENTIAL REPLY

Code	Date	Comment	Form
C _____	_____	Time: _____	P- _____
DSP _____	_____	Time: _____	Media: _____

SIGNATURE CODES:

CPn - Presidential Correspondence

- n - 0 - Unknown
- n - 1 - William J. Clinton
- n - 2 - Bill Clinton
- n - 3 - Bill

CLn - First Lady's Correspondence

- n - 1 - Hillary Rodham Clinton
- n - 2 - Hillary Clinton
- n - 3 - Hillary
- n - 4 - Mrs. Hillary Clinton

CBn - Presidential & First Lady's Correspondence

- n - 1 - Hillary & Bill Clinton
- n - 2 - Hillary & Bill

MEDIA CODES:

- B - Box/package
- C - Copy
- D - Official document
- F - FAX
- G - Message
- H - Handcarried
- L - Letter
- M - Mailgram
- O - Memo
- P - Photo
- R - Report
- S - Sealed
- T - Telegram
- V - Telephone
- X - Miscellaneous
- Y - Study

SHERRY P. BRODER

Grosvenor Center, Suite 1800
733 Bishop Street
Honolulu, Hawaii 96813
Telephone: (808) 531-1411
Facsimile: (808) 531-8411

EDUCATION

1975 Legal: J.D. Order of the Coif (top 10%)
Boalt Hall, University of California Berkeley,
California

1970 Undergraduate: B.A. Wellesley Scholar
Wellesley College
Wellesley, Massachusetts

BAR MEMBERSHIP

Hawaii State Bar - admitted 1976
California State Bar - admitted 1976

PROFESSIONAL
EMPLOYMENT HISTORY

1979-present Attorney, Law Offices of Sherry P. Broder,
concentrating in civil litigation, personal
injury, products liability, and class actions.
Also represented criminal defendants and
handled probate matters.

1990-present Arbitrator, Hawaii Court Annexed Arbitration
Program

1994-1995 Arbitrator, Expedited Claims Process,
Securities & Exchange Commission v. Prudential
Securities, Inc., C.A. No. 93-2164, USDC, D.C.

 Hearings Officer, Office of Disciplinary
Counsel, State of Hawaii

1979 & 1980
Sessions Attorney, Senate, Hawaii State Legislature,
Transportation Committee and Majority Floor
Leader

1978 Deputy Chief Attorney, Constitutional
Convention, State of Hawaii, Committees on
Local Government, Public Health and Welfare,
and Hawaiian Affairs

1976-1977	Attorney, Hawaii Correctional Legal Services, Honolulu, Hawaii, responsible for providing legal services to prisoners and supervising third year law students in Third Year Litigation Workshop
1974-1976	Attorney and Law Clerk, Law Firm of Garry, Dreyfus, McTernan, Brotsky, San Francisco, California
1970-1972	Computer Programmer and Systems Analyst, Bank of America, 1 Van Ness Avenue, San Francisco, California

PUBLICATIONS

S. Broder and J. Van Dyke, Ocean Boundaries in the South Pacific, 4 U. Haw. L. Rev. 1 (1982)

S. Broder, "The Legal View: The First Amendment and the Student's Right to Know," Papers, Intellectual Freedom Institute, Graduate School of Library Studies, University of Hawaii at Manoa, June 14-18 (1982)

G. Clay and S. Broder, Ocean Leasing for Hawaii, Hawaii State Department of Planning and Economic Development (1981)

S. Broder and B. Wee, Hawaii's Equal Rights Amendment: Its Impact on Athletic Opportunities and Competition for Women, 2 U. Haw. L. Rev. 97 (1979)

L. Naito and S. Broder, "Women in the Criminal Justice System," Hawaii International Women's Year Conference (1977)

J. Van Dyke, S. Wolinsky, S. Broder, E. Elliot, and K. Reilly, Quadra v. Superior Court of the City and County of San Francisco: A Challenge to the Composition of the San Francisco Grand Jury, 27 Hastings Law Journal 565 (1976)

PROFESSIONAL ACTIVITIES

Hawaii State Bar Association
 President, became 1st Woman President 1993;
 Vice President, 1992; Treasurer, 1990-1991;
 Director, 1989-1990; Chair, Judicial
 Administration, 1982; Chair, Legislative
 Committee, 1981

Hawaii Justice Foundation (IOLTA)
Secretary, 1994; Board Member, 1990-1996

Hawaii Lawyers Care
Board Member, 1989-1992; Chair, Grievance
Committee, 1989-1990

Hawaii Federal Judicial Conference Delegate,
1988-1991, 1994-1997

Hawaii State Judicial Conference
Delegate 1988-1993; Co-Chair 1993

Hawaii Women Lawyers
President, 1982; Treasurer, 1981

American Bar Association, Member

Office of Disciplinary Counsel
Hearings Officer, 1989 to present

Hawaii Trial Lawyers
Vice President, 1989-1992

The Hawaii Women Lawyers Foundation
President 1984; Vice President, 1985;
Secretary 1986; Grant Distribution
Committee, 1984 to present

Committee on Gender & Other Fairness, Hawaii
Supreme Court, Member 1992-1994

Guest Lecturer in Civil Procedure, William S.
Richardson School of Law, University of Hawaii
at Manoa (1987-present)

**COMMUNITY SERVICE
ACTIVITIES**

Hawaii Public Television
Board Member, 1989-1995; Chair, Finance
Committee, 1993

Wellesley Club of Hawaii
Treasurer, 1982-1985

Amnesty International, Hawaii Chapter
Chairperson of Subcommittee on Women Political
Prisoners 1978-1980

Waimanalo Neighborhood Board,
Elected Board Member, 1978-1981
Chair, Planning & Zoning Committee

HONORS & AWARDS: National

Present	Martindale-Hubbell "av" rating Member, Preeminent Attorneys
1994	Trial Lawyers for Public Justice Nominated: Trial Lawyer of the Year, (one of eight), Washington, DC
1993	American Bar Association General Practice Section - Sole Practitioner of the Year Award
1985	National Wildlife Federation Conservation Service Citation for legal work on behalf of Hawaii's consumers for heptachlor in milk supply

HONORS & AWARDS: Hawaii

1983	Child & Family Services Award as Outstanding Attorney for legal work on behalf of abused and neglected minors
1986	Hawaii Women Lawyers Outstanding Woman Lawyer Award

COMMUNITY
RECOGNITION

1992	Hawaii House of Representatives Resolution Recognizing Achievements
1986	Hawaii State Senate Resolution Recognizing Achievements
1986	City Council, City & County of Honolulu Resolution Recognizing Achievements

PERSONAL

Born, Bangor, Maine, 1948; married to
Professor Jon M. Van Dyke; children - Jesse
(16), Eric (13), and Michelle (9)

Office of the President

The Senate

State of Hawaii

State Capital

Honolulu, Hawaii



June 14, 1995

The Honorable William J. Clinton
The President
The White House
1600 Pennsylvania Avenue
Washington, D.C. 20005

Dear Mr. President:

I strongly support the selection of Sherry P. Broder for Federal District Judge, District of Hawaii. I believe Sherry P. Broder is the best qualified candidate for this appointment.

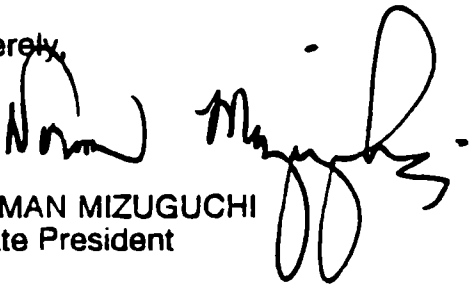
I have known Ms. Broder for almost 20 years and can personally attest to her competency, intellect, analytical skills and writing abilities. She worked in my Senate office during the 1978 and 1979 legislative sessions. I was impressed by her abilities. She is a very competent attorney with a keen legal mind. She is able to quickly identify critical issues and provide thoughtful analysis and advice. Since then, I have had an opportunity to follow her career and, in my opinion, she has done an outstanding job and always conducted herself with dignity.

Ms. Broder possesses an impressive academic background and recognized dedication to public service and bar leadership. She also has a broad range of business and legal experience from her many years as a practicing attorney in the private sector, as well as through her service as attorney for the Office of Hawaiian Affairs.

The Honorable William J. Clinton
June 14, 1995
Page Two

Ms. Broder's track record commends the respect of her colleagues and I believe that she will make a fine federal court judge. She has commitment to the highest personal and professional standards, the Judiciary, and our community. Ms. Broder will be a valuable addition to the federal bench. I believe that she is eminently qualified.

Sincerely,


NORMAN MIZUGUCHI
Senate President

bcc: Sherry Broder, Esq. ✓



STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
711 KAPIOLANI BOULEVARD, SUITE 800
HONOLULU, HAWAII 96813-8249
PHONE (808) 594-1888
FAX (808) 594-1885

May 23, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear President Clinton:

I am writing to give the highest recommendation possible to Sherry P. Broder who has applied for the position of United States District Court Judge for the District of Hawaii.

I have worked with Ms. Broder for several years in her capacity as the attorney for the Office of Hawaiian Affairs. I am very familiar with her professional abilities. Ms. Broder has consistently demonstrated excellent skills as an attorney and possesses strong analytical abilities. She has been a loyal advocate for the Hawaiian people for almost 20 years. I have always been impressed with her intelligence, integrity, and zealous advocacy. Ms. Broder has proven herself as an outstanding litigator and appellate advocate. She has extensive and distinguished experience in state and federal courts. Ms. Broder is a very able attorney, well versed in the law. Ms. Broder is very intelligent, diligent, and scholarly.

Ms. Broder has personal qualities that will serve her well as Judge. Ms. Broder has an outstanding record of community service and thus I am not surprised that she has chosen to enter public service. She has consistently demonstrated her commitment to all segments of our community. She is a recognized community leader and has always fulfilled her duties with commitment and excellence. Her knowledge and experience will be a tremendous asset to the people of the State of Hawaii. Furthermore, she has good common sense.

**Letter to President Clinton
May 23, 1995
Page 2**

I can state without reservation that Ms. Broder is an excellent candidate for United States District Court Judge and would serve the people of Hawaii well. I am confident that Ms. Broder would be a compassionate, fair, honest and hard working judge. Ms. Broder's integrity and moral courage are above reproach. I respectfully request that you nominate Ms. Broder for the position of United States District Court Judge for the District of Hawaii.

Sincerely,


CLAYTON H.W. HEE

ERIC K. YAMAMOTO
Professor of Law
William S. Richardson School of Law
2515 Dole Street
Honolulu, Hawaii 96822

Telephone: (808) 956-6548
Fax: (808) 956-6402

May 30, 1995

VIA FAX: (202) 456-2461

The Honorable William Clinton
President of the United States
1600 Pennsylvania Avenue
Washington, D.C. 20500

Dear President Clinton:

Re: U.S. District Court of Hawaii
Nomination: Sherry Broder

I understand Sherry Broder is under consideration for a judgeship with the United States District Court for the District of Hawaii. I am writing to give Ms. Broder my highest, unequivocal and enthusiastic recommendation. Ms. Broder is exceedingly intelligent, fair, and courageous and a person of impeccable integrity. I can think of no one in Hawaii better qualified or more deserving.

I am a professor of law at the University of Hawaii Law School. I have known Ms. Broder well as a professional colleague for over ten years. Ms. Broder has achieved well-deserved acclaim for her representation of class action plaintiffs in the heptachlor milk poisoning case and in the Marcos political torture case and for her leadership of the State bar as president of the Hawaii Bar Association. In connection with her litigation and leadership work I have had the privilege of consulting with Ms. Broder, particularly concerning my areas of expertise in complex litigation procedure and court access for society's marginalized people. I have also on many occasions had Ms. Broder speak to my Civil Procedure class on legal strategy and legal ethics--the students have consistently found Ms. Broder to be extraordinarily inspiring.

Through these contacts I have come to the unequivocal opinion that Sherry Broder is an outstanding legal intellect, a savvy litigator, fair and firm in her judgments and, above all, a person of integrity and courage to do the right thing under all circumstances. She is highly regarded by peers and judges. In short, she has all of the qualities to be an exemplary federal district court judge. I most strongly urge your favorable consideration.

Very truly yours,

Eric K. Yamamoto

Eric K. Yamamoto
Professor of Law

bc: ✓ Sherry Broder, Esq.
Professor Jon Van Dyke

NORMAN MIZUGUCHI
PRESIDENT
MIKE MCCARTNEY
VICE PRESIDENT
ROSALYN BAKER
MAJORITY LEADER
LES IHARA, JR.
MAJORITY FLOOR LEADER
BRIAN TANIGUCHI
MAJORITY WHIP
CALVIN KAWAMOTO
MAJORITY CAUCUS LEADER
MARY GEORGE
MINORITY LEADER
MICHAEL M. F. LIU
MINORITY FLOOR LEADER

The Senate
The Eighteenth Legislature
of the
State of Hawaii
STATE CAPITOL
HONOLULU, HAWAII 96813



May 30, 1995

FIRST DISTRICT
MALAMA SOLOMON
SECOND DISTRICT
RICHARD M. MATSUURA
THIRD DISTRICT
ANDREW LEVIN
FOURTH DISTRICT
ROSALYN BAKER
FIFTH DISTRICT
JOE TANAKA
SIXTH DISTRICT
AVERY CHUMBLEY
SEVENTH DISTRICT
LEHUA FERNANDES SALLING
EIGHTH DISTRICT
DONNA R. IKEDA
NINTH DISTRICT
MATT MATSUNAGA
TENTH DISTRICT
LES IHARA, JR.
ELEVENTH DISTRICT
BRIAN TANIGUCHI
TWELFTH DISTRICT
CAROL FUKUNAGA
THIRTEENTH DISTRICT
ROD TAM
FOURTEENTH DISTRICT
MILTON MOLT
FIFTEENTH DISTRICT
NORMAN MIZUGUCHI
SIXTEENTH DISTRICT
REY GRAULTY
SEVENTEENTH DISTRICT
DAVID IGE
EIGHTEENTH DISTRICT
RANDY IWASE
NINETEENTH DISTRICT
CALVIN KAWAMOTO
TWENTIETH DISTRICT
BRIAN KANNO
TWENTY-FIRST DISTRICT
JAMES AKI
TWENTY-SECOND DISTRICT
ROBERT BUNDA
TWENTY-THIRD DISTRICT
MIKE MCCARTNEY
TWENTY-FOURTH DISTRICT
MICHAEL M. F. LIU
TWENTY-FIFTH DISTRICT
MARY GEORGE
CHIEF CLERK
T. DAVID WOO, JR.

The Honorable Daniel K. Inouye
United States Senator
Room 7325, Prince Kuhio Federal Building
Honolulu, Hawaii 96813

Dear Senator Inouye:

I am writing in strong support of Ms. Sherry Broder's application for the federal district court judgeship previously held by Judge Harold Fong.

I have worked with Sherry Broder in various capacities since the 1978 Constitutional Convention, and on issues supported by Hawaii Women Lawyers and the State Bar Association throughout my years in the State Legislature.

During this time, I have never failed to be amazed by Ms. Broder's ability to precisely frame difficult, seemingly-unquantifiable issues and to bring them to successful resolution. She has litigated such issues as heptachlor contamination on behalf of Hawaii consumers and human rights violations in the Philippines under the Marcos regime, or pursued legislation to prevent domestic violence, increase child care and leave options for working families, or served as a strong advocate for Hawaiian sovereignty issues with equal determination, innovative problem-solving and painstaking attention to detail.

She set for herself extraordinarily high goals in terms of establishing women lawyers as equal players in the legal profession — and proceeded systematically to establish the Hawaii Women Lawyers in the early 1980's, advocate for greater representation of women in judicial ranks, and became the first woman lawyer to head the Hawaii State Bar Association in 1993.

Sherry Broder would not have been able to achieve all of her numerous accomplishments in Hawaii during the past 17 years if she did not combine her trail-blazing instincts with an unusually keen sensitivity to the peoples and cultures around her. I believe that her combination of skills would make her an exemplary jurist; and urge your favorable consideration of her candidacy. Thank you for your consideration.

Very truly yours,


Carol Fukunaga
Senator, 12th District

KING, NAKAMURA & CHUN-HOON

JAMES A. KING
HIDEKI NAKAMURA
LOWELL K. Y. CHUN-HOON

ATTORNEYS AT LAW, A LAW CORPORATION

HAWAII TIMES BUILDING—SUITE 208
828 NUUANU AVENUE
HONOLULU, HAWAII 96817
PHONE (808) 521-8041

FAX (808) 521-8046

May 25, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington D.C. 20005

Re: U.S. District Court Candidacy of Sherry P. Broder
(District of Hawaii)

Dear President Clinton:

It is my privilege to write a letter of recommendation on behalf of Sherry P. Broder for the U.S. District Court vacancy in the District of Hawaii.

I have known the applicant as a fellow member of the Hawaii Bar for approximately a decade and served with her on the Board of Directors of the Hawaii Justice Foundation.

I believe Ms. Broder's credentials and awards on their face demonstrate that she is one of the preeminent lawyers of our generation. She graduated from Wellesley as a Wellesley Scholar and completed her legal education at the University of California at Berkeley in the top ten percent of her class. In 1993, the American Bar Association named her "Sole Practitioner of the Year" in its general practice section. The following year she was one of eight nominees for Trial Lawyer of the Year by the Trial Lawyers for Public Justice in Washington D.C. The National Wildlife Federation has similarly honored her for her work on behalf of consumers for litigation she brought to eliminate heptacolor contamination in local milk supplies.

Ms. Broder's local peers share the national assessment of her outstanding abilities. She was the first woman ever selected to serve as the President of the Hawaii State Bar Association in 1993. In 1986, she was celebrated by the Hawaii Women Lawyers with their Outstanding Woman Lawyer Award, and the Child and Family Services has recognized her as an outstanding attorney for her work on behalf of abused and neglected children.

A lifelong appointment for a U.S. District Court Judgeship, however, requires more than success and facility as a lawyer. From my decade of personal acquaintance with Ms. Broder, I can add that she possesses the tangible and intangible qualities that transform an excellent lawyer into an exceptional jurist. Though she is forceful and decisive as an advocate, she listens patiently and empathically. Despite the frustrations of complex trial work,

her temperment remains even-handed and dispassionate. She has the capacity to assess her own actions self-critically, to recognize her own mistakes and to correct them.

She also has remarkable determination and creativity. One of her most noteworthy cases involved suing the estate of Ferdinand Marcos on behalf of torture victims of his regime. While most lawyers originally dismissed this lawsuit as quixotic, she has since secured a judgment against his estate and is now pursuing the actual collection of payment.

Instructively, she has not built her practice only on notorious cases, though she has had more than her share of them. In my own dealings with her, she has often called me to discuss workers' compensation claims, an area I specialize in. I found repeatedly that she has taken on seemingly hopeless cases solely because of her compassion for clients. The cases clearly have only the barest prospect of monetary reward for her, but involve personal tragedy and destitution for injured workers. Where other lawyers have shunned such claims, she has taken them on, operating informally as a "court of last resort" in our community. That she has consistently taking such workers' compensation claims when so many other lucrative or publicity-worthy cases are available to her suggests a rare purity of motivation.

At time when public confidence in government institutions has been eroded by cynicism and despair, Ms. Broder has distinguished herself by her persistence and courage in the pursuit of democratic and humanitarian values. This devotion and her character, even more than her deserved reputation as a superior advocate, make her uniquely well qualified for appointment to the federal bench in Hawaii.

Thank you very much for considering these comments.

Yours sincerely,

KING, NAKAMURA & CHUN-HOON

Lowell K.Y. Chun-Hoon
Lowell K.Y. Chun-Hoon

CAROL MON LEE
1006 Kii Oni Oni Loop
Honolulu, Hawaii 96816

Tel: (808) 735-1012

Fax: (808) 735-3546

May 23, 1995

President William J. Clinton
United States Whitehouse
1600 Pennsylvania Avenue
Washington, D. C. 20005

RE: **SHERRY P. BRODER**
U.S. District Court Judge
for District of Hawaii

Dear President Clinton,

It is with pleasure and honor that I send this Letter of Recommendation regarding **SHERRY P. BRODER** in her application for appointment to the U.S. District Court for the District of Hawaii. Her unique combination of qualifications will enhance the judiciary and greatly benefit the people of Hawaii.

I have known Ms. Broder for over 20 years and have worked with her closely on many matters. As a lawyer, former law professor, corporate executive, and community volunteer, I have worked with many lawyers in Hawaii and on the mainland. Few lawyers anywhere could match Ms. Broder's accomplishments.

Ms. Broder is an excellent lawyer.

Ms. Broder has a well-deserved reputation for intelligence, integrity, hard work, and fairness. Her mind is quick, curious and always ready for a challenge. She has been involved in a wide variety of legal matters in federal and state courts, including class actions.

She is prominent on the local, national and international level for her outstanding legal work in major cases including the Marcos case and the Heptachlor case. She has donated much time, energy and resources to represent those who might not otherwise be represented. The Hawaiian community has also great respect for Ms. Broder's work as the chief attorney for Office of Hawaiian Affairs since 1978. Her solo practice has been singled out nationally.

She has been honored and recognized for these outstanding achievements throughout her career, bringing great honor not only to herself, but to the legal profession and the people of Hawaii.

Ms. Broder is a gifted writer and scholar.

She is a clear thinker who can both understand the law and explain it well. She was a top student in college and law school and has continued to write articles on a variety of topics. Her keen intellect and her willingness to help have made her a resource to many.

Ms. Broder is a highly respected community and professional leader.

Ms. Broder has been one of those few people who care enough to volunteer and make a difference. As the first woman president of the Hawaii State Bar Association, she brought a new awareness to both the bar and the public. Her leadership in professional activities has made her a role model by opening the door for others.

Ms. Broder has also been selfless in supporting others in reaching their goals. For this, she has the gratitude of many. Her numerous volunteer activities throughout the years tell you that Ms. Broder has been generous in using her many talents to help our community.

Ms. Broder is compassionate and solid.

Throughout the many years I have known Ms. Broder, I have been particularly impressed by her ability to pursue her career while maintaining a strong and supportive family and network of close friends. Her values are solid and her convictions are strong.

Ms. Broder strives for excellence in every endeavor she pursues. Her qualifications for the bench are outstanding. She will bring great honor to you and to the people of Hawaii.

Your support of Sherry Broder is most appreciated.

Very truly yours,

CAROL MONLEE



STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
711 KAPI'OLANI BOULEVARD, SUITE 500
HONOLULU, HAWAII 96813-5249
PHONE (808) 594-1868
FAX (808) 594-1865

May 23, 1995

President William J. Clinton
United States White House
1600 Pennsylvania Avenue
Washington, D. C. 20005

Dear President Clinton:

I am writing to give the highest recommendation possible to Sherry P. Broder who has applied for the position of United States District Court Judge for the District of Hawaii.

I have worked with Ms. Broder for several years in her capacity as the attorney for the Office of Hawaiian Affairs. I am very familiar with her professional abilities. Ms. Broder has consistently demonstrated excellent skills as an attorney and possesses strong analytical abilities. She has been a loyal advocate for the Hawaiian people for almost 20 years. I have always been impressed with her intelligence, integrity, and zealous advocacy. Ms. Broder has proven herself as an outstanding litigator and appellate advocate. She has extensive and distinguished experience in state and federal courts. Ms. Broder is a very able attorney, well versed in the law. Ms. Broder is very intelligent, diligent, and scholarly.

Ms. Broder has personal qualities that will serve her well as Judge. Ms. Broder has an outstanding record of community service and thus I am not surprised that she has chosen to enter public service. She has consistently demonstrated her commitment to all segments of our community. She is a recognized community leader and has always fulfilled her duties with commitment and excellence. Her knowledge and experience will be a tremendous asset to the people of the State of Hawaii. Furthermore, she has good common sense.

Letter to President Clinton
May 23, 1995
Page 2

I can state without reservation that Ms. Broder is an excellent candidate for United States District Court Judge and would serve the people of Hawaii well. I am confident that Ms. Broder would be a compassionate, fair, honest and hard working judge. Ms. Broder's integrity and moral courage are above reproach. I respectfully request that you nominate Ms. Broder for the position of United States District Court Judge for the District of Hawaii.

Sincerely,


CLAYTON H.W. HEE

LEGAL EAGLES

About eight years ago, in an effort to open its ranks to both sexes, the Pacific Club invited 20 prominent women to submit applications for membership. But, the next year, a new board of governors decided not to put the issue up for vote to the general membership, and the invitation was rescinded. Insulted

by those actions, several of those women—members of the Hawaii Women Lawyers (HWL)—mobilized the group for action. The association lobbied for state legislation to force private social clubs that discriminated against women to either open their membership rosters or forfeit their liquor licenses.

Although the "Pacific Club Bill" never passed into law, it sent a loud message to men-only clubs throughout the state. A few months later, the Pacific Club admitted its first woman member. "We meant business and decided to use the tool we knew best—the law," says Carol Mon Lee, who in 1984 was the second woman, first woman attorney and first Asian woman to be admitted to the Pacific Club.

While the group has gained a local reputation in its fight for equal rights at men's clubs, other issues have earned HWL special recognition nationally. In 1988, the group received an achievement award from the National Association of Women Lawyers and the American Bar Association Young Lawyers Division. The honor was for HWL projects such as a 24-hour domestic violence legal hotline, public forums on women's reproductive rights, a speaker's bureau for community groups seeking women attorneys and judges to speak on legal issues, and an annual communications workshop co-sponsored by other professional women's groups. HWL also received the 1989 YWCA Leadership Award for Community Enhancement based on those programs.

Those projects reflect the growth and maturity of the now-14-year-old organization, which was formed in the 1970s because women attorneys in the state felt isolated from the rest of the legal community. Attorney Rai Saint Chu, the founder of the organization, says she felt like an oddity when she moved to Hawaii in 1971. Fresh out of law school at the University of Maryland, she was then one of only a dozen or so women maintaining active law practices in the Islands. "I felt a strong need to band together with other women attorneys to increase our visibility and acceptance in the community," she recalls. "But I had to wait for the right opportunity to come along."

That opportunity came in 1976 when the University of Hawaii School of Law graduated its first class of 52 students, a third of whom were women. Those new members of



From left: HWL founder Chu and early member Broder Say. Chu, HWL was instrumental in breaking down the barriers at the Pacific Club.

PHOTOS BY JAMIE WEINER

the bar provided a fresh batch of resources," says Chu, who invited about 50 women attorneys to a cocktail party at a Honolulu art gallery. One of the 30 who attended was Carol Mon Lee, who had moved to Hawaii in 1975 to teach at the law school. Another was Sherry Broder, who had begun practicing law in the state in 1976.

The three consequently became good friends and over the next two years were the prime movers of the fledgling and still informally organized Hawaii Women Lawyers. Chu, Lee and Broder organized luncheons with state legislators, set up seminars and held bi-monthly brown bag lunches in the conference rooms of HWL member firms. In 1981, it was decided that a more formal organization was needed, and HWL was incorporated as a professional organization with Lee as its president.

Today, HWL's membership has grown to 500. There are more women in the legal profession and more working in all areas of law, government and business, says Lee, now senior vice president and senior trust officer at Bishop Trust. "We have finally been accepted by our peers." The organization has focused its current efforts on getting more women attorneys to be named partners, judges and members of non-profit boards. It has also done work to eliminate gender bias in the language of the Hawaii Revised Statutes, fostered stronger relationships with other women's organizations and raised everyday issues affecting women lawyers in their daily practices, such as child care and family leave policies.

HWL President Ellen Carson is also working with members of the state Commission on the Status of Women and other women's groups to publish a directory of local women who excel in areas such as business law and

Attorney Lee, the first president of HWL, incorporated in 1981. "We meant business and decided to use the tool we knew best—the law."

medicine. Other projects supported by the Hawaii Women Lawyers Foundation—a non-profit, tax-exempt sister organization to HWL—include a biography project on the first women attorneys in the state and the publication of a 200-page handbook of legal rights for women in Hawaii.

Carson, who left a booming law practice in Washington, D.C. to join the law firm of Paul, Johnson, Alston & Hunt in 1987, is credited with guiding HWL's recent fight against discrimination in private clubs. She explains that the group has thrived on Hawaii's progressive stance on most women's rights issues. "We were one of the first states to allow women to practice law, to ratify the Equal Rights Amendment and to decriminalize abortion," says Carson. But she adds that there is still a long way to go, especially when it comes to promoting her own profession. "We don't have any female judges on our Supreme Court or appellate courts. We need more women attorneys teaching

at the University's law school and serving on local boards and commissions," says Carson.

According to founder Chu, who practices law with husband Richard Turbin, HWL is the perfect organization to pursue such equal rights issues. "HWL was instrumental in breaking down the barriers at the Pacific Club, but we're committed to doing more than just eradicating discrimination by fighting the establishment," says Chu. "We plan to be active in all areas affecting women in our community."

—Lucy Jokiel



The President's Aloha



By Sherry P.
Broder

My term as HSBA President has been a rewarding experience for me. This year has seen many HSBA accomplishments and I would like to briefly review some of the highlights.

1. Judicial Selection. This summer the HSBA co-sponsored the Citizens Conference on Judicial Selection. The Conference recommended that the list of nominees sent from the Judicial Selection Commission to the Governor and Chief Justice be made public at some point in time, that an ethics code for Judicial Selection Commission commissioners be adopted, and that the ones who appoint to the Judicial Selection Commission should be changed. The Governor and Chief Justice have adopted the recommendation that the lists be made public. Thank you to Larry Okinaga, Jeff Crabtree and others for their tireless efforts.

The HSBA testified in support of the confirmation of State District Court appointments at the legislature. The HSBA supported formalizing the criteria and publishing the selection process for per diem judges.

2. Hawaii Rules of Professional Conduct. The HSBA conducted hearings for its members on all the Islands on the new Hawaii Rules of Professional Conduct. Members of the Supreme Court's Model Rules Committee - Professor Addison Bowman, William Meheula, and Justice Baird Kidwell - provided valuable input and were important resources in the process. The Hawaii Supreme

Court expressed its interest and commitment through Justice Steven Levinson who attended all the hearings. Justice Kidwell authored a 17-page summary of the proposed rules of professional conduct that was published in the Hawaii Bar Journal. The HSBA Board has forwarded its recommendations to the Hawaii Supreme Court.

The Judiciary's Judicial Evaluation Program has been implemented and the HSBA will continue to work with the Judiciary on the program. I urge all attorneys to complete the evaluation forms so the program can be as complete as possible.

The number of judges on the appellate bench will be an important issue in the future. The Hawaii Supreme Court is already overburdened with its caseload and additional appellate judges are needed. This need may be met with an additional intermediate court bench. I had earlier proposed that our 5-member Supreme Court is small compared to many other states. Increasing the size from 5 to 7 would ensure diversity of opinion and provide a broader range of views.

3. Delivery of Legal Services to the Poor. In the Fall of 1993 HSBA co-sponsored a conference with the Hawaii Bar Foundation, Legal Aid Society and others to respond to the Report on the Assessment of Civil Legal Needs of Law and Moderate Income People in Hawaii.

The Supreme Court has adopted the ABA Model Rule of a 50-hour aspirational goal of pro bono services. Direct delivery of legal services will continue to be a serious unmet need and lawyers should continue to expand our activities in support of the delivery of free legal services.

4. Non-Dues Revenues. Coralie Matayoshi and the Membership Benefits and Services Committee have been outstanding in their ideas

and efforts to raise non-dues revenues. Proceeds from the HSBA's fundraising event, "Reaching for the Stars: Lawyers in High Places", will benefit the Legal Aid Society and the Hawaii Bar Foundation. The HSBA now has royalty arrangements and endorsements with several companies.

5. Breaking the Glass Ceiling. 1993 has certainly been a year of recognition and advancement for women within our nation. In particular, the First Lady of our nation, Hillary Clinton, has played a significant part as a role model for women; Janet Reno was appointed as the first woman Attorney General, and Dr. Jocelyn Elders as the first woman Surgeon General. In addition, Justice Ruth Bader Ginsburg was appointed to the U.S. Supreme Court and Justice Paula Nakayama was appointed to the Hawaii Supreme Court. During a period of such firsts for women in leadership roles, as the first woman President of the Hawaii State Bar Association, it truly has been an honor to serve the legal community of Hawaii. I encourage and support the continuation of women in leadership roles within our state bar association.

I have enjoyed serving as bar president. I would like to take this opportunity to thank Coralie Matayoshi, Iris Ito, Karen Yoshida, Jaylene Sarcedo as well as everyone else in the office for their hard work, support and encouragement. I would also like to thank the Board of Directors for their hard work and learned advice. Finally, I wish good luck to my successor, John Komeiji, who has been very supportive and a pleasure to work with and to extend him my best. □

Meeting Hawaii's Unmet Legal Needs



By Sherry P. Broder

The Hawaii Supreme Court and the Hawaii State Bar Association have been engaged in what we believe is a critical effort--revising the Code of Professional Responsibility. One important part of this effort is to focus the energies of our profession on providing high quality legal services to the poor and disadvantaged.

A report commissioned by the HSBA, along with the Hawaii Bar Foundation, the Legal Aid Society of Hawaii, and Hawaii's Lawyers Care, released last month has identified a severe and growing unmet need for legal representation of the poor and near-poor across the United States. Hawaii's poor experienced more than 200,000 civil legal problems in 1991, but fewer than 10 % of these problems received legal attention. From these figures alone, we can see that we are not achieving the highest goal of our profession: "Equal Justice for All."

Since 1981, the budgets for the Legal Aid Society for Hawaii--and for its counterparts in other states--have been devastated by cuts at the federal level. Appropriations for the federal Legal Services Corporation were reduced by 25 % during the Reagan years. Hawaii's Legal Aid Society lost half of its staff attorneys in the wake of this severe retrenchment. In addition, the remaining attorneys were told they should not pursue certain types of litiga-

tion no matter how compelling the needs of the poor for relief.

In Hawaii, the Native Hawaiian Legal Corporation and Na Loio Na Ka Oi also provide legal services to two special low-income populations: native Hawaiians and immigrants. Hawaii's lawyers have responded to the unmet needs by creating Hawaii Lawyers Care, a pro bono referral and education program. Hawaii Lawyers Care has provided referrals and advice to more than 2,000 low-income individuals a year. These programs have served a vital need in the community, but are seriously underfunded and need to be expanded to the neighbor islands.

Last month's report identified other special populations of low-income people who are particularly in need of additional legal assistance: homeless, children, people with HIV and AIDS, people with disabilities, people with language barriers, and inmates in our prisons. Chief District Judge Alan Kay has asked the HSBA to assist in finding ways to recruit attorneys to assist prisoners with civil legal problems, because the federal courts are receiving increasing numbers of prisoner petitions.

To provide adequate services for our citizens below the poverty line requires a network of full-time, professional poverty law offices staffed by expert practitioners. The legal services attorneys in Hawaii and elsewhere make less than half of what they would be making if they took jobs in the private firms for which they are well qualified. Last month's report said that the salaries and number of attorneys at Legal Aid must be increased immediately.

The HSBA is proposing adoption of the American Bar Association model pro bono rule, to be included in the new Code of Pro-

fessional Conduct. Under the proposed new rule, each attorney should aspire to provide at least 50 hours of pro bono legal services each year. Twenty-five hours should be without fee to persons of limited means or to organizations designed to address the needs of persons of limited needs. The remaining hours can be served by providing services at substantially reduced fees or by working for improvement of the law or legal profession through organizations such as the HSBA. In addition, the rule urges lawyers to contribute financial support to organizations that provide legal services to persons of limited means.

The William S. Richardson School of Law has undertaken a bold new initiative that looks to the future of our profession. The law school has adopted the requirement that its students perform pro bono services as part of its graduation requirements.

Those of us already admitted to practice must also act to address and serve the legal needs of Hawaii's poor. Only when all citizens have equal access to our legal system will we have fulfilled the highest ideals of our profession. □



The Status of Our Pacific Island Neighbors



By Sherry P.
Broder

One of the significant products of the 1993 legislative session was the enactment of a bill authorizing persons of Hawaiian ancestry to vote in a referendum next fall on whether to convene a gathering to draft an organic document to govern the sovereign Hawaiian nation. This vote promises to be a major step in the effort to restore the Hawaiian nation and address the claims Hawaiians have against the federal and state governments for their loss of land and sovereignty.

While our community has been making progress on these fundamental issues, our island neighbors in the western Pacific have also been addressing fundamental issues regarding their status. Their evolution is of importance to us here because they will affect the nature of the Pacific basin community in coming years.

The situation in the *Territory of Guam* is perhaps the most poignant and challenging. Guam became part of the American political community in 1898 as a result of the Spanish-American War, but its status--now after 95 years--remains undefined and unresolved. Officially it is an "unincorporated territory," which means that parts of the U.S. Constitution apply there, and others do not. It has some self government, but Congress can overrule acts of its legislature. It does not vote for the U.S. President or have a voting representative in Congress. It gains some economic benefits by

being part of the United States, but politically it can only be described as a colony.

Like Hawaii, Guam also has an indigenous population--the Chamorros--who are now a minority in their own land. Like the Hawaiians, the Chamorro people have never had a chance to exercise their right of self-determination, and they would like to do so now.

The people of Guam have drafted a "Commonwealth Act" that would reconstitute their relationship with the United States. It would introduce a relationship of mutual consent, whereby the Guamanians would determine which U.S. laws would apply there. In many ways, it would be a relationship of "free association", like that adopted by the Federated States of Micronesia and the Republic of the Marshall Islands. The Bush Administration rejected this initiative, but the Clinton Administration will hopefully take a more sympathetic approach toward addressing the concerns of the people of Guam and resolving this long-standing question of status.

The *Commonwealth of the Northern Mariana Islands (CNMI)* lies just north of Guam, and it too has unresolved status issues. The CNMI does at least have a governing document--a Covenant that was accepted by Congress in 1975. But the Bush Administration and the people of the CNMI differed sharply on what the 1975 Covenant means. Does it really grant self-government, or does Congress reserve the power to reverse legislation adopted by the CNMI? Like the Guamanians, the people of the CNMI do not vote for president or for a voting member of Congress. Also, neither political community controls the ocean resources adja-

cent to its islands, except those in the first three miles.

Two other Pacific island communities searching for a status are *American Samoa* and *Palau* (Belau). American Samoa is in the same limbo status as Guam and the CNMI, with no effective voting power in Washington and no control over its offshore resources. Palau has been trying to adopt the status of a "Freely Associated State" but has had difficulty doing so because it also has wanted to be a nuclear-free community. Palau's recent amendment to its constitution may finally resolve this impasse.

The ambiguous status of these communities makes it difficult to know how they fit into regional and international affairs. Surely we should be able to develop appropriate political relationships that allow our island neighbors to have autonomy and dignity--and to keep their own culture--while still being affiliated with the United States.

The previous administration took the position that the only political options were "states" and "territories"--nothing in between was acceptable. Can't we be more imaginative and allow for a meaningful "commonwealth" relationship? Or should we amend our Constitution and allow these islanders to vote for President and have some real voting representation in Congress? Let us hope these frustrating ambiguities can be resolved in the next few years, as we also try to address and resolve the status of Hawaiians here. □

Why People Like Us



By Sherry P.
Broder

The September issue of the ABA Journal published the results of a poll documenting the public's views on lawyers, which was summarized by the press as showing that the more one knows about lawyers the less one likes us. This flippant synopsis is somewhat misleading, but the poll does report that Americans who are well-educated, affluent, older, and male have an unfavorable view of lawyers while those who are nonwhite, poor, young, and female generally think we are OK. Overall, only 40 percent of the respondents have a favorable view of lawyers, compared to 84 percent who have a favorable view of teachers, 71 percent who have a favorable view of doctors, 60 percent who have a favorable view of accountants, and 56 percent who have a favorable view of bankers. Clearly, we must recognize that we lawyers have an image problem that needs to be addressed.

The part of the poll that I find to be most interesting is that the poor and members of the disadvantaged racial groups have very positive images of attorneys. Among African-Americans and Hispanics, 56 percent had a favorable view of attorneys and only 19 percent had an unfavorable view. Persons in families making less than \$20,000 reported a 48 percent favorable view of attorneys and only a 28 percent negative view. Young people also reported favorable views--46 percent positive and only 29 percent negative.

In our search for a silver lining in this poll, it is interesting to consider why these groups maintain a positive view of our profession. One part of the explanation is certainly that attorneys have contributed in a very positive way to addressing and solving issues of social injustice in our society. Among the many triumphs that our profession can share the credit for are Gideon v. Wainwright (1963), where Abe Fortas established in a pro bono representation before the U.S. Supreme Court that every criminal defendant has the right to an attorney, and Brown v. Board of Education (1954), where Thurgood Marshall persuaded the U.S. Supreme Court that segregated school systems violated the Equal Protection Clause of the U.S. Constitution. Ruth Bader Ginsburg, now a Justice on the U.S. Supreme Court, helped to establish the right of women to be treated equally with men by litigating cases such as Frontiero v. Richardson (1973). Less publicized cases have established the right to procedural due process whenever governmental actions threaten property rights and statutory entitlements, and have protected the right to vote and travel freely without discrimination based on economic means.

In Hawaii we have had many courageous members of the bar who have fought for the rights of the oppressed. Harriet Bouslog blazed trails for the rights of women and the rights of persons falsely accused during the McCarthy era. More recently, Clayton Ikei has been waging uphill battles on behalf of Japanese-Americans and others who have been discriminated against because of their race. Everyone working at the Native Hawaiian Legal Corporation (NHLC) de-

serves our praise and thanks for their selfless work on behalf of the Hawaiian community. Melody MacKenzie, who worked for many years at NHLC, now heads the Individual Claims Commission, which is designed to provide redress for persons who have been injured because of the actions and inactions over the years of the Hawaiian Homes Commission. Dan Foley has effectively represented members of our community who wish the state to recognize their union with a member of their own sex as entitled to the status of a marriage. Daphne Barbee and the other members of the Civil Rights Commission work tirelessly without compensation, and Livia Wang and the other staff members of that commission are playing an important role in trying to create a community without discrimination. Susan Ichin, Lowell Chun-Hoon, and Elizabeth Fujiwara fight for the rights of persons treated unfairly in the workplace. All the attorneys who work at the Legal Aid Society, the Public Defender's Office, Hawaii Lawyers Care, and other service-oriented offices are representing all of us in bringing legal services to the disadvantaged. Any list of this sort runs the risk of leaving off other fine attorneys, but the point of such a list is to recognize that many many of us are doing important selfless work to try to improve our community.

The ABA poll points out that our image is not blemish-free, but it is reassuring to know that we are appreciated by the poor and disadvantaged in our community. This survey should encourage us to redouble our efforts to improve our community. Attorneys have served our state and nation well in the past, but much remains to be done. □

Enforce the Rule of Law in Armed Conflicts



By Sherry P. Broder

Many Americans have had a difficult time deciding what action our country should take with regard to the fighting in Bosnia. Whose side should we be on? What U.S. interests, if any, are at stake?

In fact, it is difficult even to classify this conflict. Is it a civil war? Is it a war between sovereign countries? Bosnia proclaimed its independence in March 1992, and was recognized the next month as a sovereign and independent country by the West, but it now appears to be clear that many Bosnians did not support the declaration of independence. The Bosnian population is roughly 43 percent Muslim, 31 percent Serbian, 17 percent Croatian, and 9 percent "other." Only the Muslim group strongly supported Bosnian independence, and the other ethnic groups feared a Muslim-dominated Bosnian government.

Obviously it is in the interest of the United States to try to promote an end to the bloodshed, and our government does seem to be acting to assist in the process of bringing the conflict to some sort of a resolution. The division of Bosnia into a loose federation divided along ethnic lines appears to be the only possible solution given the absence of any tradition of national unity or ethnic/religious tolerance.

We also have one other clear national interest which should not be forgotten in this process -- to

promote the rule of law, which in this case includes international law and the laws of armed conflict, sometimes called humanitarian law.

The Bosnian conflict has been an unusually nasty one, involving continuous bombing attacks on civilians and massive relocations of populations. Hundreds of thousands of people have been uprooted from their villages and traditional lands and forced to become migrant refugees. Reports persist of rapes on a grand scale, concentration camps, and ethnic cleansing campaigns. People have been herded into detention camps with inadequate food, shelter, and medical care. Snipers in the areas surrounding Sarajevo have appeared to deliberately target civilians. The military and civilian leaders who planned and perpetrated these crimes must be brought to account, without regard to which side they are on.

In May of this year, the United Nations Security Council established an 11-judge tribunal to hear charges of war crimes in the former Yugoslav federation and to impose prison terms on those found to be guilty. The U.S. Ambassador to the United Nations, Madeleine K. Albright was eloquent in articulating the need for this tribunal:

We must ensure that the voices of the groups most victimized are heard by the tribunal. I refer particularly to the detention and systematic rape of women and girls, often followed by cold-blooded murder. Let the tens of thousands of women and girls who courageously survived the brutal assault of cowards who call themselves soldiers know this: your dignity survives as does that of those who died.

A team of Canadian lawyers and military police investigators have been compiling evidence regarding these crimes during the past summer and as of this writing final decisions are being made on who should be named to serve as judges and chief prosecutor for the tribunal.

We should all support the effort to bring this tribunal into being and to ensure its success. It is always easier to forget about atrocities once a war is over, and try to get on with rebuilding the war-torn areas. But it is shortsighted to abandon the effort to enforce war crimes because only through vigorous enforcement can we hope to limit the scourge of war in the future.

Although President Bush spoke boldly about prosecuting Saddam Hussein because of the atrocities he caused before and during the Gulf War, that effort was quietly abandoned and Saddam continues his brutal ways against his own people, particularly the Kurds and Shiite Muslims. A Compensation Commission has been established to allow persons who have lost property to recover against Iraq, which is a good first step, but no personal responsibility and liability is being established through a criminal tribunal.

In the Bosnian situation the world community has a chance to undertake the prosecution of war criminals with vigor, but the support of all of us in the legal profession will be necessary to ensure that proper resources are provided for this effort. If the Bosnian tribunal is successful, a sense of hope will have emerged from this war which might deter future crimes against humanity. □

Pros Do Pro Bono



*By Sherry
Broder*

We should all be proud to be admitted to practice law in Hawaii and to be professionals in the legal system. America's system of justice is a critical part of the fabric that holds our democratic society together. We as lawyers and judges play an integral role in protecting and vindicating the rights and claims of all - rich and poor, corporations and individuals, and advocates of every imaginable perspective.

Other nations may not have as many attorneys per capita as we do. However, their citizens do not have the rights and privileges that we have. In many societies, there is no right to bring your grievances and disputes to court or it is too expensive for the ordinary citizen to do so. The penalty for exercising your opposition to the government or to those with power and money can be torture, disappearance, or summary execution. And there is no recourse in the courts of those countries for these abuses. In 1992, Congress passed the Torture Victim Protection Act to codify the right of aliens to use American courts to seek justice for these types of abuses.

Nevertheless, the promise of equality and justice requires the vigilant protection of rights and access to the legal system for all persons. Certain segments of our society historically have been underrepresented - for example, children, the disabled, the elderly, and the poor. The personal commitment of Hawaii's legal community is the underpinning that supports a myriad of programs and activities within the

legal profession aimed at providing the best quality service to the underrepresented. In my own experience, lawyers and judges donate countless hours in pro bono and other public service activities.

In recent years, lawyers and judges have contributed substantially to establishing a number of organizations to assist underrepresented groups such as Hawaii Lawyers Care, Na Loio No Na Kanaka, Native Hawaii Legal Corporation, and the Domestic Violence Legal Hotline. Over two hundred voluntary Hawaii attorneys assisted Hurricane Iniki victims. The William S. Richardson School of Law has adopted a mandatory pro bono program for law students. The HSBA has adopted a voluntary program encouraging members to contribute at least two hours per month or twenty-four hours per year to pro bono activities. Each one of us should be able to meet this reasonable goal. The ABA adopted a resolution in 1988 urging all lawyers to devote at least fifty hours per year to pro bono and other public service activities.

At the February 1993 Mid Year meeting, the ABA will be debating whether to incorporate the fifty hour standard into the Model Rules of the Professional Conduct. The HSBA will be evaluating the Hawaii program this year to determine our future direction in this vitally important area.

To qualify as pro bono, legal services must be provided without fee or expectation of a fee. Services rendered cannot be pro bono if an anticipated fee is not collected. Pro bono includes a very wide range of activities: representing clients with Title VII claims, civil liberties and civil rights claims, environmental protection claims, serving on boards of pro bono or legal services programs, serving on boards of charitable, civic, and educational institu-

tions, acting as a continuing legal education instructor, providing free training or mentoring to those who represent persons of limited means, and engaging in legislative lobbying to improve the law, the legal system or the profession.

Government attorneys and judges have special concerns and restrictions in the area of pro bono. Nevertheless, given the expansive definition of pro bono, they are able to engage in pro bono activities in ways other than representing individual clients, such as education and mentoring.

The most pressing need for pro bono legal services is for the economically disadvantaged. The legal problems of the poor often involve areas of basic needs, such as income and entitlements, child support and shelter. The failure to have effective legal counsel can result in homelessness or denial of medical benefits and public benefits. The private bar cannot be expected to meet the full extent of this need. The State and Federal governments need to provide adequate funding for the organizations that service the poor and disadvantaged.

Although the HSBA has adopted an annual hourly aspirational standard, we should continue to expand our activities in support of the delivery of free legal services. In addition, those who are able should help to financially support the work carried out by legal services organizations.

We should continue to proceed along this path of pro bono service to the community to promote meaningful access to justice for all persons regardless of economic or social condition. □

President's Column



By Sherry Broder

January 17, 1993 marks the 100-year anniversary of the overthrow of Queen Liliuokalani and the Hawaiian Nation, probably the most significant event in the history of Hawaii. Queen Liliuokalani yielded under protest to the "superior force of the United States of America...to avoid any collision of armed forces and perhaps the loss of life...until such

time as the Government of the United States shall...undo the action of its representatives and reinstate me in the authority which I claim as the Constitutional Sovereign of the Hawaiian Islands." Then-President Grover Cleveland acknowledged that "a substantial wrong was done that required that we should endeavor to repair" and rejected the petition of the insurrectionists to annex Hawaii.

In this coming year there will be discussion, debates, and protests. The courts and legislature will be called upon to resolve controversies. As lawyers, we will be resources to the community on the Native Hawaiian claims for sovereignty; return of their lands; preservation and revitalization of their culture and language; improving their health, education, and housing; and the exercise of traditional access and gathering rights.

Native Hawaiians are the largest indigenous group of people in the United States. From Western contact in 1778 until 1893, the Kingdom of Hawaii was recognized as a sovereign nation by Western nations and by the U.S. By contrast, tribes in the Americas were considered subordinate.

Nevertheless, Native Hawaiians have never been granted formal federal recognition as a sovereign or quasi-sovereign entity. They do not have self-governance over Hawaiian Homelands. Native Hawaiians have been denied the right to sue for damages or injuries to their trust lands in federal court, although federal courts are vested with original jurisdiction of civil actions "brought by any Indian tribe or band with a governing body duly recognized by the Secretary of the Interior." Only since 1974 has Congress enacted benefits for Native Hawaiians parallel to services provided to other Native Americans. These bills acknowledge the social, health and educa-

tion problems of Native Hawaiians. In almost all of these acts, Congress has defined Native Hawaiians persons with any quantity of Hawaiian blood.

Most importantly, Congress recently passed the Native Hawaiian Health Care Improvement Act of 1992, which specifically acknowledges the "special responsibilities and legal obligations to the indigenous people of Hawaii resulting from the unique and historical relationship between the United States and the Government of the indigenous people of Hawaii." This Act recognizes the severe health problems of Native Hawaiian people and seeks to provide existing Native Hawaiian health care programs with resources to raise the health status of Native Hawaiians.

In 1978, Hawaii voters approved the proposal of the Constitutional Convention to create the Office of Hawaiian Affairs. Today, Native Hawaiians have some control over a portion of the ceded lands revenues and have thus achieved a measure of self-governance and autonomy on the state level. In the last two decades, numerous and diverse native groups have organized around self-governance issues. Our Hawaii Supreme Court recently addressed the protections in Article XII of the Hawaii State Constitution, protecting traditional access and gathering rights necessary for subsistence, culture or religious purposes. The court emphasized all such rights were reaffirmed and expressly adopted in the Hawaii Constitution. See *Pele Defense Fund v. Pary* (Haw. Sup. Ct., Sept. 28, 1992).

As a society, we have a strong commitment to grant or deny benefits without regard to race, creed, or color. However, we also recognize the special status of native groups, not for racial reasons, but rather because of the legal and political status that native groups have in American

Get Your Money's Worth!

You should choose your court reporter as you would any other professional. For what it's costing you, wouldn't that be the prudent thing to do?

Please continue to call
Miyata Reporting Services, Inc.

1188 Bishop St.
Century Square, Suite 271
Honolulu, HI 96813

537-2955

Law. The United States Supreme Court has explained that this unique status exists between the U.S. government and native peoples as a result of historical events, whereby the United States and its citizens overcame the natives and took possession of their lands. See *Morton v. Mancari*, 417 U.S. 535 (1974).

Iolani Palace will be draped in black in January 1993. Please take time to read the feature article by Melody MacKenzie about the legal and historical basis for Native Hawaiian claims for land and sovereignty. I urge each one of you to learn about and understand the issues so that the legal community can better serve the community at large. □

Attorney Mentor Program

Over 75 experienced attorneys are available to serve as mentors to young associates. These mentors are experienced in areas ranging from *criminal litigation, *civil litigation (including personal injury, commercial, and insurance defense), *workers compensation, *real estate, *general practice, *family, *tax and estate planning, *immigration, and more.

A master list of mentors is compiled and available upon request from the Hawaii State Bar Association. Call Peg Debo at 537-1868 for a list of mentors today! □

Mailing Labels Available:

HSBA mailing labels can be purchased at a special rate of 5-cents per label plus set up and special sort fees.

We can sort categories of members so that you can target your mailing list. For further information, please call Sharlette at 537-1868. □



Letters to the Editor

The *Hawaii Bar Journal* welcomes readers comments. The editors reserve the right to edit letters. Readers are encouraged to submit one-page letters, double-spaced. Letters to the Editor should be mailed to: Hawaii State Bar Association, 1136 Union Mall, PH1, Honolulu, HI 96813. □

THE FINE ART
OF DOING BUSINESS
COMES TO WAHAE

PARK PLACE

Victims of Marcos' torture are awarded \$766.4 million

HONOLULU (AP) Victims of torture under the regime of late Philippine President Ferdinand Marcos were awarded \$766.4 million by a U.S. District Court jury Wednesday.

Now lawyers for the 9,070 plaintiffs in the class-action suit must begin the daunting task of collecting the money from the Marcos estate despite opposition from the Philippine government.

"I hope this sends a message to other dictators who abuse their citizens," said Honolulu attorney Sherry Broder, who represented the victims.

Marcos and his family fled the Philippines in 1986, and he died three years later while in exile in Hawaii.

In 1993, the same jury found the Marcos estate liable for the torture, summary execution and disappearance of thousands of Filipinos under Marcos' rule between 1972 and 1986.

In February, it awarded the plaintiffs \$1.2 billion in "exemplary" damages. The award is believed to be the highest ever in the state.

Wednesday's award was for "compensatory damages" and is the second-highest ever, said Robert Swift, another attorney for the plaintiffs.

The Marcos estate's worth has been estimated at \$1.4 billion. Bill Johnson, attorney for the estate, said it will appeal.

Attorneys for the torture victims have asked visiting U.S. District Judge Manuel Real of Los Angeles to make permanent his preliminary worldwide injunction against the transfer of Marcos assets.

They have asked Real to hold Marcos' widow, Imelda, in contempt of court for violating the preliminary injunction issued in 1991.

Real has scheduled a hearing for Friday on the request. Swift said he may ask Real to

issue a bench warrant for Mrs. Marcos' arrest should she come to this country or to impose financial sanction against her and her family.

The award was decided by a close examination of the complaints of 137 plaintiffs selected at random, allowing for a 5 percent margin of error.

Court-appointed master Sol Schreiber questioned the victims and verified all but six of the complaints, but the jury held up all but two.

Schreiber recommended specific damages for 131 victims whose cases he examined. Based on that information, he suggested \$51,719 each for the 4,869 remaining torture victims, \$128,515 each for the family members of the 3,184 remaining execution victims and \$107,853 each for the family members of the 880 remaining disappearance victims.

BANGKOK POST TUESDAY MARCH 25, 1986

Marcos, daughter sued for murder of student

Honolulu (AP) — Former Philippine president Ferdinand Marcos and his daughter, Imee, have been served court papers in a lawsuit accusing them of responsibility for what the suit calls the politically-motivated murder of a Philippine college student.

The papers, which included a summons for Marcos to reply in 20 days, were given to the Marcoses on Friday by a State Department representative, said Sherry Broder, a lawyer representing the student's mother, Agapita Trajano.

According to the lawsuit filed in US District Court, Archimedes Trajano "was kidnapped and subsequently tortured to death" in 1977 by agents and employees of the Marcoses and Gen Fabian Ver.

Trajano, 21, was marked for death after posing a question to Imee during a forum in Manila on August 31, 1977, the lawsuit said. Trajano had asked Imee about her appointment as director of an organisation, the lawsuit said.

Trajano was kidnapped by military personnel, tortured and beaten, and taken to his boarding house where his bones were broken, his skull cracked and he died, according to the lawsuit.

At the time of his death, Trajano was a third-year engineering student at the Mapua Institute of Technology. The lawsuit did not specify the amount of damages sought.

Agapita Trajano moved to Hawaii in 1982 and lives in Honolulu.

Gegen Marcos wird wegen Mord ermittelt

Honolulu (AP)

Der ehemalige philippinische Präsident Ferdinand Marcos und seine Tochter Imee sind unterrichtet worden, daß gegen sie ein Ermittlungsverfahren wegen Mordes an einem philippinischen Studenten eröffnet worden sei. Sherry Broder, die Anwältin der Mutter des ermordeten Studenten, teilte in Honolulu mit, Beamte des amerikanischen Außenministeriums hätten Marcos und seiner Tochter Schriftstücke über die Eröffnung des Untersuchungsverfahrens übergeben. Marcos befand sich am Sonntag nach Angaben eines Sprechers der US-Luftwaffe weiterhin auf dem amerikanischen Luftwaffenstützpunkt Hickam auf Hawaii.

Entsprechend der bei einem amerikanischen Distriktsgericht eingereichten Mordanklage muß Marcos innerhalb von 20 Tagen zu der Anschuldigung Stellung nehmen. Der Anklage zufolge wurde der Student Archimedes Trajano 1977 von Agenten und Angestellten der Familie Marcos und des ehemaligen Generals Fabian Ver „entführt und anschließend zu Tode gefoltert“. Es hieß, der 21jährige sei zur Ermordung ausersehen worden, nachdem er auf einer öffentlichen Veranstaltung am 31. August die Marcos-Tochter zu ihrer Ernennung zur Direktorin einer Organisation befragt hatte. Trajano sei daraufhin von Soldaten entführt, gefoltert und geschlagen wor-

den, in seinem Studentenhelm seien ihm die Knochen gebrochen und der Schädel eingeschlagen worden. An den schweren Verletzungen sei er gestorben.

In der Anklageschrift hieß es: „Archimedes Trajano war eines der Opfer der systematischen Ermordung politischer Gegner des Regimes.“ Marcos sei insofern an der Ermordung mitschuldig, als die Soldaten unter dem von ihm verkündeten Kriegsrecht handelten.

Der japanische Parlamentsabgeordnete und frühere Arbeitsminister Toshio Yamaguchi berichtete, Marcos hätte am liebsten Selbstmord begangen, als er zur Flucht von den Philippinen gezwungen wurde, konnte es aber wegen seines katholischen Glaubens nicht tun. Marcos, so fuhr Yamaguchi fort, habe ihm bei einem Essen in Walhihi auf Hawaii erzählt, er habe nicht die Absicht gehabt, das Land zu verlassen, als er den Malacanang-Palast in Manila verließ, vielmehr habe er sich in seinen Wohnsitz in Ilocos Norte begeben wollen. Falsch sei die Beschuldigung, er sei mit philippinischen Pesos im Wert von 1,4 Millionen Dollar aus dem Land geflohen. „Wenn ich gewußt hätte, daß ich auf dem Weg in die Vereinigten Staaten bin, warum hätte ich dann Pesos mitbringen sollen?“ zitierte der Abgeordnete Marcos. Yamaguchi erklärte weiter, das Ehepaar Marcos habe erzählt, jeden Tag erhielten sie

dicke Briefumschläge mit Anklageschriften. Bisher habe das Paar rund 100 solche Klageschriften erhalten.

Die 93jährige Mutter von Marcos schuldet einem öffentlichen Krankenhaus in Manila 1,4 Millionen philippinische Pesos (umgerechnet rund 128 000 Mark). Donna Josefa Edralin Marcos lebe seit rund acht Jahren in dem Krankenhaus und belege eine ganze Etage, erklärte die Direktorin des Herzzentrums. Das Informationsministerium gab bekannt, Präsidentin Aquino werde aus humanitären Gründen die Rechnung begleichen.

Though she is concerned about the recession, Broder is not sure to what extent it is affecting her business. "With some of these big cases, you don't get paid till the end and you've got to win to get paid," she says.

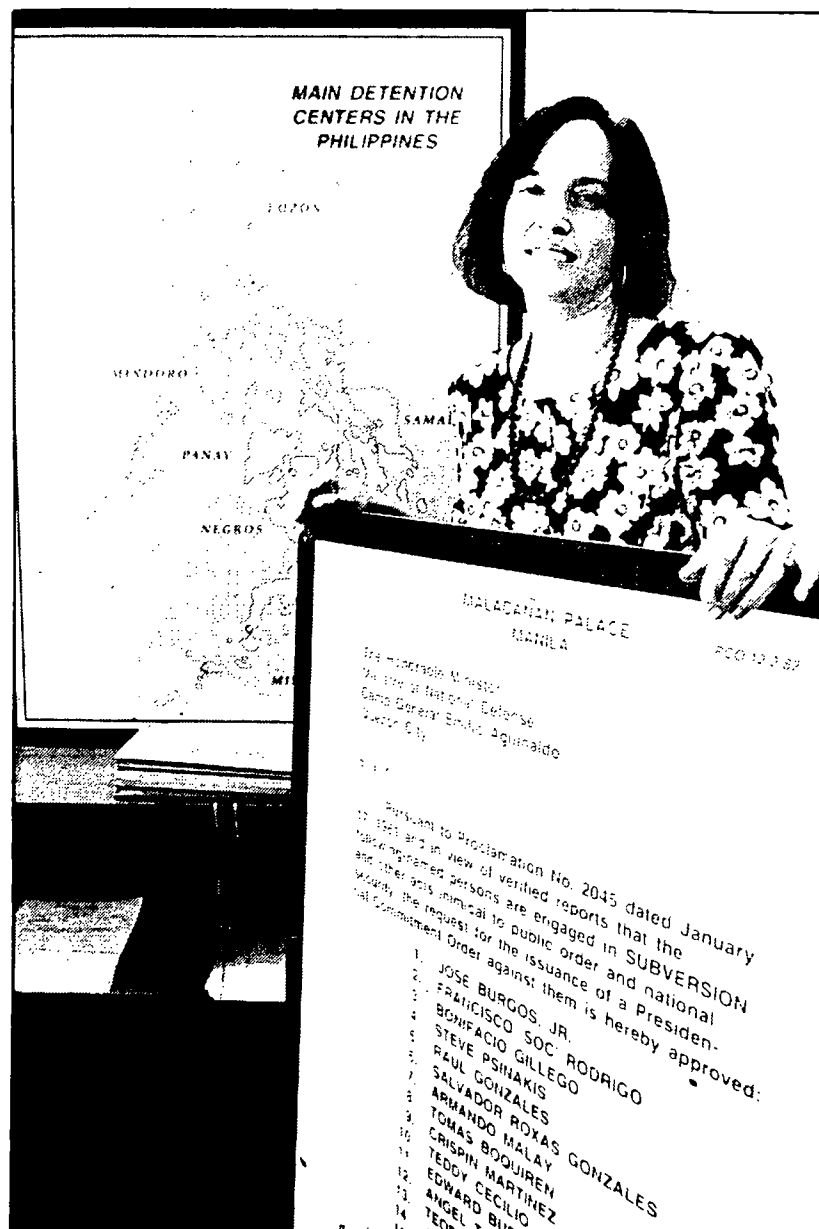
The expenses of doing business in Hawaii are high, Broder says.

"The gross excise tax is pretty outrageous, where you've got to pay on your gross as well as your net," she says.

In response to a question, Broder says it would be great if she could take a sabbatical. But she says hers is a two-income household by necessity, just as is the case for the majority of households in Hawaii.

Broder remains tucked behind her large desk throughout the interview. Asked whether the harbor view is ever distracting, she hesitates. "No," she says.

Two large ships perch on the horizon far below the high-rise office. It is difficult to imagine the chilling tales that must unfold in this haven.



Broder holds up a piece of evidence from the Marcos trial

A Law Corporation

Sherry P. Broder
(808) 531-1411

Grosvenor Center, Makai Tower, Suite 1800

MidWeek

Serving
280,955 Homes By
Guaranteed Direct Mail
Copyright 1992
Postal Customer
EPD Publications, Inc.
Honolulu, Hawaii • Oct. 7, 1992
Vol. 9 No. 13

MAGAZINE

Mailed To All Oahu

Legal Eagle

Sherry Broder

President-Elect, Hawaii Bar Association

PLUS:

Who Really
Killed Fixed
Rail Transit?

DAN BOYLAN

Lessons

Learned

From Iniki

LARRY PRICE

Too Much

Baseball

Practice

BOB HOGUE

Fighting for the Underdog

Sherry P Broder

Sherry P. Broder
A Law Corporation

Grosvenor Center
Makai Tower, Suite 1800
733 Bishop Street
Honolulu, HI 96813
Telephone (808) 531-1411

COVER STORY Fighting for the Underdog

Sherry P. Broder

Sun glints from a jagged white line of breakers and the azure ocean framed by floor-to-ceiling windows in Sherry Broder's law offices.

Waiting to meet with Broder, one sinks into a gigantic pillow-soft black-leather chair. The aqua carpet echoes the ocean so that the postcard-perfect view across Honolulu Harbor seems to reach seductively right into the office.

The atmosphere of serenity contrasts with the misfortune and pain that bring most clients to Broder, a personal-injury lawyer who specializes in product-liability, malpractice, accident-litigation and class-action suits.

Broder most recently was co-counsel in the biggest human-rights case ever certified in U.S. courts: the Estate of Ferdinand Marcos Human Rights Litigation. Broder sued the Marcos estate on behalf of 10,000 victims of alleged torture, summary execution and disappearances — and won.

In another headline-grabbing case, Broder filed suit for the family of slain Big Island visitor Dana Ireland against the County of Hawaii for negligence and lack of timely response.

"I think they [clients] feel comfortable here," Broder confirms from behind the desk in her inner office. "They can tell me all the problems there are with the case."

Broder acknowledges that some clients are reluctant to divulge all the facts because they are afraid she will not take their cases if the "bad things" are exposed. But Broder says having all the facts helps the clients.

"Sometimes if you know the bad things about the case, you can do damage control," Broder explains.

Broder works on a contingency basis, which means clients pay her nothing unless she wins their cases. Lawyers typically take as their fees 33 to 40 percent of the monetary awards they win for their clients.

Broder believes the serene atmosphere in her office also



"I'm doing my dream cases. I tend to get involved in things I really believe in."

gives clients confidence in her work.

Apparently Broder's colleagues have confidence in her, too, for in 1993 she will be the first-ever woman president of the 3,800-member Hawaii State Bar Association. This year, after campaigning on all the major Hawaiian islands, she was elected vice president and president-elect.

As she talks of the path that brought her to this auspicious career, Broder remains sequestered behind her large desk. She smiles frequently. Dressed in red, white and blue, she reminisces about a childhood that seems as all-American as Old Glory.

Broder grew up in Bangor, Maine, the eldest of three daughters of retired retail-clothing merchant Irving Broder and teacher Charlotte Broder. The entire family worked, off and on, in the clothing business.

"I sold a lot of clothes," Broder recalls.

"I worked hard and got good grades," Broder says simply. She won an American Legion citizenship award, and was cheerleading captain. "I wanted to be a cheerleader so badly," she says fervently.

She graduated from Bangor High School in 1966, just before the Vietnam War protests and social upheaval that would change the aspirations of her generation.

At Wellesley College, a prestigious Ivy League institution at which Hillary Clinton was one year ahead of her, Broder decided she wanted to be a lawyer.

"I was definitely influenced by the times I grew up in," Broder says. "I've always been interested in social issues."

Broder earned a law degree at the University of California-Berkeley in 1975, graduating in the top 10 percent of her law class. She then worked as an attorney and law clerk for a San Francisco firm that represented the Black Panthers.

In 1976, Broder came to Hawaii with her then-boyfriend, now-husband, Jon Van Dyke. Van Dyke, whom she had met when she was a student and he was a professor at Berkeley, came as a visiting professor to the William S. Richardson School of Law at the University of Hawaii-Manoa.

"I fell in love with the place and the people," Broder says of Hawaii.

In 1978, Broder married Van Dyke, now a professor of constitutional and international law at the university.

"Women have made a lot of progress professionally. But it's hard to believe this is 1992 and I'm the first woman lawyer to be president of the Hawaii Bar Association."

For a time, Broder was employed as attorney for several committees of the state Legislature, and was deputy chief attorney for the 1978 Constitutional Convention. She

hung out her shingle in 1979 and has been attorney for the Office of Hawaiian Affairs since its inception.

"I'm doing sort of my dream cases," Broder says. "I tend to get involved in things I really believe in."

She made her mark when she won \$4 million in settlements from Meadow Gold and Foremost dairies in a class-action suit on behalf of 850,000 Oahu consumers exposed to dangerous levels of the pesticide heptachlor in milk in 1981 and 1982. About \$3 million of



"You need to be optimistic and look to the future, to be creative."

that was used to establish a non-profit foundation to finance scientific testing of heptachlor's effect on human tissues. Heptachlor had been shown to cause cancer in laboratory animals. The U.S. Environmental Protection Agency has since enacted more stringent requirements for heptachlor.

She was awarded a citation by the National Wildlife Federation, and named Outstanding Woman Lawyer of the Year by Hawaii Women Lawyers, for her work in the case.

In another spotlight, Broder and a few colleagues led the charge against private clubs

that banned women from membership, or that banned women members from certain areas of the club premises.

"Taking on the establishment," Broder murmurs, with a true Baby Boomer's gleam in her eye.

The challengers drafted legislation to rescind liquor licenses of clubs that did not accept women members. Though the bill never passed, a message was sent.

"We had a resolution passed at the judicial conference [of the Hawaii Bar Association] to make it unethical for judges and lawyers to belong to clubs that discriminate against women," Broder says. "We're the first state to have that rule for lawyers — not just judges."

The resolution pressured clubs to change their ways or else lose members and revenues. Among those that changed their policies were the Pacific Club, Waialae Country Club and Oahu Country Club.

Broder has been working on the Marcos cases for years, flying to the Philippines and New York to dig up evidence. She has already won a \$4.4 million award against the dictator's daughter, Imee Marcos Manotoc, for the mother of the late Archimedes Trajano. Broder unveiled Trajano's battered skull in federal court last year.

The stories of alleged Marcos torture victims and their families have brought Broder to tears, she admits.

She was instrumental in making sure the assets of the Marcos estate were frozen worldwide.

Broder was a founder and president in 1982 of Hawaii Women Lawyers, and president of Hawaii Women's Legal Foundation in 1984. She is active in several other legal organizations.

"Women have made a lot of progress professionally," Broder says. "But it's hard to believe this is 1992 and I am the first woman lawyer to be president of the Hawaii Bar Association." A study commissioned by the bar association last year showed that on the average, women lawyers in

"People don't appreciate how much lawyers give back to the community."

Hawaii earn 58 cents for every dollar earned by their male counterparts.

In addition to her concern for women, Broder says she is concerned about native Hawaiians. "I think Hawaiians rightfully feel dispossessed of their land and sovereignty," Broder says. "We must support the Hawaiians in their call for justice."

Asked whether the overthrow of the monarchy almost 100 years ago was legal, Broder replies: "It was an illegal overthrow."

Lawyer jokes abound, and Broder is not offended by them. "I think it's good for people to laugh at themselves and let others laugh at them," she says. "But I think people don't appreciate how much lawyers give back to the community."

For example, Broder is involved with the Hawaii Women's Legal Foundation, which publishes the free *Women's Legal Rights Handbook*, awards scholarships and started a domestic-violence hotline. Hawaii Lawyers Care, with which she is also active, finds pro bono attorneys and provides other services.

If she could be someone else for a day, Broder thinks it would be fun to be Hillary Clinton. She says she supports Bill Clinton for president.



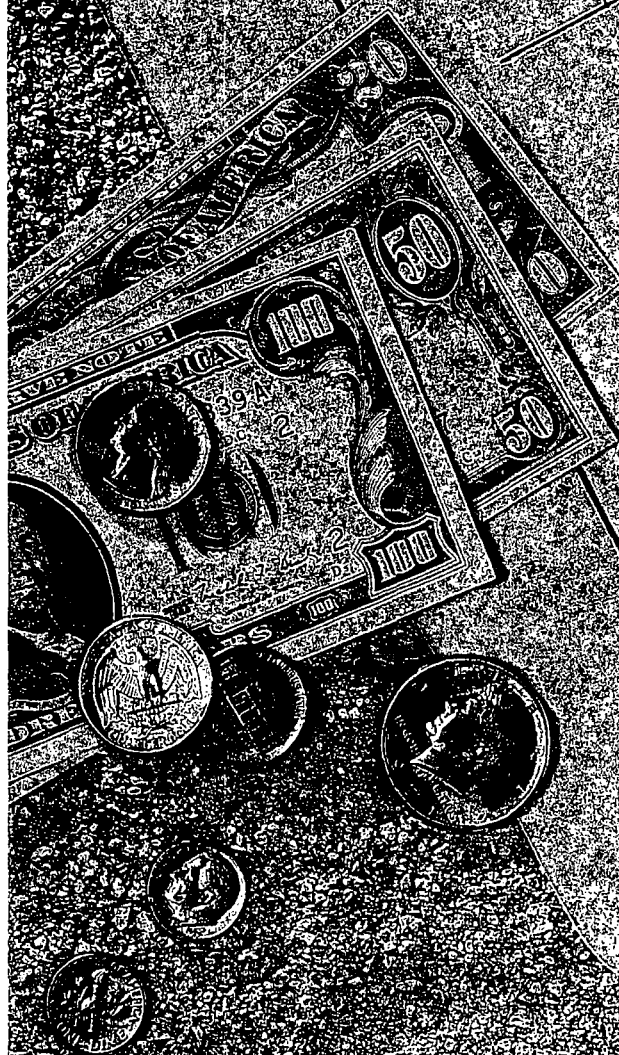
The Pacific Club: A Woman's Place? The Big MOS Attack

MARCH 1990/\$2.00

Hawaii Business

FIGHTING BACK

The construction industry
steels itself against zoning
by initiative



01744-70-65850

OCEAN BOUNDARIES IN THE SOUTH PACIFIC*

by Sherry Broder** and Jon Van Dyke,***
with the assistance of Faye Kimura† and Naomi Hirayasut††

CONTENTS

I. INTRODUCTION	3
A. National Jurisdiction Over Ocean Space	3
B. What Is at Stake?	7
II. TONGA	9
A. The Friendly Isles	9
B. Tonga's Historic Title Claim	12
1. Background	12
2. The Juridical Regime of Historic Waters	15
a. Exercise of Authority Over the Area Claimed	17
b. Continuity of the Exercise of Authority	18
c. Attitude of Foreign Nations	19
d. Other Considerations	19

* • Copyright 1982, Sherry Broder and Jon Van Dyke. This paper is a result of research (Projects PP/R-4 and PP/R-5) sponsored in part by the University of Hawaii Sea Grant College Program under Institutionalized Grants No. NA79AA-D00085 and NA81AA-D00070 from NOAA, Office of Sea Grant, Department of Commerce. Sea Grant journal contribution UNIHI-SEA GRANT-JC-82-09. Assistance for this work has also been received from the Marine Affairs Coordinator, Department of Planning and Economic Development, State of Hawaii; the Environment and Policy Institute, East-West Center; and the University of Hawaii School of Law. The maps were adapted for this article by Miratul Mahiuddin, Ph.D. candidate, Geography Department, University of Hawaii at Manoa.

** Attorney, Honolulu, Hawaii. B.A. Wellesley College, 1970; J.D., University of California, Berkeley, 1975. Member of the Hawaii and California Bars.

*** Associate Dean and Professor of Law, University of Hawaii at Manoa; Adjunct Research Associate, Environment and Policy Institute, East-West Center, Honolulu. B.A., Yale University, 1964; J.D., Harvard Law School, 1967. Member of the Hawaii, California and District of Columbia Bars.

† Attorney, Honolulu, Hawaii. B.A., University of Hawaii at Manoa, 1973; J.D., University of Hawaii at Manoa, 1980. Member of the Hawaii Bar.

†† Attorney, Palau. B.A., Middlebury College, 1973; J.D., University of Hawaii at Manoa, 1981. Member of the Hawaii Bar.

3. Other Unique Ocean Boundary Situations: Historic Claims by the Philippines and the Republic of Maldives	20
4. Applying the Archipelagic Principles of UNCLOS III to Tonga	23
C. Tonga's Claim to Teleki Tonga and Teleki Tokelau (the Minerva Reefs)	26
1. Background	26
2. Are Teleki Tonga and Teleki Tokelau "Islands" According to the Norms of International Law?	29
a. The 1930 League of Nations Codification Conference at the Hague	30
b. The International Law Commission—1952, 1954, 1956	30
c. The 1958 Geneva Convention and the Third U.N. Conference on the Law of the Sea	33
3. Are Teleki Tonga and Teleki Tokelau Entitled to Safety Zones?	37
III. FIJI	37
A. An Archipelagic State	37
B. Fiji's Claim to Ceva-i-Ra (Conway Reef)	39
C. Approaches to Delimitation	40
IV. DELIMITING THE BOUNDARIES	42
A. The Competing Claims	42
1. Tonga	42
2. Fiji	43
3. New Zealand (The Kermadec Islands)	43
B. Equitable Principles	44
1. The Anglo-French Continental Shelf Arbitration ..	45
2. Treaty Between Australia and Papua New Guinea ..	46
3. Dependency on Fish Resources	48
V. WESTERN SAMOA AND AMERICAN SAMOA	50
A. Introduction	50
B. Western Samoa	50
C. American Samoa	52
D. Specific Problem Areas	52
1. Rose Island	52
2. Swains Island	53
3. Tafahi and Niuatoputapu Islands (Tonga)	56
VI. SUMMARY AND CONCLUSION	57

I. INTRODUCTION

A. National Jurisdiction Over Ocean Space

The island communities of the South Pacific have an unique relationship to the sea because the land area of their islands is small compared to that of the surrounding ocean. They have developed economies and cultures highly dependent on the sea. Their ocean boundaries are essential to their self-definition and preservation.

This article will analyze maritime claims of South Pacific political communities that could produce conflicts. The claims are those of Tonga, Fiji, New Zealand (the Kermadec Islands), American Samoa, Western Samoa, New Caledonia and Vanuatu. Several special circumstances make the South Pacific an interesting focus for such an analysis. Tonga, Fiji and American Samoa claim reefs or islands that are far from their main island groups. Recognition of these geological formations as islands would permit these countries to claim adjacent 200-nautical-mile exclusive economic zones,¹ which would greatly expand their maritime jurisdiction. Another potential problem is Tonga's 1887 claim, which defined its boundaries in terms of geographic coordinates,² and which has been reasserted by Tonga in recent years.³ It is difficult to predict how these claims will mesh with the concepts being developed at the Third United Nations Conference on the Law of the Sea (UNCLOS III).⁴ These claims and the

¹ The exclusive economic zone is a zone extending not more than 200 nautical miles from the baselines from which the breadth of the territorial sea is measured. Draft Convention on the Law of the Sea (Formal Text), U.N. Doc. A/ CONF.62/L.78 (1981), arts. 55, 57 [hereinafter cited as "Draft Convention"]. In the exclusive economic zone, a coastal state has sovereign rights over the natural resources, living or non-living, of the seabed, subsoil and superjacent waters. *Id.* art. 56.

A coastal state is also entitled to a territorial sea, which extends the sovereignty of the state beyond its land territory over an adjacent belt of sea of not more than twelve miles. This sovereignty extends to the air space as well as to the seabed and subsoil. *Id.* arts. 2-3. Although a nation does not possess as great a bundle of rights in its exclusive economic zone as in its territorial sea, the rights of a nation to control its natural resources in its exclusive economic zone are still extensive.

² See note 26 *infra* and accompanying text.

³ See note 28 *infra* and accompanying text. See also text accompanying note 54 *infra*.

⁴ The Third United Nations Conference on the Law of the Sea has been in progress since 1974. Virtually all nations of the world have been participating in the negotiations. The Conference completed its work in the spring of 1982, and has scheduled a signing in Caracas, Venezuela, for December, 1982. For descriptions of the progress of the negotiations, see Oxman, *The Third United Nations Conference on the Law of the Sea: The Eighth Session* (1979), 74 AM. J. INT'L L. 1 (1980); Oxman, *The Third United Nations Conference on the Law of the Sea: The Seventh Session* (1978), 73 AM. J. INT'L L. 1 (1979); Oxman, *The Third United Nations Conference on the Law of the Sea: The 1977 New York Session*, 72 AM. J. INT'L L. 57 (1978); Oxman, *The Third United Nations Conference on the Law of the Sea: The 1976 New York Sessions*, 71 AM. J. INT'L L. 247 (1977); Oxman, *The Third United Nations Conference on the Law of the Sea: The 1975 Geneva Session*, 69 AM. J. INT'L L. 763 (1975); Oxman, *The Third United Nations Conference on the Law of the Sea:*

close geographic configuration of the nations making the claims create potentially overlapping maritime boundaries which may create conflicting areas of jurisdiction.

In other parts of the world, ocean boundary disputes have been major topics of international controversy. The International Court of Justice has considered a maritime boundary problem in the North Sea,⁶ arbitration tribunals have been established in several locations,⁶ treaties have been negotiated to resolve many disputes⁷ and the delegates to the United Nations Law of the Sea conferences have labored long and hard to articulate the standards that should govern these disputes.

The standard adopted in 1958 in the Convention on the Continental Shelf⁸ and the Convention on the Territorial Sea and Contiguous Zone⁹ emphasized the equidistance principle, which requires the splitting of a disputed area between the countries involved.¹⁰ This reference to the

The 1974 Caracas Session, 69 AM. J. INT'L L. 1 (1975); Stevenson & Oxman, *The Preparations for the Law of the Sea Conference*, 68 AM. J. INT'L L. 1 (1974).

⁶ N. Sea Continental Shelf Cases, [1969] I.C.J. 4.

⁷ Arbitration between the United Kingdom of Great Britain and Northern Ireland and the French Republic on the Delimitation of the Continental Shelf (1977-78), reprinted in 18 INT'L LEGAL MATERIALS 397 (1979) (American Society of International Law, D.C.) [hereinafter cited as "Anglo-French Arbitration, 18 I.L.M. 397"].

⁸ See, e.g., U.S. DEP'T OF STATE, BUREAU OF INTELLIGENCE AND RESEARCH, OFFICE OF THE GEOGRAPHER, LIMITS IN THE SEAS NO. 87, TERRITORIAL SEA AND CONTINENTAL SHELF BOUNDARIES: AUSTRALIA AND PAPUA NEW GUINEA-INDONESIA (1979); U.S. DEP'T OF STATE, BUREAU OF INTELLIGENCE AND RESEARCH, OFFICE OF THE GEOGRAPHER, LIMITS IN THE SEAS NO. 75, CONTINENTAL SHELF BOUNDARY AND JOINT DEVELOPMENT ZONE: JAPAN-REPUBLIC OF KOREA (1977) [hereinafter cited as "JAPAN-REPUBLIC OF KOREA JOINT DEVELOPMENT ZONE"].

⁹ Convention on the Continental Shelf, Apr. 29, 1958, 15 U.S.T. 471, T.I.A.S. No. 5578, 499 U.N.T.S. 311.

¹⁰ Convention on the Territorial Sea and Contiguous Zone, Apr. 29, 1958, 15 U.S.T. 1606, T.I.A.S. No. 5639, 516 U.N.T.S. 205.

¹¹ Article 6 (1)-(2) of the Convention on the Continental Shelf, *supra* note 8, reads as follows:

1. Where the same continental shelf is adjacent to the territories of two or more States whose coasts are opposite each other, the boundary of the continental shelf appertaining to such States shall be determined by agreement between them. In the absence of agreement, and unless another boundary line is justified by special circumstances, the boundary is the median line, every point of which is equidistant from the nearest points of the baselines from which the breadth of the territorial sea of each State is measured.

2. Where the same continental shelf is adjacent to the territories of two adjacent States, the boundary of the continental shelf shall be determined by agreement between them. In the absence of agreement, and unless another boundary line is justified by special circumstances, the boundary shall be determined by application of the principle of equidistance from the nearest points of the baselines from which the breadth of the territorial sea of each State is measured.

Article 12(1) of the Convention on the Territorial Sea and Contiguous Zone, *supra* note 9, reads as follows:

Where the coasts of two States are opposite or adjacent to each other, neither of the two States is entitled, failing agreement between them to the contrary, to extend its

equidistance approach continued in the early versions of the current Draft Convention,¹¹ but in 1981 the text was amended to eliminate any mention of equidistance. The version adopted in the summer of 1981 reads as follows: "The delimitation of the exclusive economic zone between States with opposite or adjacent coasts shall be effected by agreement on the basis of international law, as referred to in Article 38 of the Statute of the International Court of Justice, in order to achieve an equitable solution."¹²

territorial sea beyond the median line every point of which is equidistant from the nearest points on the baseline from which the breadth of the territorial seas of each of the two States is measured. The provisions of this paragraph shall not apply, however, where it is necessary by reason of historic title or other special circumstances to delimit the territorial seas of the two States in a way which is at variance with this provision.

Adjacent states are those states having a common land boundary. Opposite states do not share a land boundary, but lie across an area of water from each other.

Current analysis of delimitation rules can be traced to the reports prepared in 1951 and 1953 by the International Law Commission to the General Assembly. Report of the International Law Commission to the General Assembly, 6 U.N. GAOR Supp. (No. 9), U.N. Doc. A/1858 (1951), reprinted in [1951] 2 Y.B. INT'L L. COMM'N 123 at 143, U.N. Doc. A/CN.4/Ser. A/1951/Add.1; Report of the International Law Commission to the General Assembly, 8 U.N. GAOR Supp. (No. 9), U.N. Doc. A/2456 (1953), reprinted in [1953] 2 Y.B. INT'L L. COMM'N 200 at 213, U.N. Doc. A/CN.4/Ser.A/1953/Add.1. These reports indicate that the Commission's proposals for continental shelf and territorial sea delimitation were negotiation and the principle of equidistance. The commentary noted that "departures necessitated by any exceptional configuration of the coast, as well as the presence of islands or of navigable channels" are justifiable deviations from the general rule. The Commission emphasized that the situations suggested as special circumstances were illustrative, not exhaustive, and acknowledged that the rule was "elastic." The Commission stated: "This case may arise fairly often." Report of the International Law Commission to the General Assembly, 11 U.N. GAOR Supp. (No. 9), U.N. Doc. A/3159 (1956), reprinted in [1956] 2 Y.B. INT'L L. COMM'N 300, U.N. Doc. A/CN.4/Ser. A/1956/V.2.

Tonga and Fiji both ratified the Convention on the Territorial Sea and Contiguous Zone as well as the Convention on the Continental Shelf. New Zealand ratified the Convention on the Continental Shelf, but did not ratify the Convention on the Territorial Sea and Contiguous Zone. U.S. DEPT OF STATE, TREATIES IN FORCE 317-18 (1980).

¹¹ See Draft Convention on the Law of the Sea (Informal Text), U.N. Doc. A/CONF. 62/W.P. 10/Rev. 3 (Aug. 27, 1980), art. 74(1):

1. The delimitation of the exclusive economic zone between States with opposite or adjacent coasts shall be effected by agreement by conformity with international law. Such an agreement shall be in accordance with equitable principles, employing the median or equidistance line, where appropriate, and taking account of all circumstances prevailing in the area concerned.

Article 83 on the delimitation of the continental shelf boundaries had virtually identical language.

For an in-depth discussion of the debate in the lengthy negotiations to formulate a compromise treaty text on delimitation, see Adede, *Toward the Formulation of the Rule of Delimitation of Sea Boundaries Between States With Adjacent or Opposite Coasts*, 19 VA. J. INT'L L. 207 (1979); U.N. Press Release SEA/376 at 15-16 (Feb. 27, 1980); U.N. Press Release SEA/396 at 4, 30-32 (Apr. 4, 1980).

¹² Draft Convention, *supra* note 1, art. 74(1) (as amended). Paragraphs 2, 3 and 4 of article 74 highlight the significance of agreement in providing for arrangements prior to a

This new language was designed in part to refer to the decision in the *North Sea Continental Shelf Cases*,¹³ where the International Court of Justice stated that "delimitation is to be effected by agreement in accordance with equitable principles."¹⁴ In addition, the delegates to the 1981

final agreed delimitation, in making provision for resolution of differences in reaching agreement and in stating that an agreement in force between nations shall determine any future questions of delimitation:

2. If no agreement can be reached within a reasonable period of time, the States concerned shall resort to the procedures provided for in Part XV.
3. Pending agreement as provided for in paragraph 1, the States concerned, in a spirit of understanding and cooperation, shall make every effort to enter into provisional arrangements of a practical nature and, during this transitional period, not to jeopardize or hamper the reaching of the final agreement. Such arrangements shall be without prejudice to the final determination.
4. Where there is an agreement in force between the States concerned, questions relating to the delimitation of the exclusive economic zone shall be determined in accordance with the provisions of that agreement.

Article 83 was also amended in 1981 to conform to the new language of article 74.

¹³ [1969] L.C.J. 4.

¹⁴ *Id.* at 54. Despite strong language in the 1958 Convention regarding the use of the equidistance method (*see note 10 supra*), the International Court of Justice in the *North Sea Cases* determined that equidistance is a cartographical method, not an obligatory legal basis for delimitation. *Id.* at 36, 46-47, 53-54.

In the context of the Germany-Netherlands-Denmark geography, the court ruled that equidistance would have produced a clearly inequitable result. *Id.* at 49, 53-54. Denmark, the Federal Republic of Germany and the Netherlands are adjacent to each other on the eastern and southeastern shores of the North Sea. The Danish coastline and the adjacent portion of the German coastline are due north-south. Near the mouth of the Elbe River and close to the two land boundaries, the German coastline changes to an east-west direction, in the same direction as the Dutch Friisian shoreline. Because of this radical change in the shoreline, application of equidistance in these circumstances would result in Germany receiving a disproportionately smaller area of the North Sea. Denmark and the Netherlands argued that the equidistance principle governed delimitation in the absence of special circumstances. *Id.* at 20-21. Germany argued that equidistance was not mandatory, and that each nation should be entitled to a "just and equitable share." *Id.* at 21-22.

The court concluded that the concept of a just and equitable share was not the basis for delimitation. Delimitation, not apportionment, noted the court, was in issue. Apportionment would involve the allocation of a previously unallocated area. Delimitation assumes preexisting rights to the areas of ocean to be delimited. Although the concept of a just and equitable share might be applicable when apportionment is in issue, that concept is not a guiding principle when delimitation is involved. *Id.* at 21-23.

The court determined that the first applicable rule was the obligation to negotiate. It found that this rule arose out of the Truman Proclamation and was simply a special application of a general principle underlying all international relations. *Id.* at 32-33, 47-48.

In rejecting equidistance and apportionment, the majority in the *North Sea Cases* relied heavily on the physical facts and geographic features ("natural prolongation") of the continental shelf existing in the area. *Id.* at 51, 54-55. Continental shelves do not exist in the South Pacific in the same sense that they are found in Europe and the North Atlantic, but the underlying rationale for the majority was, simply, equity. *Id.* at 48-49. The court suggested various factors to be considered to produce an equitable result: appurtenance of the continental shelf to the countries in front of whose coastlines it lies, the configuration of the coast, the natural resources of the area and a reasonable degree of proportionality between

session of UNCLOS III were aware of the many negotiated and arbitrated agreements that had reached unique solutions which departed from notions of equidistance.¹⁵ Many other agreements have, of course, been based completely on equidistance,¹⁶ because that is the most "equitable" approach in many situations. But no nation can now insist on equidistance as the only appropriate solution. Each boundary problem must be examined in light of its own factual situation to provide a balanced solution that considers the interests of all the competing parties.

This article will therefore examine potential boundary problems of the South Pacific to provide background analysis that should be relevant to the solution of these disputes. The actual solution can only be reached by the parties themselves through good-faith negotiation and agreement.

B. What Is at Stake?

It is important for the island communities of the South Pacific to establish their maritime claims and to be able to control the development of their marine environments for several reasons. First, recent advances in the technology for exploitation of natural resources has put technologically underdeveloped countries such as these at a disadvantage. Degradation or pollution from the new methods involved in exploiting mineral resources from the sea may endanger the environment or deplete marine resources and thus cut off the access the people traditionally have had to the ocean environment.

Second, some of the resources within the Pacific Ocean may become

the extent of the shelf and the lengths of the coastlines of the respective nations. *Id.* at 51-52. The court suggested that areas of overlap can be divided between the parties in agreed proportions and in some cases a regime of joint jurisdiction or use may be warranted. *Id.* at 53-54.

The 1958 Conventions did not specifically include natural resources of the area as a factor or a special circumstance significant to resolution of delimitation by agreement. This factor shows the fluidity of the content of the equitable principles/special circumstances rule. The court's recognition of the natural resources factor could be significant in any South Pacific delimitation. More data on the resources of the region may be necessary to determine the most equitable result. In spite of the court's suggestion that delimitation could consider the location of resource fields, however, the boundaries in both cases are drawn so that known Danish and Dutch hydrocarbon fields were excluded from the German Continental Shelf. Hodgson, *The Delimitation of Maritime Boundaries between Opposite and Adjacent States Through the Economic Zone and the Continental Shelf* 16 (paper delivered at the Law of the Sea Institute Annual Meeting, Mexico City, Oct. 1979, to be published in *STATE PRACTICE IN ZONES OF SPECIAL JURISDICTION* (T. Clingan ed. 1982)).

¹⁵ See sections IV-B-1 to B-3 *infra*.

¹⁶ See, e.g., U.S. DEP'T OF STATE, BUREAU OF INTELLIGENCE AND RESEARCH, OFFICE OF THE GEOGRAPHER, *LIMITS IN THE SEAS* No. 72, *CONTINENTAL SHELF BOUNDARY: CANADA-GREENLAND* (1976) [hereinafter cited as "CANADA-GREENLAND CONTINENTAL SHELF BOUNDARY"], where almost all of the boundary was established by application of the equidistance method, the exact line being drawn with the aid of a computer.

foundations of economic expansion for these island communities. At present, fish is the most significant of these resources and all the communities are in the process of expanding their fishing industries, both for local consumption and for export.¹⁷ In particular, skipjack and yellowfin tuna are in relative abundance in this region and can produce significant income if exploited properly.¹⁸

Although oil has not yet been discovered in the South Pacific, its potential as an economic resource should also counsel the importance of maritime space.¹⁹ When Indonesia issued its declaration claiming archipelagic status in 1957,²⁰ it had no knowledge that its archipelagic waters contained rich deposits of oil. However, provisions for minerals and oils were wisely included in the declaration.²¹

¹⁷ For a general discussion of these efforts and a detailed analysis of the legal issues raised by tuna fishing in the Pacific, see Van Dyke & Heftel, *Tuna Management in the Pacific: The South Pacific Forum Fisheries Agency*, 3 U. HAWAII L. REV. 1 (1981). See also G. KENT, *THE POLITICS OF PACIFIC ISLANDS FISHERIES* (1980).

¹⁸ Van Dyke & Heftel, *supra* note 17, at 6.

¹⁹ Thus far, geological surveys by the Tonga Oil Consortium have failed to locate any mineral deposits in commercial quantities in the waters of the Kingdom. A consortium of companies, Shell, British Petroleum, Aquitaine, Ampol and Republic, was formed in the middle of 1970. Under the Petroleum Agreement of June 4, 1970, a concession of 6,000 square miles was granted in southern Tongan territory. Comprehensive geological surveys were undertaken. Two wells were drilled to depths of approximately 5,500 feet, but no oil was discovered. Tonga is now trying to reappraise the petroleum potential within the Kingdom. An expert from the New Zealand Department of Scientific and Industrial Research, and an U.N. Development Program marine geologist have reported that good petroleum potential may exist in the south Tongan Ridge. Drilling tests are recommended at depths of 8,000 to 12,000 feet. The Tongan government is negotiating with applicants for a petroleum exploration agreement covering the southern portion of the previous concession area. KINGDOM OF TONGA, *THIRD DEVELOPMENT PLAN 1975-1980* 213 (1976) [hereinafter cited as "1975-1980 TONGA DEVELOPMENT PLAN"]. In the spring of 1982, a ship from United States Geological Survey returned to this area for further seismic testing. Interview with Gary Greene, Pacific-Arctic Marine Geology Branch, U.S. Geological Survey, in Honolulu (Mar. 21, 1982).

²⁰ See generally Draper, *The Indonesian Archipelagic State Doctrine and Law of the Sea: "Territorial Grab" or Justifiable Necessity?*, 11 INT'L LAW. 143 (1977).

²¹ Indonesia was probably inspired to do so by the Truman Proclamation. Pres. Proc. No. 2667, 3 C.F.R. 67 (1943-1948 Compilation). Even in the North Sea seabed, as late as 1957, most professionals viewed the possibility of exploitable gas and oil deposits as poor. Swan, *Gulf of Maine Dispute: Canada and the United States Delimit the Atlantic Continental Shelf*, 10 NAT. RESOURCES LAW. 405, 421 (1977). Once initial signs were detected, controversy over maritime delimitation of the North Sea continental shelf began between Denmark, Netherlands and the Federal Republic of Germany. Eventually, the differences were submitted to the International Court of Justice. *N. Sea Continental Shelf Cases*, *supra* note 14.

The United States and Canada faced a similar problem in the late 1960's concerning the delimitation of Georges Bank in the Gulf of Maine. In 1973, the issue was described as "one of the thorniest boundary questions at the moment because of the high oil and gas potential." Beauchamp, Crommelin & Thompson, *Jurisdictional Problems in Canada's Offshore*, 11 ALBERTA L. REV. 431, 443 (1973). This Atlantic delimitation controversy will be submitted to a panel of the International Court of Justice as well. Treaty Between the United States and Canada to Submit to Binding Dispute Settlement the Delimitation of the Mari-

Another resource that may be of value in the South Pacific are the polymetallic nodules that form naturally on the ocean floor.²² Their prevalence and quality in this region have not yet been determined. Although general surveys have not discovered any rich, commercially viable nodules in the southwestern Pacific Ocean, detailed surveys of the area have yet to be undertaken.

It is impossible to put a monetary value on the wealth within the waters of the South Pacific. Only time will tell what types and quantities of resources will be found. Control over these resources has, however, a psychological dimension in addition to any economic payoff. The Fijian delegate to the 1974 Caracas session of the Law of the Sea negotiations described the relationship of his people to the sea in these terms:

The sea and the land of Fiji were interdependent. The sea was regarded as an essential link between the islands of the archipelago; it was not only a roadway but a source of sustenance for many Fijians. Archipelagic peoples were farmers of the seas and the sea-bed; the control of the sea was as important to them as control of the land was to continental States.²³

These "farmers of the seas and the sea-bed" must soon see if they can reach agreement on how the boundaries of the seas should be drawn so that their resources can be divided in the most equitable fashion.

II. TONGA

A. *The Friendly Isles*

The independent Kingdom of Tonga, known to visitors as "the Friendly Isles," has a population of slightly more than 90,000 persons and encompasses 169 islands (of which about forty are inhabited) with a total land area of around 750 square kilometers.²⁴ This is less than the land area of the island of Oahu.²⁵

In 1887, Tonga issued a territorial claim to all the islands, rocks, reefs, foreshores and waters lying between 15° and 23°30' south latitude, and between 177° and 173° west longitude.²⁶ This claim encompasses 259,000

time Boundary in the Gulf of Maine Area, Mar. 29, 1979, MARITIME BOUNDARY SETTLEMENT TREATY WITH CANADA, S. EXEC. DOCS. U & V, 97th Cong., 1st Sess. [hereinafter cited as "U.S.-Canada Gulf of Maine Treaty"]. The treaty was ratified by the Senate on April 29, 1981. 127 CONG. REC. S4060 (daily ed. Apr. 29, 1981).

²² See generally Van Dyke & Yuen, "Common Heritage" v. "Freedom of the High Seas": Which Governs the Seabed?, 19 SAN DIEGO L. REV. 493 (1982).

²³ 1 UNCLOS III OR at 113, U.N. Sales No. E.75 V.3 (1974).

²⁴ PAC. ISLANDS Y.B. 407, 411 (J. Carter ed. 1981).

²⁵ Oahu contains 607 square miles (1572 square kilometers) of land. ATLAS OF HAWAII 200 (W. Armstrong ed. 1973).

²⁶ Royal Proclamation of August 24, 1887:



Rectangle around Tongan Islands illustrates 1887 historic title claim. Lines around Fijian Islands illustrate archipelagic claim around which a 200-mile exclusive economic zone has been claimed. New Zealand's Kermadec Islands are directly below the Minerva Reefs.

square kilometers of ocean²⁷ (see Figure 1). In 1968, when oil exploration commenced in the area, the Tongan legislature reaffirmed this territorial claim by enacting a definition of "land" that included the seabed within its historic claim: "Land includes all submerged lands lying within the extent and boundaries of the Kingdom as defined by the Royal Proclamation of 11 June 1887."²⁸ This claim was communicated by letter to the Secretary-General of the United Nations.²⁹ No government has yet challenged the Tongan claim.³⁰

In 1972, Tonga proclaimed jurisdiction over the Minerva Reefs³¹ (see Figures 1 and 4), formations of volcanic origin that emerge at low tide but are below water at high tide. These reefs³² lie 170 miles southwest of the nearest Tongan island of Ata and are outside the boundaries of the 1887 claim.³³ Tonga also claimed a twelve-mile territorial sea around the

Whereas it seems expedient to us that we should limit and define the extent and boundaries of Our Kingdom, we do hereby erect as Our Kingdom of Tonga all islands, rocks, reefs, foreshores and waters lying between the fifteenth and twenty-third and a half degree of south latitude and between the one hundred and seventy-third and the one hundred and seventy-seventh degree of west longitude from the Meridian of Greenwich.

2 TONGA GOVERNMENT GAZETTE No. 55 (1887).

²⁷ PAC. ISLANDS Y.B., *supra* note 24, at 411.

²⁸ Minerals Act of 1968, Act No. 11 of 1968 (Tonga). Tonga has reaffirmed its sovereignty over this area in the Petroleum Mining Act of 1969 (text transmitted to the Secretary-General of the United Nations by the Acting Prime Minister for Foreign Affairs of Tonga, in a letter dated June 25, 1974, reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18 at 32-33; the Continental Shelf Act of 1970 (text transmitted to the Secretary-General of the United Nations in a letter dated June 25, 1974, reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18/Add. 2 at 122-27; and the Fisheries Protection Act of 1973 (text transmitted to the Secretary-General of the United Nations in a letter dated June 25, 1974, reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18/Add. 2 at 352-55). See also O'Connell, *Mid-Ocean Archipelagos in International Law*, BRIT. Y.B. INT'L L. 1, 47 (1971).

²⁹ Letter from Acting Prime Minister of Foreign Affairs of Tonga to Secretary-General of the United Nations (June 25, 1974), reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18.

³⁰ O'Connell, *supra* note 28, at 47. States have objected to other unilateral proclamations that include all islands of an archipelago and the sea between them as an integral unit. For instance, the 1957 "Proclamation on the Territorial Waters of the Republic of Indonesia" was greeted with considerable international opposition. Draper, *supra* note 20, at 146.

³¹ Proclamation of June 15, 1972:

WHEREAS the Reefs known as North Minerva Reef and South Minerva Reef have long served as fishing grounds for the Tongan people and have long been regarded as belonging to the Kingdom of Tonga; AND WHEREAS the Kingdom of Tonga has now created on these Reefs islands known as Teleki Tokelau and Teleki Tonga; AND WHEREAS it is expedient that we should now confirm the rights of the Kingdom of Tonga to these islands; THEREFORE we do hereby AFFIRM and PROCLAIM that the islands of Teleki Tokelau and Teleki Tonga and all islands, rocks, reefs, foreshores and waters lying within a radius of twelve miles thereof are part of our Kingdom of Tonga.

TONGA GOVERNMENT GAZETTE EXTRAORDINARY No. 7 (1972).

³² See text accompanying notes 116-17 *infra*.

³³ See note 26 *supra* and accompanying text.

Reefs.³⁴

The following discussion will focus on potential boundary delimitation issues faced by Tonga in light of its historic title claim established by the Proclamation of 1887,³⁵ and the controversy surrounding the Minerva Reefs, which Tonga refers to as the islands of Teleki Tonga and Teleki Tokelau.³⁶

B. Tonga's Historic Title Claim

1. Background

In the 19th century, Tonga began to experience pressures from powerful nations such as England and Germany who sought to control it through annexation or conquest.³⁷ To counter these pressures, King George Tupou I sought to adopt many internationally recognized attributes of a sovereign nation. These included the 1887 Royal Proclamation defining the Territory of Tonga.³⁸ The Proclamation explains its purpose as follows: "Whereas it seems expedient to us that we should limit and define the extent and boundaries of Our kingdom."³⁹ As noted earlier, this claim included "all islands, rocks, reefs, foreshores and waters" within the coordinates.⁴⁰

It is understandable that Tonga would include such a vast area within its historic claim, for the Tongans have historically been described as "the widest ranging navigators in western Polynesia."⁴¹ Although written records of the extent of past Tongan fishing operations are practically non-existent, substantial traditional archaeological and anthropological evidence exists of their ability as mariners and navigators to travel for commerce, conquest, birds and fish over sizable stretches of the Pacific.⁴²

³⁴ See section II-C *infra*.

³⁵ See section II-B *infra*.

³⁶ See section II-C *infra*.

³⁷ FRIENDLY ISLANDS, A HISTORY OF TONGA 162-63 (N. Rutherford ed. 1977).

³⁸ See note 26 *supra*.

³⁹ *Id.* During this period, King George Tupou I of Tonga took numerous other steps to define his kingdom as a nation in order to prevent falling under direct European rule. In 1875, a constitution was adopted. In 1882, an act was passed to regulate land, whereby all land belonged to the King and was inalienable. Tonga gained a code of laws, Privy Council, Cabinet, Legislature, Judiciary, flag and emblem during his reign. Tonga was successful in remaining independent for some time. Germany and England both signed treaties recognizing Tonga as a nation. But in 1900, Tonga was forced to become a protectorate of England, a status that lasted until 1970. N. Rutherford, Shirley Baker and the King of Tonga (1971) (unpublished Ph.D. thesis available in Australian National University Library); PAC. ISLANDS Y.B., *supra* note 24, at 419.

⁴⁰ See note 26 *supra* and accompanying text.

⁴¹ P. BELLWOOD, MAN'S CONQUEST OF THE PACIFIC, THE PREHISTORY OF SOUTHEAST ASIA AND OCEANIA 312 (1979).

⁴² *Id.*

The seat of Tongan power was on Tongatapu, but Tongan rule extended over a vast area.⁴³ Around 1200, the Tongans dominated some parts of Samoa in addition to the Tongan group of islands.⁴⁴ Traditions also suggest that in earlier times, the Tongans dominated Uvea (Wallis Island), Futuna (Hoorn Island) and Rotuma (now a part of Fiji).⁴⁵ Reports during the mid-18th century indicate that Tongans were still visiting Samoa and Fiji.⁴⁶ Based on this history, Tonga may be able to develop persuasive arguments for its 1887 jurisdictional claim.⁴⁷

For an additional argument in support of its claim, Tonga could assert that the islands in the Kingdom have an intrinsic association with each other and, as such, have been and must continue to be regarded as a single political unit. In support of this position, Tonga can point out that it has been classified as an archipelago by at least one of its neighbors, although it has not formally made such a claim itself.⁴⁸

⁴³ *Id.*

⁴⁴ *Id.*

⁴⁵ *Id.*

⁴⁶ *Id.* at 301.

⁴⁷ Tongans still fish extensively in the waters surrounding their islands. These waters are rich in fish and other marine resources. Recently, Tonga has been working with the United Nations Development Program to determine more specifically the extent and abundance of fish and other marine resources available in its waters. 1975-1980 TONGA DEVELOPMENT PLAN, *supra* note 19, at 208. Fish have historically been the major protein and complements carbohydrates as the major food of the islanders.

Modernization has had an impact on Tonga's ocean resources. Growing migration to the main island of Tongatapu and overfishing by its residents have depleted the fish resources of the reefs and lagoons around this island. Fish are still abundant in the rest of the Tongan Kingdom, including the Minerva Reefs.

The Tongan government estimates that the market in Tongatapu could absorb an additional 100 to 1,000 tons of fish annually. *Id.* at 207. Development of Tonga's fishing industry could assist in satisfying the traditional Tongan demand for fish. A healthy fishing industry could also help diminish an increasing trade deficit.

The Tongan government, in recognition of these needs, has embarked on a program to increase the catch of fish. The private sector has been encouraged to modernize its equipment. In 1966, the government first ventured into long-line fishing. KINGDOM OF TONGA, DEVELOPMENT PLAN 1970-1975, at 9. More recently, the Ekiaki, a new long-line fishing vessel, has substantially increased the nation's catch. 1975-1980 TONGA DEVELOPMENT PLAN, *supra* note 19, at 207. These vessels provide recent evidence of Tonga's geographically extended fishing operations. In the mid-1970's the Tongan government stated its intention to expand deep sea fishing operations by purchasing a second deep sea fishing vessel to increase the export of fish. *Id.* at 385.

The largest segment of the Tongan fishing industry remains the private sector. Tongan fishing methods are basic, geared to subsistence. A 1975 Tongan government survey revealed that of 715 privately owned vessels, 458 were canoes, 50 were sail powered, 193 were small skiffs powered by outboard motors and only 14 were larger private vessels powered by in-board motors. *Id.* at 206. These boats tend to confine their operations to the lagoons and reefs near their islands, but the Tongans also fish far from the lagoons and reefs of the major island groups in unsophisticated canoes and boats just as they did in prehistoric times.

⁴⁸ For example, S. Nandan, Fiji's delegate to the U.N. Seabed Committee argued that:

Tonga can also assert several equitable arguments in defense of its historic claim. These are quite similar to those raised by states claiming archipelagic status.⁴⁹ First, as an island state, Tongans have a greater degree of economic interdependency between their land and surrounding waters than exists with continental states. Second, the unhampered use of the interisland waters is essential to the nation's travel and communication needs. Finally, fishing within these waters is of enormous importance and the impact of pollution could be devastating.⁵⁰

Recognizing the relevance these arguments have to his country's historic title claim, Tonga's delegate to UNCLOS III has publicly supported the concept of archipelagic states and archipelagic waters.⁵¹ At the 1974 session, Ambassador Tupou stated that Tonga's 1887 Royal Proclamation⁵² was based on the need to protect territorial integrity and the unity

Either an island group is such an entity or it is not, and no arbitrary distance test can affect that factual entity. For instance a test of ten or even twenty-four miles between islands such as has at times been mooted would exclude from the definition of an archipelago both the Fiji and Tonga archipelagos, both of which have been generally accepted as archipelagos and have been cited as such by eminent authorities. . . . Each is contained on its own submarine platform with the islands and islets comprising mere surface manifestations of a single submerged land mass. Each is a tightly knit political and economic entity and historically has been accepted as such for many years. . . .

It is the contention of my delegation that just as coastal archipelagos were freed by the judgment in the Anglo-Norwegian Fisheries Case of 1951 from such arbitrary tests, oceanic archipelagos should be treated in the same way, so that the tests to be applied to them are those of a real unity and not an arbitrary one. It is in our view the physical relationship that is important . . . and that . . . mere distance between the islands comprising the archipelago is irrelevant. . . .

PARLIAMENT OF FIJI, REPORT ON FOREIGN AFFAIRS FOR THE PERIOD 10TH OCTOBER, 1970—31st DECEMBER, 1973 at 33, 34, PARLIAMENTARY PAPER No. 19 (1974) (emphasis added) [hereinafter cited as "FIJI PARLIAMENTARY REPORT ON FOREIGN AFFAIRS"].

⁴⁹ The development of the concept of "archipelagic waters" and "archipelagic states," see Draft Convention, *supra* note 1, arts. 46-54, was based on a recognition of the close relationship between land and sea in these island states and the geographical and ecological unity of the land and water areas. M. Kasumaatmadja, *The Legal Regime of Archipelagoes: Problems and Issues*, in *THE LAW OF THE SEA, NEEDS AND INTERESTS OF DEVELOPING COUNTRIES* 166 (Proceedings of the 7th Annual Meeting of the Law of the Sea Institute, Rhode Island, 1972, published in 1973); Andrew, *Archipelagos and the Law of the Sea*, *MARINE POLICY* 46 (Jan. 1978); Anand, *Mid-Ocean Archipelagos in International Law: Theory and Practice*, 19 *INDIAN J. INT'L L.* 228 (1979); see generally O'Connell, *supra* note 28.

⁵⁰ Article 46(b) of the Draft Convention, *supra* note 1, looks not only to the political association of a group of islands claiming archipelagic status but also to their economic association and geographic configuration. See also Comment, *The Third United Nations Conference on the Law of the Sea and an Archipelagic Regime*, 13 *SAN DIEGO L. REV.* 742 (1976). The Philippines has advanced similar equitable arguments to support its historic claims. Anand, *supra* note 49, at 235.

⁵¹ 2 UNCLOS III OR at 107, U.N. Sales No. E. 75 V. 4 (1974).

⁵² See note 26 *supra*.

of its islands,⁵⁵ which is of course the same reason for the modern development of the archipelagic concept. He also reported that Tonga "was willing to review its claim [of 1887] so that the Conference might bring into being a convention accommodating not only the legitimate interests of Tonga but also the interests of the world community."⁵⁶ Tonga's claim to the status of an "archipelagic state" is discussed below,⁵⁸ but before examining this subject, we will examine the validity of the historic claim itself.

2. *The Juridical Regime of Historic Waters*

The concept of historic waters has on numerous occasions been considered an indispensable principle in the delimitation of maritime areas. For example, the International Court of Justice ruled in 1951 that Norway had historic title to the waters in its sharply indented north coast because of the geographic configuration of that coast, the long use by Norwegians of these waters, their economic dependence on its resources and the acquiescence of foreign states in this exclusive use.⁵⁶ In addition, the 1958 Convention on the Territorial Sea and Contiguous Zone explicitly allows for variance in delimiting the territorial sea between two opposite or adjacent states where necessary to accommodate historic title claims.⁵⁷ The Draft Convention also adopts this historic title exception.⁵⁸ However, neither the Geneva Convention nor the Draft Convention defines the criteria for determining the validity of a claim to historic title.

This question has been addressed by the United Nation's Office of Legal Affairs. In 1962, upon the request of the International Law Commission,⁵⁹ the Office prepared a study entitled *Juridical Regime of Historic*

⁵⁵ 2 UNCLOS III OR at 107, U.N. Sales No. E. 75 V. 4 (1974).

⁵⁶ *Id.*

⁵⁷ See section II-B-4 *infra*.

⁵⁸ The Fisheries Case (United Kingdom v. Norway), [1951] I.C.J. 116. See also the discussion of Hawaii's historic claim to the waters around its northwest islands in Comment, *State-Federal Jurisdictional Conflict Over the International Waters and Submerged Lands of the Northwestern Hawaiian Islands*, 4 U. HAWAII L. REV. 139, 168 n.132 (1982).

⁵⁹ Convention on the Territorial Sea and Contiguous Zone, *supra* note 9, art. 12(1) (quoted in note 10 *supra*).

⁶⁰ Draft Convention, *supra* note 1, art. 15 (emphasis added):

Where the coasts of two States are opposite or adjacent to each other, neither of the two States is entitled, failing agreement to the contrary, to extend its territorial sea beyond the median line every point of which is equidistant from the nearest points on the baselines from which the breadth of the territorial seas of each of the two States is measured. The above provision does not apply, however, where it is necessary by reason of *historic title* or other special circumstances to delimit the territorial seas of the two States in a way which is at variance therewith.

⁶¹ The International Law Commission is:

an arm of the General Assembly charged, per Article 13 of the U.N. Charter, to 'initiate studies and make recommendations for the purpose of encouraging the progres-

*Waters, Including Historic Bays.*⁶⁰ According to the authors, this study was undertaken because codification of the international law governing delimitation of territorial waters and bays in the 1958 Convention on the Territorial Sea and Contiguous Zone⁶¹ could remove considerable maritime areas over which states had historically exercised jurisdiction. In order to deal with this problem and to induce as many states as possible to accept codification, a clause to exclude historic waters from its regulations was included in the Convention,⁶² and this 1962 study was prepared to convince nations with claims to historic waters to accede to the Convention.⁶³

Applying the analysis of this study to Tonga's situation, the rectangular shape of Tonga's claim would not of itself bar its claim to historic waters.⁶⁴ Although the term "historic waters" has often been thought to refer only to bays, the study states unequivocally that "all those authorities who have directed their attention to the problem seem to agree that historic title can apply also to waters other than bays, i.e., to straits, *archipelagoes*⁶⁵ and generally to all those waters which can be included in the maritime domain of a State."⁶⁶ After examining the customary law on historic waters, the study contends that the regime of historic waters is *not* an exception to the general rules of international law regarding delimitation of maritime space.⁶⁷ In other words, the regime of historic title is to be considered on its own merits and general delimitation rules do not

sive development of international law and its codification.' The Commission holds one session per year, lasting from eight to eleven weeks, in Geneva, Switzerland. Its twenty-five members do not serve as representatives of their governments but, rather, in their individual capacities as 'recognized' experts on international law. Since it began its work in 1948, the Commission has explored a wide variety of topics deemed suitable for codification, including, *inter alia*, the law of consular intercourse and immunities, of nationality, of the sea, of State responsibility, and of treaties.

B. WESTON, R. FALK & A. D'AMATO, *INTERNATIONAL LAW AND WORLD ORDER* 103 (1980). For additional discussion of the work of the International Law Commission see text accompanying notes 144-55, 169-73 *infra*.

⁶⁰ 14 U.N. GAOR, U.N. Doc. A/CN.4/143 (1962) at 19 [hereinafter cited as "*Historic Waters Study*"].

⁶¹ See note 9 *supra*.

⁶² See Convention on the Territorial Sea and Contiguous Zone, *supra* note 9, art. 12(1) (quoted in note 10 *supra*).

⁶³ The *Historic Waters Study*, *supra* note 60, at 19, quotes from Gidel on this point: "The theory of 'historic water,' whatever name it is given, is a necessary theory; in the delimitation of maritime areas, it acts as a sort of safety valve; its rejection would mean the end of all possibility of devising general rules concerning this branch of public international law" 3 G. GIDEL, *DROIT INTERNATIONAL PUBLIC DE LA MER* 651 (1934).

⁶⁴ The study does not comment specifically on Tonga's claims or on other rectangular claims.

⁶⁵ Although Tonga has not yet claimed archipelagic status, at least one of its neighbors has. See note 48 *supra* and accompanying text. For a discussion of whether archipelagic principles may be applicable to Tonga, see section II-B-4 *infra*.

⁶⁶ *Historic Waters Study*, *supra* note 60, at 17 (emphasis added).

⁶⁷ *Id.* at 21-31.

necessarily have a superior validity in relation to a historic title claim.⁶⁸ This study concludes that the following three factors should be considered in determining whether a nation has acquired historic title to a maritime area: "(1) the exercise of authority over the area by the State claiming the historic right; (2) the continuity of this exercise of authority; (3) the attitude of foreign States."⁶⁹ These three factors will therefore be analyzed and applied to Tonga's historic title claim.

a. *Exercise of Authority Over the Area Claimed*

According to the study, because a claim over historic waters denotes a claim to a maritime area as part of the domain of the nation, a state must exercise sovereignty over the waters.⁷⁰ Not all the rights and duties of sovereignty are required to have been exercised.⁷¹ Rather, the state must have carried on activities which would normally be performed by the sovereign of the area.⁷² For instance, the state may have excluded foreign fishing vessels or regulated their activities, measured the seas, placed beacons or otherwise assisted navigation or maintained ownership through legislation.⁷³

Although a state need not necessarily have taken concrete action, sovereignty must have been effectively expressed.⁷⁴ Where action is required to enforce the nation's authority, however, such action must be taken.⁷⁵ The simple assertion of a "right for its citizens to fish in the area" would not be sufficient to establish a historic claim.⁷⁶ The assertion must be for "an exclusive right" and the state asserting this right must have "kept

⁶⁸ *Id.* For further discussion see *id.* at 37. The study points out that:

[C]laims to maritime areas have been made by States on grounds which have varied greatly both within the same period of time and from one time to another. International doctrine and practice therefore present a rather confusing picture in this respect. It is to be expected that the Geneva Conventions will, when coming into force, bring more stability to this field, but as far as the customary law is concerned the situation is far from clear.

Id. at 29. Obviously, the study did not expect the Convention on the Territorial Sea and Contiguous Zone to preempt the field of customary law and, in particular, the regime of historic waters. Rather, article 12 was intended to maintain historic titles *status quo ante* the entry into force of the Convention. For further discussion see *id.* at 35.

⁶⁹ *Id.* at 37.

⁷⁰ *Id.* at 39.

⁷¹ *Id.* at 40.

⁷² *Id.*

⁷³ *Id.* at 38-44.

⁷⁴ *Id.* at 43.

⁷⁵ *Id.* at 38-44. On this point, Bourquin expressed the general opinion that, "Sovereignty must be effectively exercised; the intent of the State must be expressed by deeds and not merely by proclamations." *Id.* at 43, quoting Bourquin, *Les Baies Historiques*, in *MELANGES GEORGES SAUSER-HALL* 43 (1952).

⁷⁶ *Historic Waters Study*, *supra* note 60, at 39.

foreign fishermen away from the area or taken action against them."⁷⁷

Historical accounts of Tongan action to enforce sovereignty over this area do not appear to exist. No doubt, Tonga's location has served as a natural protection against hostile incursions into its ocean boundaries by other nations, thereby eliminating the need for confrontations. In more recent times, Tonga probably has not attempted to confront the naval and fishing nations of the world who have sent ships into its historic waters. The fact that Tonga is a relatively small and poor country should be given due consideration in this regard. Permitting the extinction of Tonga's claim just because it did not directly confront these incursions would promote an intolerable policy, for mighty nations could then arbitrarily change ocean boundaries of South Pacific island communities simply by sailing into their waters.⁷⁸

b. Continuity of the Exercise of Authority

The 1962 U.N. study reports that the dominant view of jurists and decision makers is that "usage" is required to establish a valid claim to historic waters.⁷⁹ "Usage" may mean a general pattern of behavior or a repetition by the same persons of the same or similar activity.⁸⁰ Although the former may provide the basis for a general rule of customary law, only usage in the latter sense can give rise to historic title.⁸¹ A nation consequently must exhibit repeated or continued usage over a period of time determined to be sufficiently lengthy from an evaluation of all relevant circumstances.⁸²

Tonga's navigation tradition provides relevant evidence to establish actual usage of the waters.⁸³ It can be argued that prior to the period of European discovery, Tonga was unaware of customary international law and the need to formalize its claim. Thus, Tonga's 1887 Proclamation⁸⁴ and its continued assertion of jurisdiction through various proclamations⁸⁵ of its legislature could be argued to be sufficient evidence of con-

⁷⁷ *Id.* at 40.

⁷⁸ The fact that a nation has not met opposition to its authority does not invalidate a claim. If the nation's authority has been continuously exercised and respected, that may suffice. After the 1887 Proclamation, Tonga signed a treaty with the United States (1888) which recognized Tonga's independence and did not dispute its claim. N. Rutherford, *supra* note 39, at 411. Tonga has, at various times, asserted and affirmed its sovereignty over the area described in the Royal Proclamation of 1887. See note 28 *supra*. Apparently, no nation has yet disputed this claim. See note 30 *supra*.

⁷⁹ *Historic Waters Study*, *supra* note 60, at 44.

⁸⁰ *Id.* at 45.

⁸¹ *Id.*

⁸² *Id.*

⁸³ See notes 41-50 *supra* and accompanying text.

⁸⁴ See note 26 *supra*.

⁸⁵ See note 28 *supra*.

tinued usage.

c. Attitude of Foreign Nations

The third factor necessary to establish a claim to historic waters is toleration by other nations to a state's exercise of sovereignty.⁸⁶ Inaction, particularly by neighbors, is sufficient;⁸⁷ formal consent is not required.⁸⁸ Tonga's neighbors are well aware of Tonga's historic title claim. In 1977, Joji Kotobalavu, then the Foreign Minister of Fiji, noted the existence of the "historical claim of Tonga, which we shall have to take into account in negotiations."⁸⁹ Fiji's position appears to constitute evidence of validation and, in conjunction with the longstanding absence of challenge to Tonga's boundaries, may be a persuasive indication of tolerance. Fiji's tolerance is especially significant for Tonga, because Fiji and Tonga are neighbors who most certainly will be involved in delimitation negotiations, and Fiji is one of the potential users of the seas claimed by Tonga. In addition, the fact that no other nation has yet disputed Tonga's claim further strengthens Tonga's position.⁹⁰

d. Other Considerations

The U.N. study also states that "geographical configuration, requirements of self-defence or other vital interests of the coastal State" can serve to strengthen a claim to historic waters.⁹¹ Perhaps Tonga could emphasize its economic dependence on these waters to provide additional persuasive evidence for its claim.⁹²

A final issue raised by this study is whether the historic waters "are internal waters of the coastal State or are to be considered a part of its territorial sea."⁹³ The answer given is that it depends on how the state itself has treated the waters:

If the claimant State has exercised sovereignty as over internal waters, the area claimed would be internal waters, and if the sovereignty exercised was

⁸⁶ *Historic Waters Study*, *supra* note 60, at 46-56. The study concludes that the attitude of neighboring states should be given most weight, particularly those that are directly affected because of geographical proximity or commercial or other interest in the subject matter. *Id.* at 51-55.

⁸⁷ *Id.*

⁸⁸ *Id.* at 47.

⁸⁹ Kotobalavu, *The South Pacific and the Law of the Sea*, in *REGIONALIZATION OF THE LAW OF THE SEA* 317 (D. Johnston ed. 1977).

⁹⁰ See note 30 *supra*.

⁹¹ *Historic Waters Study*, *supra* note 60, at 56.

⁹² See note 47 *supra*.

⁹³ *Historic Waters Study*, *supra* note 60, at 65.

sovereignty as over the territorial sea, the area would be territorial sea. For instance, if the claimant State allowed the innocent passage through the waters claimed, it could not acquire an historic title to these waters as internal waters, only as territorial seas.⁶⁴

Because Tonga has permitted innocent passage by foreign ships through waters within its historic claim, the claim could probably not be considered one for internal water status. However, as shown above, Tonga's historic title claim arguably does meet the three criteria laid down by the 1962 U.N. study. Tonga can argue therefore that it has some level of sovereignty over the resources of those waters.

3. *Other Unique Ocean Boundary Situations: Historic Claims by the Philippines and the Republic of Maldives*

Tonga can cite the historic claims of other island nations for additional support. The archipelagic baseline delimitation established by the Philippines in the 1960's⁶⁵ is similar to Tonga's claim in that it also essentially followed a line identified by coordinates located along meridians and parallels. The enclosed archipelagic waters were defined as lying within straight baselines connected by the outermost islands of the archipelago. However, the limits of the overall territorial seas, which are claimed to have been established by three treaties,⁶⁶ extends from the nearest land by as much as 285 nautical miles in some spots while hugging the coastline in others⁶⁷ (see Figure 2).

In addition, the Republic of Maldives in the Indian Ocean has declared a rectangular exclusive economic zone.⁶⁸ The claimed area varies in

⁶⁴ *Id.* at 66.

⁶⁵ Republic Act No. 3046, June 17, 1961, as amended by Republic Act No. 5446, Sept. 18, 1968.

⁶⁶ J. PRESCOTT, MARITIME JURISDICTION IN SOUTHEAST ASIA: A COMMENTARY AND MAP 14 (East-West Center Environmental and Policy Institute Research Report No. 2, 1981) (referring to treaties in 1898 and 1900 between the United States and Spain and the 1930 treaty between the United States and the United Kingdom), citing G. Martens, *Nouveau Recueil general de traites et autres actes relatifs aux rapports de droit international*, continuation de grant recueil de F. Fr. de Martens par Felix Stoerk, 2 series, vol. 32, Librairie Deiterich, Leipzig, 1905, at 74 (Dec. 10, 1898), 82 (Jan. 2, 1900); 137 L.N.T.S. 298.

⁶⁷ J. PRESCOTT, *supra* note 96, at 15. Krueger & Nordquist, *The Evolution of the 200-Mile Exclusive Economic Zone: State Practice in the Pacific Basin*, 19 VA. J. INT'L L. 321, 349 (1979).

⁶⁸ Krueger & Nordquist, *supra* note 97, at 351-52. There appears to be no historical or legal precedent for the Maldivian limits which were first declared in the Maldives' 1964 Constitution. The Republic was defined as "the islands and the sea and air surrounding and in between latitudes 7-10 1/4' (North) and 0-45 1/4' (South) and longitudes (East 72-29 1/4' and 73-49')." Constitution of the Republic of Maldives, art. 1, reprinted in U.N. Doc. ST/LEG/SER. B/16, at 16 (1974). The limits were affirmed on February 24, 1969, when the Republic created an exclusive fishing zone that paralleled the rectangle at a distance of

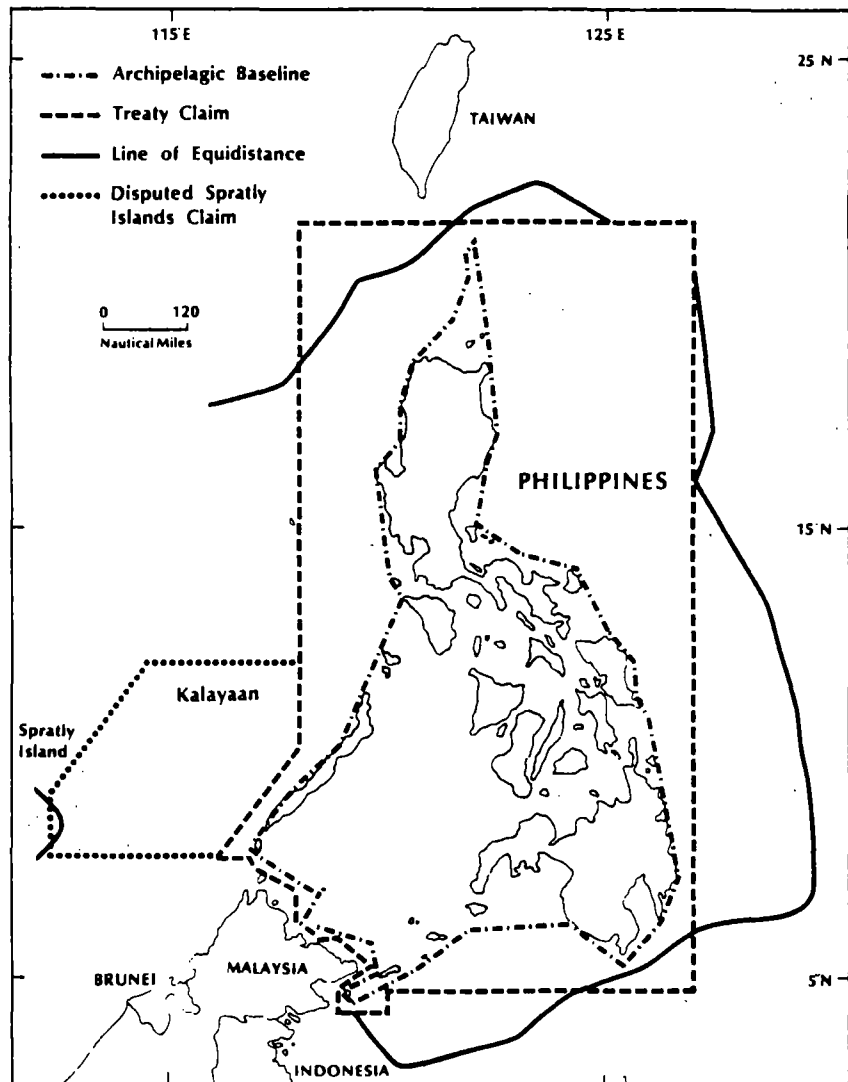


FIGURE 2: THE PHILIPPINES

Rectangular lines around main Philippines Islands illustrates its historic "treaty claim," which in certain areas is broader than the 200-mile equidistance claim (solid line).

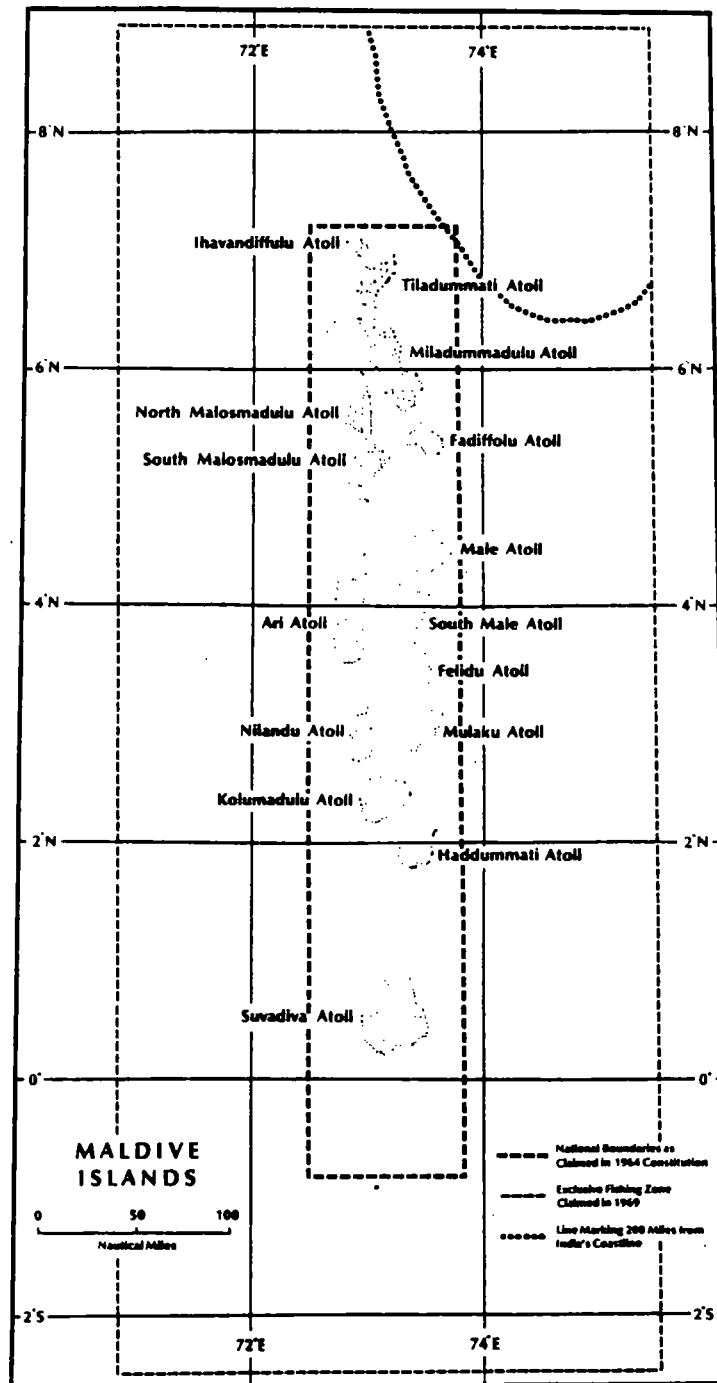


FIGURE 3: MALDIVES ISLANDS

India also has some islands north of these Islands that may affect the boundary delimitation between the two nations.

breadth from thirty-five to over 300 nautical miles.⁹⁹ This claim is interesting in that the freedoms permitted foreign nations in the newly declared zone suggest an attempt by that nation to enlarge the area of its territorial sea, rather than the establishment of a new economic zone. This result appears to have been intended because only innocent passage through the exclusive economic zone is permitted without the prior consent of the Maldivian government;¹⁰⁰ all other uses of the waters are subject to prosecution and conviction under Maldivian laws¹⁰¹ (see Figure 3). By contrast, article 58 of the Draft Convention protects the freedoms of navigation, overflight and the right of all nations to lay submarine cables and pipelines in the exclusive economic zones of other nations.¹⁰²

4. *Applying the Archipelagic Principles of UNCLOS III to Tonga*

It is also possible to analyze Tonga's historic claim in terms of the concept of the archipelagic state, which is gaining acceptance throughout the world. Despite Tonga's support for the development of the archipelagic concept at UNCLOS III, the specific principles that have emerged do not appear at first glance to apply very well to the geographic configuration of Tonga's islands. Article 47 of the Draft Convention defines the archipelagic baselines that an archipelagic state is permitted to draw in precise terms:

1. An archipelagic State may draw straight archipelagic baselines joining the outermost points of the outermost islands and drying reefs of the archipelago provided that within such baselines are included the main islands and an area in which the ratio of the area of the water to the area of the land, including atolls, is between 1 to 1 and 9 to 1.
2. The length of such baselines shall not exceed 100 nautical miles, except that up to 3 per cent of the total number of baselines enclosing any archipelago may exceed that length, up to a maximum length of 125 nautical miles.¹⁰³

Because Tonga's islands are small and scattered, even straight archipelagic baselines enclosing only the major islands of Tonga would exceed the maximum proposed water-to-land ratio of 9:1. Baselines enclosing the islands south of Fonualei produce a water-to-land ratio of 45:1, and if all

approximately 100 miles. Law No. 5/69 Javiyani of 1969. On May 29, 1972, the Maldives transmitted a note to the U.N. Secretariat, restating the fishing zone limits and redefining the Republic's coordinates, but retaining the rectangular shape of its territory.

⁹⁹ Krueger & Nordquist, *supra* note 97, at 352.

¹⁰⁰ *Id.*

¹⁰¹ *Id.*

¹⁰² Draft Convention, *supra* note 1, art. 58.

¹⁰³ *Id.* art. 47(1)-(2).

the islands are enclosed, the ratio increases to 65:1¹⁰⁴ (see Figures 1 and 4).

In order to come within the requisite 9:1 water-to-land ratio, Tonga could draw baselines connecting the islands in some of its island clusters—although not all its islands—and thereby qualify as an archipelagic state made up of several archipelagoes.¹⁰⁵ It could then claim territorial seas around its remaining islands. Fiji, which declared itself to be an archipelagic regime as of 1978, employs this method for its islands that do not fit within the 9:1 ratio.¹⁰⁶ In the alternative, Tonga could of course choose to claim territorial seas around each of its islands.¹⁰⁷

Tonga would have about the same area of exclusive economic zone whether it chooses to claim archipelagic baselines around its several island clusters with exclusive economic zones drawn from these baselines or draw a territorial sea and an exclusive economic zone around each Tongan island. The results are similar because all the islands claimed by Tonga are within 400 miles of another Tongan island.

The only practical difference between these two types of claims involves the status of the waters within the archipelagic baselines. According to the Draft Convention, an archipelagic state can exercise "sovereignty" over its archipelagic waters.¹⁰⁸ These waters are therefore akin to waters in the territorial sea, and the archipelagic state has greater control over them than over waters in the exclusive economic zone.¹⁰⁹ Although it is possible for Tonga to assert some sort of archipelagic status under the principles established by UNCLOS III,¹¹⁰ as of this writing, Tonga has not made any specific claim in these terms and instead appears to be

¹⁰⁴ J. Prescott, Existing and Potential Maritime Claims in the Southwest Pacific Ocean 19 (unpublished paper delivered to Environment and Policy Institute, East-West Center, 1980). Fiji has been generally supportive of considering Tonga to be an archipelagic state although it appears that Tonga does not meet the current definition in the Draft Convention. In the earlier stages of negotiation, Fiji argued against the requirement of a water-to-land ratio for archipelagoes. See FIJI PARLIAMENTARY REPORT ON FOREIGN AFFAIRS, *supra* note 48, at 33.

¹⁰⁵ Article 46 of the Draft Convention, *supra* note 1, defines "archipelagic state" and "archipelago" as follows:

- (a) "Archipelagic State" means a State constituted wholly by one or more archipelagos and may include other islands;
- (b) "Archipelago" means a group of islands, including parts of islands, interconnecting waters and other natural features which are so closely interrelated that such islands, waters and other natural features form an intrinsic geographical, economic and political entity, or which historically have been regarded as such.

¹⁰⁶ See figure 5 and section III-A *infra*. In 1977, Fiji established an archipelagic regime effective April 21, 1978, for the purpose of drawing its territorial sea and exclusive economic zone. See note 186 *infra* and accompanying text.

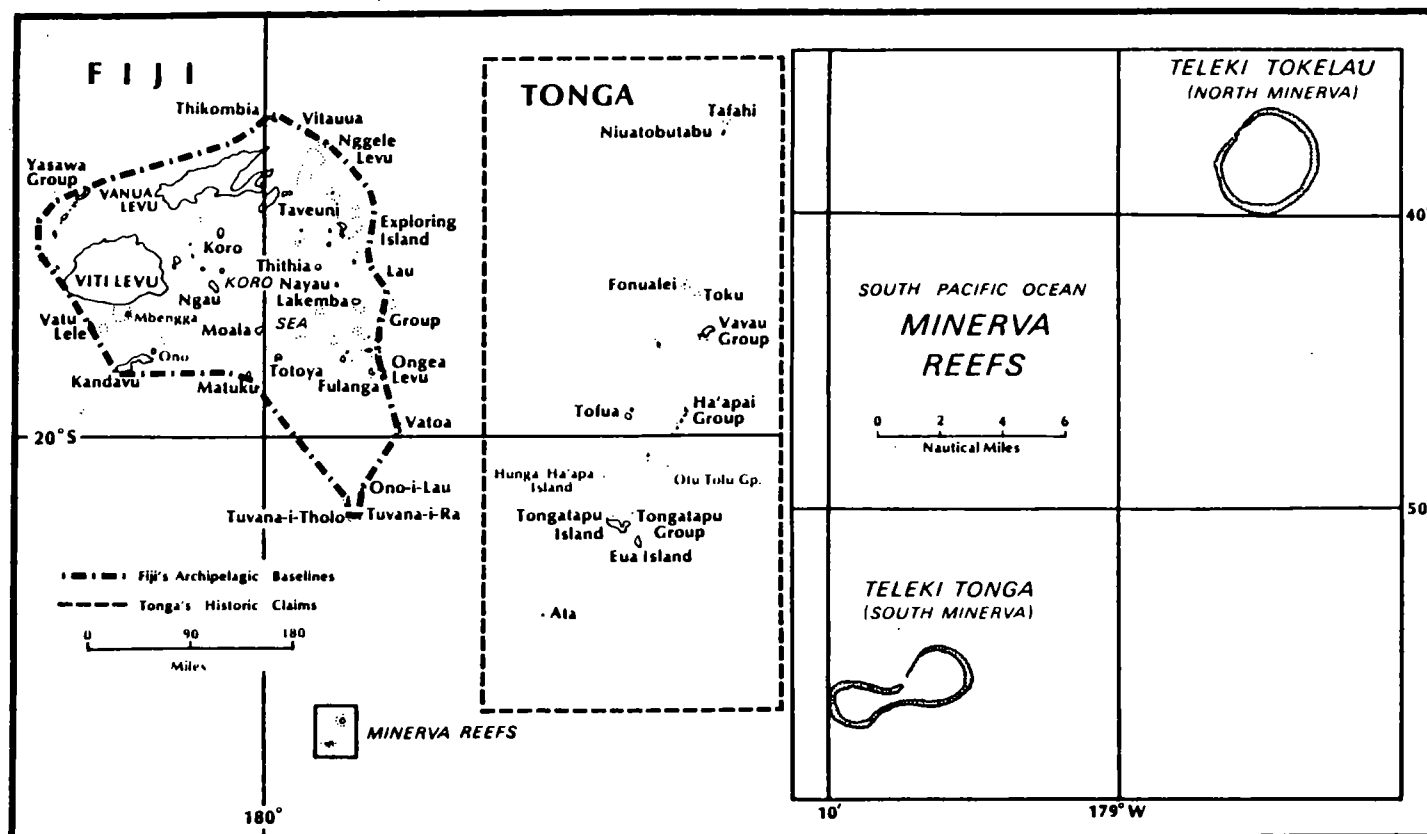
¹⁰⁷ Draft Convention, *supra* note 1, art. 121(2).

¹⁰⁸ *Id.* art. 49.

¹⁰⁹ *Id.* Compare arts. 2, 49-53 and 56-73.

¹¹⁰ See text accompanying notes 105-07 *supra*.

FIGURE 4: TONGA AND THE MINERVA REEFS



maintaining its historic title claim of 1887.¹¹¹

It is difficult to translate this 19th century claim into the concepts that are emerging in the Draft Convention. As mentioned above,¹¹² the Tongan delegate to the 1974 Caracas session of UNCLOS III stated that Tonga was willing to "review" its claims to assist in the development of a global treaty. But what will the result of this review be?

If Tonga's historic claim is treated by other nations as an archipelagic claim, the resulting acquisition of control over ocean space might represent too great a loss of rights previously enjoyed by its neighbors and the international community. If the "foreshores and waters"¹¹³ within the coordinates are viewed as a territorial sea or as archipelagic waters, Tonga could exert control to the exclusion of other states in the waters concerned, except for the right of innocent passage or archipelagic sea lanes passage.¹¹⁴ Moreover, Tonga could then claim an expansive exclusive economic zone that would overlap with those zones and even the territorial seas of Fiji, Western Samoa and American Samoa.

A reasonable interpretation of the 1887 Proclamation might be to view the waters beyond the twelve-mile territorial seas surrounding each island as having the same status as that of waters within an exclusive economic zone. Or perhaps Tonga should claim an exclusive economic zone around all its islands, which would give them more maritime space than contained in their historic claim (see Figure 1). The historic claim would still be of importance in resolving the boundary delimitation problems that would arise because of overlapping exclusive economic zones with Tonga's neighbors.¹¹⁵

C. *Tonga's Claim to Teleki Tonga and Teleki Tokelau (the Minerva Reefs)*

1. *Background*

The Minerva Reefs are two formations of volcanic origin, eighteen miles apart and approximately 170 miles southwest of the nearest Tongan Island—Ata (see Figure 4). South Minerva, or Teleki Tonga, consists of two atolls, each forming a semi-circle approximately seven and a half miles in circumference. North Minerva, or Teleki Tokelau, is a single atoll

¹¹¹ See note 26 *supra*.

¹¹² See text accompanying note 54 *supra*.

¹¹³ See Proclamation, *supra* note 26.

¹¹⁴ Draft Convention, *supra* note 1, arts. 52-53. Article 51 also requires archipelagic states to respect existing submarine cables and "traditional fishing rights and other legitimate activities of the immediately adjacent neighboring States in certain areas falling within archipelagic waters," as "regulated by bilateral agreements." See text accompanying notes 93-94 *supra*.

¹¹⁵ See section IV-A *infra*.

with a circumference of eleven miles.¹¹⁶ Prior to 1972, South Minerva was covered by more than three feet of water at high tide and North Minerva was even more submerged. At low tide, however, portions of both were exposed.¹¹⁷

Previously, the Minerva Reefs had been primarily known as a navigational hazard. For centuries, vessels and sailors were shipwrecked there, never to be heard of again. In 1962, for example, Captain Tevita Fifita and his crew of seventeen sailors were marooned on the Reefs for 102 days.¹¹⁸ In 1966, Captain Fifita returned to the Reefs, raised the Tongan flag, and proclaimed annexation of the Reefs to Tonga.¹¹⁹

In 1972, the Minerva Reefs were claimed by the Republic of Minerva, an organization operating through the corporate personality of the Ocean Life Research Foundation of Carson City, Nevada, whose members apparently adhere to a libertarian philosophy.¹²⁰ This group planned to create a new independent country, free of taxes and government control, and wanted to build a sea-city on the Reefs to house a population of up to 250,000.¹²¹ On January 16, 1972, two members of the Ocean Life Research Foundation set sail with three crew members for the Minerva Reefs. They erected mounds of coral rock ten feet high on each reef and planted poles outfitted with reflectors and beacon lights. Laying claim to the Reefs in the name of the Republic of Minerva, they raised a flag they had designed¹²² and then sent letters to more than 100 nations, including the United States, declaring and requesting recognition of Minervan sovereignty.¹²³

The Minervan "President," Morris C. "Bud" Davis (who had never even seen the Minerva Reefs) explained that the Minervas were chosen by the Ocean Life Research Foundation after a search for "any place in the world that was unclaimed."¹²⁴ He argued that the Reefs were 100 miles from Tonga's boundaries as declared in the Tongan Royal Procla-

¹¹⁶ O. RUHEN, MINERVA REEF 2-6 (1963).

¹¹⁷ *Id. passim*; N.Y. Times, Feb. 27, 1972, at 5, col. 1; Honolulu Advertiser, May 1, 1972, at D-2, col. 1; Sunday Star-Bulletin & Advertiser, Dec. 10, 1972, at G-5, col. 1.

¹¹⁸ O. RUHEN, *supra* note 116, at 13-17. See also Comment, *To Be or Not to Be: The Republic of Minerva—Nation Founding by Individuals*, 12 COLUM. J. TRANSNAT'L L. 520, 545 (1973) [hereinafter cited as "Minerva Comment"].

¹¹⁹ *Minerva Comment*, *supra* note 118, at 527, 545.

¹²⁰ The Ocean Life Research Foundation claimed a membership of 200,000 in the United States, Great Britain, Europe, Australia and New Zealand. Auburn & Chandler, *A New Island Country*, 25 SEA FRONTIERS 274 (Oct. 1979); *Minerva Ploy*, NEWSWEEK, Oct. 23, 1972, at 52; Honolulu Advertiser, July 28, 1972, at A-25, col. 2.

¹²¹ Auburn & Chandler, *supra* note 120, at 281; 43 PAC. ISLANDS MONTHLY 93 (Mar. 1972); Sunday Star-Bulletin & Advertiser, *supra* note 117.

¹²² *Minerva Comment*, *supra* note 118, at 521; Sunday Star-Bulletin & Advertiser, *supra* note 117.

¹²³ Sunday Star-Bulletin & Advertiser, *supra* note 117.

¹²⁴ Honolulu Star-Bulletin, Nov. 23, 1972, at F-6, col. 1.

mation of 1887 and succeeding declarations.¹²⁵

Although the Republic never did materialize,¹²⁶ Tonga actively disputed the Foundation's claim to the Reefs and took several courses of action. In February 1972, Tongan officials built refuge stations on each of the reefs—boxes with beacons containing survival kits marked "Maintained by the Government of Tonga."¹²⁷ In May of the same year, a Tongan vessel towed a barge carrying several steel I-beams to support a permanent structure that Tonga intended to build on the Reefs.¹²⁸ King Taufa'ahau sailed on this voyage to observe the area,¹²⁹ and on June 15, 1972, issued a Royal Proclamation affirming Tonga's claim by declaring that the Reefs and the mounds created on them by the Foundation, which he called Teleki Tonga and Teleki Tokelau, plus all "islands, rocks, reefs, foreshores, and waters lying within a radius of twelve miles thereof are part of the Kingdom of Tonga."¹³⁰ The King asserted that the Reefs should have been part of Tongan territory years ago because they were part of the estate of an early Tongan chief whose other lands had all become a part of Tonga.¹³¹

That same month, the King arrived at the Reefs with a work force of ninety to 100 Tongans who added to the work of Ocean Life claimants, constructing two tiny islands on the Reefs with the steel I-beams transported earlier. These artificial islands are above water at high tide. The Tongans lowered the flag of the Ocean Life Research Foundation and proceeded to raise their own. Tonga's Prime Minister Mahe U. Topounia then read a Royal Proclamation declaring sovereignty over the Reefs.¹³² Also in 1972, the Tongan government commenced operations with a patrol boat to insure that the Minervan waters would be protected from incursions by foreign fishing vessels.¹³³

No nation ever recognized the Ocean Life Research Foundation's claim to the Minerva Reefs.¹³⁴ At a meeting of the South Pacific Forum in Can-

¹²⁵ *Id.*; Sunday Star-Bulletin & Advertiser, *supra* note 117; see note 26 *supra*.

¹²⁶ Honolulu Star-Bulletin, *supra* note 124; cf. Auburn & Chandler, *supra* note 120, at 281.

¹²⁷ *Minerva Comment*, *supra* note 118, at 545; Honolulu Advertiser, *supra* note 117.

¹²⁸ *Minerva Comment*, *supra* note 118, at 528 n.31, 545-46.

¹²⁹ *Id.*

¹³⁰ See Proclamation, *supra* note 31; *Minerva Comment*, *supra* note 118, at 546.

¹³¹ 44 PAC. ISLANDS MONTHLY 15 (Sept. 1973). S. Langi Kavaliku, Tongan Minister of Education, Works and Civil Aviation, has stated that the Tongan claim is based on oral traditions. Letter from S. Langi Kavaliku to Faye Kimura (Jan. 22, 1982).

¹³² Auburn & Chandler, *supra* note 120, at 280; *Minerva Comment*, *supra* note 118, at 546; Sunday Star-Bulletin & Advertiser, *supra* note 117; Honolulu Star-Bulletin, *supra* note 124; Honolulu Advertiser, *supra* note 120.

¹³³ 44 PAC. ISLANDS MONTHLY 15 (Sept. 1973).

¹³⁴ The Sultanate of Ocussi Ambino on the island of Timor in the Malay archipelago invited the Foundation to enter into diplomatic relations in January 1972. Ocussi Ambino did not, however, have the status of a sovereign nation. Auburn & Chandler, *supra* note 120, at 281; *Minerva Comment*, *supra* note 118, at 526; Honolulu Advertiser, *supra* note 117.

berra in February 1972, Australia, New Zealand, Nauru, the Cook Islands, Western Samoa and Fiji all agreed to join Tonga in opposing the Foundation's claim. At its next meeting in September of the same year, the Forum recognized Tonga's historical association with the Minerva Reefs and agreed to exclude all other claims.¹³⁵

The significance of Tonga's claim to the Reefs lies in the potential implications which would arise if a 200-mile exclusive economic zone were to be declared around each reef. Although a claim of this nature has not yet been made, such a declaration would result in an appropriation of a vast area of the high seas. Moreover, this claim would overlap with the exclusive economic zones of Fiji and New Zealand's Kermadec Islands and produce potential disputes with these countries of over 18,500 and 5,000 square nautical miles respectively.¹³⁶ It is true that Fiji and New Zealand have acquiesced to Tonga's claim to the Reefs and corresponding territorial seas during meetings of the South Pacific Forum and that, thus far, no other nation has opposed these claims. The question whether the Minerva Reefs and the islands built on them can or should generate territorial seas and exclusive economic zones is, however, one that needs to be examined in greater detail.

2. *Are Teleki Tonga and Teleki Tokelau "Islands" According to the Norms of International Law?*

According to the Draft Convention, artificial islands are not true islands and therefore cannot generate territorial seas or exclusive economic zones.¹³⁷ Tonga's position seems to be that Teleki Tonga and Teleki Tokelau are real islands, not artificial structures. For example, at the Second Session of UNCLOS III, on July 3, 1974, Prince Tupoutua of Tonga asserted that:

[His] country did not question the rule that an artificial structure did not of itself generate a territorial sea, but that rule had by no means settled the question of islands. In fact, his Government had recently proclaimed its sovereignty over the islands of Teleki Tonga and Teleki Tokelau.¹³⁸

This section will examine relevant authority to shed light on whether Teleki Tonga and Teleki Tokelau can be classified as true islands.

¹³⁵ Auburn & Chandler, *supra* note 120, at 279-80; N.Y. Times, *supra* note 117; Honolulu Star-Bulletin, Feb. 2, 1972, at B-12, col. 1.

¹³⁶ J. Prescott, *supra* note 104, at 33. Fiji claimed an exclusive economic zone in An Act to Make Provision for the Demarcation of the Marine Spaces, Act No. 18 of 1977, Dec. 15, 1977 (Fiji) [hereinafter cited as "Fiji Marine Spaces Act of 1977"]. See also section III-A *infra*.

¹³⁷ See note 160 *infra*.

¹³⁸ 1 UNCLOS III OR at 109, U.N. Sales No. E 75 V. 3 (1974)(paraphrase).

a. *The 1930 League of Nations Codification Conference at the Hague*

The reports of the 1930 Codification Conference were considered at the time of their formulation to codify the customary international law concerning islands.¹³⁹ The Final Act of the Conference included a recommendation that an isolated island be allowed its own territorial waters only if it is above water at high tide.¹⁴⁰ The report defined an island as "an area of land, which is permanently above [the] high-water mark."¹⁴¹ Significantly, however, the commentary explicitly refused to exclude artificial islands from the definition,¹⁴² although low tide elevations of the sea bed were deemed not to be islands.¹⁴³

b. *The International Law Commission—1952, 1954, 1956*

The 1930 definition of "island" was adopted by Professor J.P.A. Francois, the Special Rapporteur to the International Law Commission, in his first report on the regime of the territorial sea in 1952.¹⁴⁴ Two years later, at the 1954 meeting of the Commission, Francois explained his stance concerning artificial islands and their ability to generate territorial seas.¹⁴⁵ When a nation erects an artificial island beyond its territorial waters, he stated, other nations have the right to object and to refuse to recognize it and the territorial sea claimed to surround it.¹⁴⁶ Francois went on to state, however, that if no nation objected to the erection of an artificial island, it would be entitled to a territorial sea.¹⁴⁷

¹³⁹ Johnson, *Artificial Islands*, 4 INT'L L. Q. 203, 212-13 (1951); A. Soons, *Artificial Islands and Installations in International Law* 17 (Law of the Sea Institute Occasional Paper No. 22, 1974).

¹⁴⁰ Islands visible only at low tide could be used in determining the baseline for territorial waters only if they are within the territorial waters of the nation seeking to use them as baselines. League of Nations Conference for the Codification of International Law at 52-53, League of Nations Doc. C.74M.39.1929.V (1929).

¹⁴¹ League of Nations Conference for the Codification of International Law at 219, League of Nations Doc. C.230.M.117.1930.V (1930).

¹⁴² The comment to article 9 (Islands) states:

The definition of the term "island" does not exclude artificial islands, provided these are true portions of the territory and not merely floating works, anchored buoys, etc. The case of an artificial island erected near to the line of demarcation between the territorial waters of two countries is reserved.

An elevation of the sea bed, which is only exposed at low tide, is not deemed to be an island for the purpose of this Convention.

Id.

¹⁴³ *Id.*

¹⁴⁴ Francois, Report on the Regime of the Territorial Sea, 4 U.N. GAOR, U.N. Doc. A/CN.4/53 (1952).

¹⁴⁵ Summary of Records of the 260th Meeting, [1954] Y.B. INT'L L. COMM'N 91-94, U.N. Doc. A/CN.4/SER.A/1954 [hereinafter cited as "Summary Records"].

¹⁴⁶ *Id.*

¹⁴⁷ *Id.* at 91.

The British jurist Sir Hersch Lauterpacht, who later became a judge on the International Court of Justice, disagreed with Francois on this question. Lauterpacht proposed that the word "natural" be inserted before the words "area of land."¹⁴⁸ In this manner, he hoped to prevent an artificial island, a technical installation or a lighthouse from being the focus for extensions of maritime jurisdiction.¹⁴⁹ The Commission rejected this amendment by a five to four vote.¹⁵⁰ It declined, however, to decide whether artificial islands were in fact entitled to territorial seas.¹⁵¹

The Commission did note that elevations above water only at low tide are not "islands." Francois analogized lighthouses built on such low tide elevations to installations built upon the water to exploit resources of a continental shelf. He concluded that neither would qualify as islands¹⁵² nor be entitled to territorial seas.¹⁵³ Francois also suggested, however, that an island formed artificially by the accumulation of sand or rubble would be entitled to a territorial sea. Significantly, the Commission did not explicitly rule out this possibility.

The Commission eventually adopted the following definition of an island. "Every island has its own territorial sea. An island is an area of land surrounded by water which is under normal circumstances permanently above highwater mark [sic]."¹⁵⁴ This language was also recommended by the International Law Commission in its 1956 proceedings.¹⁵⁵

Under the standards with regard to islands laid down by the 1930 Conference and draft provisions prepared through 1956 by the International Law Commission, Tonga's claim to a territorial sea around Teleki Tonga and Teleki Tokelau would arguably be justifiable. If Francois' theory is applicable, the claim would especially be justified if the material elevating them above the high-tide line was "natural."

c. *The 1958 Geneva Convention and the Third U.N. Conference on the Law of the Sea*

Article 10 of the Convention on the Territorial Sea and Contiguous Zone,¹⁵⁶ as finally adopted by the 1958 Geneva Convention, codified Lau-

¹⁴⁸ See text accompanying note 141 *supra*.

¹⁴⁹ Summary Records, *supra* note 145, at 92. Lauterpacht also proposed to add the words "and capable of effective occupation and control" after the words "above the high-water mark." He withdrew that amendment before a vote was taken. *Id.* at 92, 94.

¹⁵⁰ *Id.* at 94.

¹⁵¹ *Id.* at 93. The Chair noted that "the question of artificial islands should be left open."

¹⁵² Francois, *supra* note 144, at 28-29.

¹⁵³ Francois stated that lighthouses and technical installations built on low-tide elevations would not have a territorial sea. The members of the Commission appeared to agree. Summary Records, *supra* note 145, at 91-94.

¹⁵⁴ *Id.* at 94.

¹⁵⁵ [1956] 2 Y.B. INT'L L. COMM'N 270, U.N. Doc. A/CN.4/SERA/1956/V.2.

¹⁵⁶ Convention on the Territorial Sea and Contiguous Zone, *supra* note 9.

terpacht's view that artificial islands are not entitled to a territorial sea. It provides that "[a]n island is a *naturally-formed* area of land, surrounded by water, which is above water at high tide."¹⁵⁷ Tonga has ratified this Convention.¹⁵⁸

This definition of islands has been adopted without change as article 121 of all the negotiating texts and drafts prepared by UNCLOS III.¹⁵⁹ Under this definition, the Minerva Reefs, being totally submerged at high tide under "natural" conditions, would not seem to qualify as islands. Instead, the Reefs would probably be classified as low tide elevations which, according to the Draft Convention, are not entitled to territorial seas.¹⁶⁰ Such elevations can be used as basepoints for measuring a territorial sea if they are within twelve miles of other territory within a country's jurisdiction, but if not, they can have no impact on the delimitation of maritime space.¹⁶¹ Because the Reefs are approximately 170 miles from the closest Tongan territory, the island of Ata, they therefore cannot be used as base points for measuring Tonga's territorial sea.

Fiji appears to be in support of this conclusion. In a session of the Law of the Sea Institute in November 1977, Fiji's then Foreign Minister, Joji Kotobalavu, responded to a question on the status of the Minerva Reefs as follows:

[The status of the Minerva Reefs], of course, is a matter that will have to be resolved by Fiji and Tonga. New Zealand also has an interest in this. If it is accepted that Minerva Reefs can generate its own economic zone, that will

¹⁵⁷ *Id.* art. 10(1) (emphasis added).

¹⁵⁸ U.S. DEP'T OF STATE, TREATIES IN FORCE 317-18 (1980).

¹⁵⁹ Although the late S. H. Amerasinghe, then President of the Conference, noted in his memorandum to the 1979 Revised Informal Composite Negotiating Text that the regime of islands (article 121) "had not yet received adequate consideration and should form the subject of further negotiation during the resumed session" (U.N. Doc. A/Conf.62/WP.10/Rev.1 (1979) at 19), further consideration of the article now seems unlikely. See generally Van Dyke & Brooks, *Uninhabited Islands and the Ocean's Resources: The Clipperton Island Case* to be published in *STATE PRACTICES IN ZONES OF SPECIAL JURISDICTION* (T. Clingan ed. 1982).

¹⁶⁰ The latest version of the Draft Convention, *supra* note 1, art. 60(8) states, "Artificial islands, installations and structures do not possess the status of islands. They have no territorial sea of their own, and their presence does not affect the delimitation of the territorial sea, the exclusive economic zone or the continental shelf."

¹⁶¹ *Id.* art. 13:

1. A low-tide elevation is a naturally formed area of land which is surrounded by and above water at low-tide but submerged at high-tide. Where a low-tide elevation is situated wholly or partly at a distance not exceeding the breadth of the territorial sea from the mainland or an island, the low-water line on that elevation may be used as the baseline for measuring the breadth of the territorial sea.

2. Where a low-tide elevation is wholly situated at a distance exceeding the breadth of the territorial sea from the mainland or an island, it has no territorial sea of its own.

Article 13 is identical to article 11 of the 1958 Convention on the Territorial Sea and Contiguous Zone, *supra* note 9.

have some effect on the manner in which the economic zones of Fiji and New Zealand are drawn. This situation has not yet been resolved, but, again, we hope it will be settled in a Pacific way. Under the ICNT [Informal Composite Negotiating Text of the Law of the Sea Conference], as you know, a drying reef (that is a low-tide elevation) cannot generate a territorial sea or an EEZ [exclusive economic zone], if it is wholly situated more distant than the breadth of the territorial sea from the adjoining or adjacent territory.¹⁵²

This statement not only indicates that Fiji may recognize some type of Tongan jurisdiction over waters around the Reefs, but also that Fiji is willing to resolve the matter only through direct negotiations with Tonga and New Zealand.

It should be noted here that Teleki Tonga and Teleki Tokelau would fall within an exclusive economic zone generated by the island of Ata if Tonga were to claim such zones around its islands. Ata's zone would extend thirty miles beyond the Reefs. Article 121 of the Draft Convention states that all islands have exclusive economic zones except "[r]ocks which cannot sustain human habitation or economic life of their own."¹⁵³ Under this definition, Ata would probably be deemed as having the capacity to generate an exclusive economic zone¹⁵⁴ since it is clearly above water at high tide and apparently has been inhabited in the past, even though it is presently uninhabited.¹⁵⁵ Although jurisdiction in such a zone is not as great as in a territorial sea, it would sanction the establishment and use of artificial islands¹⁵⁶ such as Teleki Tonga and Teleki Tokelau.

3. *Are Teleki Tonga and Teleki Tokelau Entitled to Safety Zones?*

If the structures built upon the Minerva Reefs do not qualify as islands, Tonga could assert a claim to a form of territorial jurisdiction to the waters surrounding the Reefs by claiming a safety zone. At the 1952 meetings of the International Law Commission, Francois examined prior statements of international scholars and draft provisions concerning continental shelves and concluded that the concept of safety zones could ap-

¹⁵² Kotobalavu, *supra* note 89, at 316-17. The Informal Composite Negotiating Text was an earlier version of the Draft Convention, *supra* note 1; no substantive changes have been made in the articles under discussion.

The reference to a solution "in a Pacific way" is central to Fiji's foreign relations. In 1974, the Foreign Minister stated, "In its foreign policy, Government has accorded the highest priority to the development of the closest possible relationships with its South Pacific neighbours and to the extension of practical co-operation to all matters of common interest . . ." FIJI PARLIAMENTARY REPORT ON FOREIGN AFFAIRS, *supra* note 48, at 1.

¹⁵³ Draft Convention, *supra* note 1, art. 121.

¹⁵⁴ See generally Van Dyke & Brooks, *supra* note 159.

¹⁵⁵ PAC. ISLANDS Y.B., *supra* note 24, at 421.

¹⁵⁶ Draft Convention, *supra* note 1, art. 56(1)(b)(i).

ply to lighthouses placed on low tide elevations.¹⁶⁷ These safety zones would extend for "reasonable distances" so measures necessary for their protection could be taken.¹⁶⁸

Besides the question of whether artificial islands should be entitled to territorial seas,¹⁶⁹ the International Law Commission also debated the question of whether it is permissible for a nation to construct artificial islands in areas beyond its territorial sea. The consensus among members in 1954 appeared to be that such a construction would be contrary to international law.¹⁷⁰

Francois argued that lighthouses and other technical installations should be considered special cases. He noted that lighthouses on an area of land permanently above the high water mark would present no difficulties because the land would of itself be an island and have its own territorial sea.¹⁷¹ He also explained the converse situation; lighthouses built on an area of land above water only at low tide would not be entitled to a territorial sea.¹⁷² But he also argued that technical installations should be entitled to at least a safety zone because of their great vulnerability.¹⁷³ These proposals were debated but no resolution was reached.

Because Tonga has not yet declared exclusive economic zones around its islands, the waters around the Minerva Reefs could also be considered to be "high seas."¹⁷⁴ It may therefore be necessary to consider whether the construction of Teleki Tonga and Teleki Tokelau on the Reefs constitutes a permitted use of the high seas. Although the 1958 Convention on

¹⁶⁷ Francois, *supra* note 144, at 3. Francois relied on draft articles on the continental shelf and related subjects. See Report of the International Law Commission to the General Assembly, 6 U.N. GAOR Supp. (No. 9) at 19, U.N. Doc. A/1858 (1951), reprinted in [1951] 2 Y.B. INT'L L. COMM'N 123, U.N. Doc. A/CN.4/Ser.A/1951/Add.1. The draft articles referred to installations constructed for the exploration and exploitation of the continental shelf, and Francois argued the same regime should govern lighthouses.

Francois summarized the views of scholars of international law as follows:

Sir Charles Russell, in his arguments during the Behring Sea Arbitration, claimed that a lighthouse built upon a rock or upon piles driven into a bed of a sea "becomes as far as that lighthouse is concerned, part of the territory of the nation which has erected it, and has incident to all the rights which belong to the protection of the territory." Westlake would limit this statement to a claim to immunity from violation and injury, together with exclusive authority and jurisdiction of the territorial State.

It would be difficult to admit that a mere rock and building, incapable of being so armed as really to control the neighboring sea, could be made the source of a presumed occupation of it, converting a large tract into territorial waters.

Id.

¹⁶⁸ *Id.*

¹⁶⁹ See section II-C-2-b *supra*.

¹⁷⁰ Summary Records, *supra* note 145, at 91-93.

¹⁷¹ *Id.* at 91.

¹⁷² *Id.*

¹⁷³ *Id.*

¹⁷⁴ See Convention on the High Seas, Apr. 29, 1958, 13 U.S.T. 2312, T.I.A.S. No. 5200, 450 U.N.T.S. 82, art. 2.

the High Seas did not specifically decide the issue, article 2 provides that "[t]he high seas being open to all nations, no State may validly purport to subject any part of them to its sovereignty."¹⁷⁵

International law does permit some *uses* of the high seas even though they are permanent and exclusive in nature. For instance, fishing conducted by means of equipment embedded in the floor of the sea, lighthouses built on submerged rocks and lightships are generally permitted.¹⁷⁶ Lighthouses and lightships are considered permissible because they serve important international community interests such as safety of shipping, navigational aid and meteorological observation. These and any other uses must be exercised, however, "with reasonable regard to the interests of the other States in their exercise of the freedom of the high seas."¹⁷⁷

Tonga may argue persuasively that the refuge stations constructed on Teleki Tonga and Teleki Tokelau¹⁷⁸ serve these important international community interests. Tonga's assertion of jurisdiction may also, however, be viewed as an exercise of *sovereignty*, which is quite different from a *use*.

Returning to the issue of whether a safety zone can be declared, under emerging law, the Draft Convention permits the construction of artificial islands, installations and other structures on the high seas¹⁷⁹ and in the exclusive economic zone.¹⁸⁰ Article 60, on the status of artificial islands in the exclusive economic zone, authorizes the establishment of a safety zone "to ensure the safety both of navigation and of artificial islands, installations and structures."¹⁸¹ This article also specifically states, however, that artificial islands "have no territorial sea of their own and their presence does not affect the delimitation of the territorial sea [or] the exclusive economic zones. . . ."¹⁸²

Applying these principles to the Minerva Reef situation, Tonga may be able to claim a safety zone around these formations by arguing that the refuge stations aid navigational safety. If the claim is recognized, the Draft Convention grants the coastal state the right to determine the breadth of safety zones but sets a maximum distance of 500 meters.¹⁸³

¹⁷⁵ *Id.*

¹⁷⁶ Johnson, *supra* note 139, at 212-14; A. Soons, *supra* note 139, at 8.

¹⁷⁷ Convention on the High Seas, *supra* note 174, art. 2.

¹⁷⁸ See text accompanying note 127 *supra*.

¹⁷⁹ Draft Convention, *supra* note 1, art. 87(1)(d).

¹⁸⁰ *Id.* arts. 56(1)(b)(i), 60.

¹⁸¹ *Id.* art. 60(4).

¹⁸² *Id.* art. 60(8).

¹⁸³ *Id.* art. 60(5):

The breadth of the safety zones shall be determined by the coastal State, taking into account applicable international standards. Such zones shall be designed to ensure that they are reasonably related to the nature and function of the artificial islands, installations or structures, and shall not exceed a distance of 500 metres around them, measured from each point of their outer edge, except as authorized

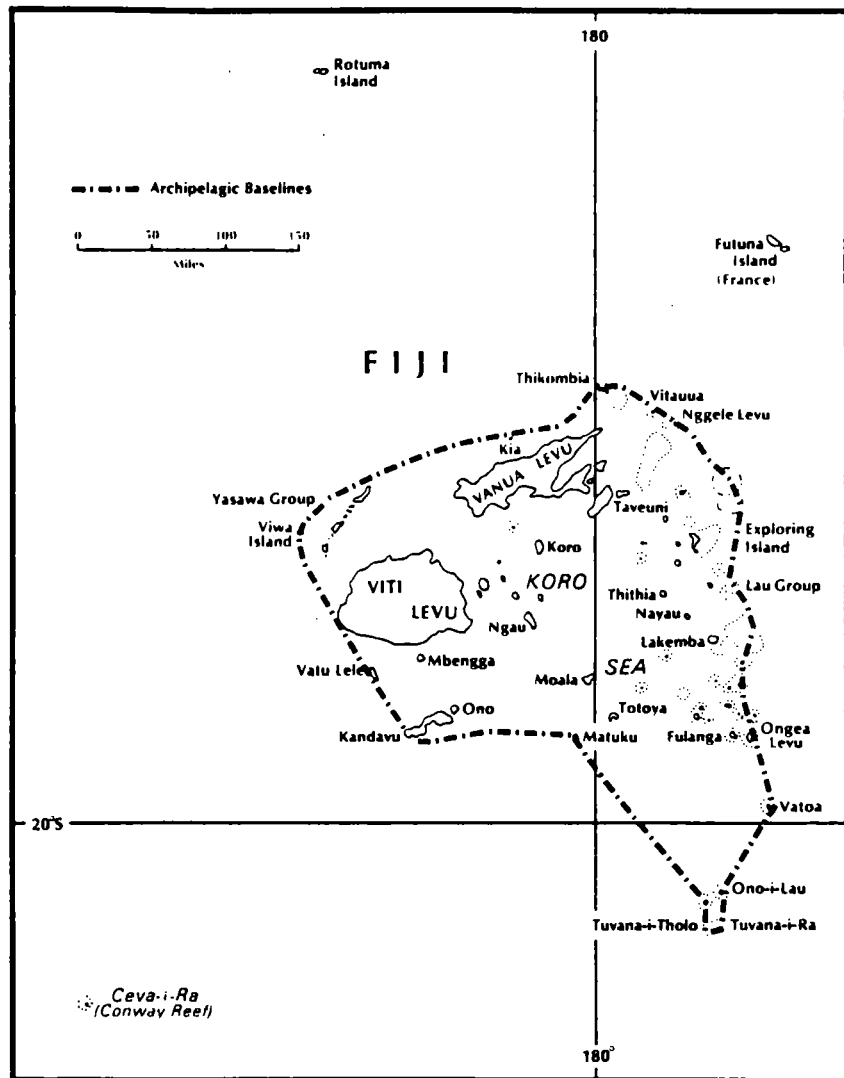


FIGURE 5: FIJI

Claims to broader jurisdiction over these waters must be based on arguments that Teleki Tonga and Teleki Tokelau are not artificial islands but are real islands, that artificial islands should have rights to territorial seas, that Tonga has historic title to these waters or that these waters are included in the exclusive economic zone of Ata, 170 miles away.

III. FIJI

A. An Archipelagic State

The independent nation of Fiji has about 320 islands, which together contain approximately 18,272 square kilometers of land¹⁸⁴ (see Figure 5). About 150 of these islands are inhabited.¹⁸⁵ On December 15, 1977, Fiji passed legislation declaring itself an archipelago and claiming a 200-mile exclusive economic zone.¹⁸⁶

Fiji's main island group, including the islands of Vanua Levu and Viti Levu, lie between 15° and 22° south latitude, and 177° west and 175° east longitude.¹⁸⁷ In the 1977 Act, Fiji enclosed these main islands within archipelagic baselines¹⁸⁸ (see Figure 5). The longest straight baseline segment measures 120 nautical miles between Vuata Ono Reef and Matuku Islands and fewer than three percent of the segments measure as much as 100 nautical miles; the ratio of water to land within the archipelagic baseline is 4.2:1.¹⁸⁹

The northern Fijian island group, including Rotuma Island, was omitted from the 1977 archipelagic baselines.¹⁹⁰ Rotuma Island lies about 240 miles north-northwest of the main Fijian group, on 12°27' south latitude and 177°7' east longitude.¹⁹¹ Rotuma is thirteen kilometers long and four

by generally accepted international standards or as recommended by the competent international organization.

¹⁸⁴ PAC. ISLANDS Y.B., *supra* note 24, at 89, 97. On October 10, 1874, Fiji was ceded by its chiefs to Great Britain, and Fiji became a crown colony. On October 10, 1970, Fiji became independent. HANDBOOK OF FIJI 6 (J. Tudor ed. 1972).

¹⁸⁵ PAC. ISLANDS Y.B., *supra* note 24, at 97. Many of the uninhabited islands are visited regularly by Fijians who go to fish or gather coconuts. *Id.*

¹⁸⁶ Fiji Marine Spaces Act of 1977, *supra* note 136, §§ 2.-(1), 6.-(1).

¹⁸⁷ HANDBOOK OF FIJI, *supra* note 184, at 7.

¹⁸⁸ See Fiji Marine Spaces Act of 1977, *supra* note 136.

¹⁸⁹ Fiji has therefore met the requirements of an archipelagic state under the Draft Convention. J. Prescott, International Maritime Boundaries in the Southwest Pacific Ocean 8 (paper presented to the 15th Annual Meeting of the Law of the Sea Institute, Honolulu, Hawaii, Oct. 1981; publication forthcoming in Proceedings of the Conference).

Fiji has also drawn baselines enclosing bays on a number of islands, thereby creating a rather large area of internal waters within archipelagic baselines. *Id.* at 9. Fiji's declaration claims an exclusive economic zone and a territorial sea from the archipelagic baselines. Fiji Marine Spaces Act of 1977, *supra* note 136, § 4.

¹⁹⁰ Fiji Marine Spaces Act of 1977, *supra* note 136, § 2.-(1).

¹⁹¹ HANDBOOK OF FIJI, *supra* note 184, at 7.

kilometers wide and is surrounded by eight small islands.¹⁸² The population in the Rotuma group in 1976 was approximately 7,000.¹⁸³ Its people are Polynesian, as distinct from the native Fijians, who are Melanesian.¹⁸⁴ Small interisland vessels from Suva arrive every few months to bring passengers and merchandise and to pick up copra.¹⁸⁵

In 1874, the King and chiefs of Fiji transferred sovereignty over most of the current territory of Fiji to Queen Victoria.¹⁸⁶ Rotuma and its outlying islands were not ceded until 1879.¹⁸⁷ On October 6, 1978, Fiji enclosed the Rotuma group within its own separate archipelagic baselines and called it the Rotuma archipelago.¹⁸⁸ Fiji's archipelagic waters thus are interrupted by a great expanse of sea. This latter expanse will be governed by the regime of the exclusive economic zone.¹⁸⁹ The southern island of Ceva-i-Ra (also called Conway Reef) was excluded from the declared archipelagic baselines,¹⁹⁰ apparently in order to conform to the definition of an archipelago under the Draft Convention¹⁹¹ (see Figure 5).

The nation of Fiji is unusual because it is comprised of this variety of different island groupings. Nevertheless, Fiji still meets the requirements of an "archipelagic State" under article 46(a) of the Draft Convention, which defines an "archipelagic State" as "a State constituted wholly by one or more archipelagoes and may include other islands."¹⁹²

¹⁸² PAC. ISLANDS Y.B., *supra* note 24, at 123.

¹⁸³ *Id.*

¹⁸⁴ *Id.* at 124.

¹⁸⁵ HANDBOOK OF FIJI, *supra* note 184, at 7; PAC. ISLANDS Y.B., *supra* note 24, at 123-24.

¹⁸⁶ O'Connell, *supra* note 28, at 48. "[T]he Deed of Cession included the whole island of Rotuma, and over the inhabitants thereof, and of and over all ports, harbours, roadsteads, streams and waters, and all foreshores and all islets and reefs adjacent thereto." *Id.* quoting 66 BRITISH AND FOREIGN STATE PAPERS 953.

¹⁸⁷ The Letters Patent annexing Rotuma and its "dependencies" includes "all islands, rocks, reefs and fisheries lying between the 12° and 15° of south latitude and between the 175° and 180° of east longitude . . ." *Id.* quoting 71 BRITISH AND FOREIGN STATE PAPERS 130. Although this declaration defines the territory in terms of geographic coordinates, it does not include the "foreshores and waters" as did the Tongan declaration.

¹⁸⁸ An Act to Amend the Marine Spaces Act of 1977, Act No. 15 of 1978, Oct. 5, 1978 (Fiji) 2-4.

¹⁸⁹ The two rectangular jurisdictions created by the British were contiguous and, if Fiji were to claim all the marine waters therein, it would have a more extended maritime territory and a unified country. The United Kingdom, however, has subsequently refuted any suggestion that the waters were to be included in those points. The British did the same for the Cook Islands, Australia and New Zealand which were also defined by reference to coordinates. The government asserted that the term "waters" was intended to cover "waters appurtenant to the several islands and no more." O'Connell, *supra* note 28, at 49, quoting Anglo-Norwegian Fisheries Case, [1951] 2 I.C.J. Pleadings 523-31.

¹⁹⁰ See text accompanying notes 186 & 198 *supra*.

¹⁹¹ Draft Convention, *supra* note 1, art. 47.

¹⁹² *Id.* art. 46(a).

B. Fiji's Claim to Ceva-i-Ra (Conway Reef)

As noted above, Fiji's leading chiefs ceded sovereignty over their islands in 1874 and 1879.³⁰³ The 1874 Treaty of Cession, like the Tongan Royal Proclamation of 1887, described the colony in terms of geographic coordinates; that is, the area lying between 15° and 22° south latitude, and 175° west and 175° east longitude.³⁰⁴ In January 1965, the boundary of the eastern meridian was extended by one degree to 174° east longitude in order to include Ceva-i-Ra.³⁰⁵ The apparent justification for the annexation of Ceva-i-Ra was to provide the benefits of maritime law to any vessels shipwrecked or otherwise damaged in that isolated corner of the Pacific.³⁰⁶ The annexation took place before the negotiations of UNCLOS III and before the concept of the 200-mile exclusive economic zone had gained substantial acceptance.

Because Ceva-i-Ra is located approximately 300 miles southwest of Kadavu, the nearest Fijian island,³⁰⁷ it obviously could not be included in the archipelagic baselines.³⁰⁸ Likewise, it does not fall within the exclusive economic zone of any of Fiji's islands.

Fiji's claim to Ceva-i-Ra has many similarities to Tonga's claim to Teleki Tonga and Teleki Tokelau.³⁰⁹ Both are relatively recent claims to geological formations lying outside the claimed waters of the countries' main islands. Both purport to be related to the needs of ships that meet disaster at sea. Both potentially provide the claiming nation with an enormously enlarged exclusive economic zone.

Because Ceva-i-Ra (Conway Reef) is a sand cay of six and one-half acres,³¹⁰ is naturally formed and is above water at high tide, it apparently qualifies as an island under the 1958 Conventions and the Draft Convention.³¹¹ It differs significantly from Teleki Tonga and Teleki Tokelau (the Minerva Reefs) in that it is *naturally* above water at high tide.³¹² As an island, it would be entitled to a territorial sea, contiguous zone and exclusive economic zone.³¹³ If Fiji claims an exclusive economic zone for Ceva-i-Ra, however, it will overlap with the exclusive economic zone of either New Caledonia or Vanuatu, depending on which succeeds in establishing

³⁰³ See notes 196-97 *supra* and accompanying text.

³⁰⁴ O'Connell, *supra* note 28, at 48, quoting Anglo-Norwegian Fisheries Case, [1951] 1 I.C.J. Pleadings 490-91.

³⁰⁵ HANDBOOK OF FIJI, *supra* note 184, at 7.

³⁰⁶ *Id.* at 37.

³⁰⁷ *Id.*

³⁰⁸ Draft Convention, *supra* note 1, art. 47(2).

³⁰⁹ See section II-C *supra*.

³¹⁰ HANDBOOK OF FIJI, *supra* note 184, at 37.

³¹¹ See Convention on the Territorial Sea and Contiguous Zone, *supra* note 9, art. 10; Draft Convention, *supra* note 1, art. 121.

³¹² See section II-C-2 *supra*.

³¹³ See Draft Convention, *supra* note 1, art. 121; see generally Van Dyke & Brooks, *supra* note 159.

sovereignty over Matthew and Hunter Islands²¹⁴ (see Figure 1).

The exclusive economic zones generated by Matthew and Hunter Islands amount to 53,800 square nautical miles.²¹⁵ Matthew Island is about 450 kilometers due east of the southern tip of the New Caledonian mainland, 400 kilometers from Kunie, or the Isle of Pines (famous as a French penal colony in the 19th and 20th centuries), and 350 kilometers southeast of Anatom (Aneityum) in Vanuatu. It is an uninhabited island, 500 meters in diameter and up to 177 meters high.²¹⁶ Hunter Island is even further east from the tip of the New Caledonian mainland and Anatom (Aneityum). It is apparently also uninhabited²¹⁷ (see Figure 1). These islands could not be included in the archipelagic baseline system of either New Caledonia or Vanuatu because they are more than 125 nautical miles from the main island groups.²¹⁸

C. Approaches to Delimitation

In any delimitation involving an overlap created by Ceva-i-Ra (Conway Reef), Fiji will find difficulty in making any equitable arguments. Although Ceva-i-Ra technically qualifies as an island, it appears to be uninhabited. Thus no arguments can be raised on the need for ocean space for economic or political purposes.²¹⁹ Because Ceva-i-Ra was only recently claimed,²²⁰ Fiji cannot argue historic title to justify the disproportionate claim which would result. The island also does not seem to be linked to Fiji by any geographic formations and no other special circumstances seem to exist to justify Fiji's claim. Thus, Ceva-i-Ra cannot be said to be linked to any of the Fijian islands except by proclamation. In all directions, it creates a totally new exclusive economic zone for Fiji.

Conversely, although both Matthew and Hunter Islands are also uninhabited, they are at least linked to Vanuatu geographically—they are on the same submarine ridge as the other islands of Vanuatu.²²¹ Their geographic location also makes them more accessible to the people of New Caledonia and Vanuatu for fishing and gathering of other resources than

²¹⁴ Prescott reports that 20th century maps have shown these islands as belonging to either Vanuatu (formerly New Hebrides) or New Caledonia. It is unclear as to whether or not France has made a formal claim to these islands. Prescott also reports that officials in Vanuatu may be considering a counter claim. J. Prescott, *supra* note 189, at 23.

²¹⁵ *Id.*

²¹⁶ PAC. ISLANDS Y.B., *supra* note 24, at 299. According to this publication, New Caledonia claims Matthew Island.

²¹⁷ It appears that New Caledonia is presumed to have sovereignty over Hunter Island also. *Id.* at 533.

²¹⁸ See J. Prescott, *supra* note 189, at 11.

²¹⁹ See generally Van Dyke & Brooks, *supra* note 159.

²²⁰ See text accompanying note 205 *supra*.

²²¹ See J. Prescott, *supra* note 189, at 23. However, Hunter and Matthew Islands are separated from the islands of New Caledonia by the deep New Hebrides Trench. *Id.*

Ceva-i-Ra is to the Fijians. In addition, Hunter and Matthew Islands would grant a more restrictive claim than Ceva-i-Ra. The northerly and westerly expanses of the exclusive economic zones for Hunter and Matthew Islands fall to a great extent in the exclusive economic zones of either Anatom (Aneityum), the main island of New Caledonia, or Kunie.

Fiji has indicated a willingness to negotiate to resolve potential disputes with its neighbors over the delimitation of its exclusive economic zone.²²² According to Fijian law, the Minister of Foreign Affairs is empowered to establish the outer limits of the country's exclusive economic zone "for the purpose of implementing any international agreement or the award of any international body, or otherwise . . ."²²³ If no such line is drawn, a median line is assumed for delimitation "which is equidistant from the nearest points of the baselines from which the breadth of the territorial seas of Fiji and of any opposite or adjacent State or territory are measured."²²⁴

Because all these islands are remote and uninhabited, their claim to maritime space is weak. Assuming that they are all entitled to exclusive economic zones, application of the equidistant principle in a delimitation between Ceva-i-Ra and Matthew and Hunter Islands could arguably grant Ceva-i-Ra too much recognition. Ceva-i-Ra will already give Fiji extensive ocean space in other directions of its exclusive economic zone.

In order to curb inequities in delimitation negotiations, recent agreements and arbitral decisions have tended to reduce the impact of islands where their geographic location produces disproportionate results. For example, some islands have been given only half-effect,²²⁵ while others have been excluded from baselines and have only been given effect in establishing territorial seas.²²⁶

In other instances, countries have proceeded with delimitation without considering an island's effect. Greenland and Canada discounted Hans Island in their boundary delimitation because the sovereignty of the island was in dispute; no boundary was therefore drawn in that vicinity.²²⁷ Another example is Machias Seal Island in the Gulf of Maine. When the United States and Canada agreed to submit the delimitation of the maritime boundary in the Gulf of Maine area to the International Court of Justice, they requested the court to ignore that island because it is claimed by both countries.²²⁸

²²² Fiji Marine Spaces Act of 1977, *supra* note 186, § 6.

²²³ *Id.* § 6(2).

²²⁴ *Id.* § 6(3)-(4).

²²⁵ See text discussing the Scilly Isles accompanying notes 257-60 *infra*.

²²⁶ See text discussing the Channel Islands accompanying notes 253-56 *infra*.

²²⁷ CANADA-GREENLAND CONTINENTAL SHELF BOUNDARY, *supra* note 16, at 8.

²²⁸ Special Agreement between the United States and Canada to Submit to the International Court of Justice the Delimitation of the Maritime Boundary in the Gulf of Maine area, art. II(1), attachment to U.S.-Canada Gulf of Maine Treaty, *supra* note 21; see 127 CONG. REC. S4052-54 (daily ed. Apr. 29, 1981) (remarks of Sen. William Cohen of Maine).

One important point for Vanuatu and New Caledonia to consider in their negotiations is that a dispute between them concerning sovereignty over Hunter or Matthew Islands could result in a loss of maritime space for either country, depending on who is ultimately determined to be the sovereign. These countries might consider following the solution reached for Hans and Machias Seal Islands where the disputing countries agreed to disregard the islands altogether in boundary delimitation.²²⁹ In the South Pacific arena, because the disputed islands create a potential conflict with a third country (Fiji), Vanuatu and New Caledonia could protect any future interests to an exclusive economic zone around these islands by creating a joint development zone.²³⁰ Alternatively, New Caledonia and Vanuatu might consider protecting their mutual rights by agreeing to divide the exclusive economic zone gained by these islands according to some proportionate share.

Assuming Ceva-i-Ra is permitted the full sweep of its exclusive economic zone in the north, east and south, the resulting appropriation of ocean may be sufficiently great to convince Fiji to negotiate an agreement with New Caledonia and/or Vanuatu giving less than full effect to Ceva-i-Ra. In exchange, New Caledonia and/or Vanuatu could agree to recognize Fiji's claim to Ceva-i-Ra and a corresponding territorial sea and exclusive economic zone.

IV. DELIMITING THE BOUNDARIES

A. *The Competing Claims*

1. *Tonga*

The task of delimiting Tonga's boundaries is somewhat unique because that country's historic claim does not refer to specific base points on land from which baselines may be drawn. Instead, its claim is staked out according to longitudinal and latitudinal coordinates.²³¹ The territorial limits drawn from these coordinates are approximately forty-eight to 152 miles in breadth from the nearest point of land within the area. Tonga's claim over Teleki Tonga and Teleki Tokelau (the Minerva Reefs)²³² presents additional delimitation problems.

Machias Seal Island is 500 feet wide and about a third of a mile long. It has been declared a bird sanctuary and has a lighthouse. Gull or North Rock is 12 feet high. Grandall, *Remember Machias Seal Island?*, 64 ATLANTIC ADVOCATE 47-53 (1974).

²²⁹ 127 CONG. REC. S4052-54 (daily ed. Apr. 29, 1981) (remarks of Sen. William Cohen of Maine).

²³⁰ Cf. JAPAN-REPUBLIC OF KOREA JOINT DEVELOPMENT ZONE, *supra* note 7. See also note 270 *infra* and accompanying text.

²³¹ See note 26 *supra* and accompanying text.

²³² See section II-C *supra*.

2. *Fiji*

Fiji has declared itself an archipelagic regime and has claimed a 200-mile exclusive economic zone.³³³ Although Fiji has yet to issue formal declarations for its 200-mile zone, the government declared in 1980 that it intends to issue such a formal statement in the near future.³³⁴ When Fiji's formal declaration is issued, an interface of 4,860 square nautical miles with Tonga's declared territorial limits will result³³⁵ (see Figure 1). An even greater overlap would occur if Tonga were to declare the limits of its historical claim as baselines from which further claims are measured.³³⁶

3. *New Zealand (The Kermadec Islands)*

The Kermadec Islands, a New Zealand dependency, are a volcanic group whose principal islands are Raoul (or Sunday), Macauley, the Herald Isles, Curtis and L'Esperance.³³⁷ Their total land area is only thirteen square miles.³³⁸ The islands are an important nature preserve and the sole inhabitants are a handful of New Zealand personnel who live on Raoul Island.³³⁹

On September 26, 1977, New Zealand declared a 200-mile exclusive economic zone around its islands, including the outlying ones.³⁴⁰ Presumably, New Zealand intends this claim to include the Kermadecs for they fit the definition of "island" under the act, and also are clearly not "low-tide elevation[s]."³⁴¹

The Kermadecs are located approximately 360 miles south of the Mi-

³³³ See note 136 *supra*.

³³⁴ 51 PAC. ISLANDS MONTHLY 9 (July 1980).

³³⁵ See J. Prescott, *supra* note 189, at 20.

³³⁶ This situation would be possible if all the waters within the historic claim were considered to be territorial waters. *But see* text accompanying notes 93-94 *supra*; Draft Convention, *supra* note 1, arts. 3, 57.

³³⁷ PAC. ISLANDS Y.B., *supra* note 24, at 233. The Kermadec Islands are annexed islands and all New Zealand laws extend to them. They have no separate administrative structure but rather are administered by the New Zealand Survey Department. N.Z. OFFICIAL Y.B. 1134 (1958).

The Kermadec Islands are north of New Zealand's two main islands, North Island and South Island, and are located between 29° and 32° south latitude, and 177° and 180° west longitude. *Id.* at 1. By proclamation of July 21, 1887, the Kermadecs were declared to be part of the territory of New Zealand, which was then a British colony. British sovereignty over New Zealand was proclaimed on January 30, 1840. *Id.* at 3.

³³⁸ N.Z. DEP'T OF INTERNAL AFFAIRS, INTRODUCTION TO NEW ZEALAND 166 (1945).

³³⁹ Meteorological and radio stations have been constructed on Raoul Island. PAC. ISLANDS Y.B., *supra* note 24, at 233. *Cf.* N.Z. DEP'T OF INTERNAL AFFAIRS, *supra* note 238, at 166; G. LINGE & R. FRAZIER, ATLAS OF NEW ZEALAND GEOGRAPHY 6 (1977).

³⁴⁰ Territorial Sea and Exclusive Economic Zone Act, 1977, Act No. 28, 1 Stat. N.Z. 192, 196, 198 [hereinafter cited as "New Zealand Territorial Sea and EEZ Act"]; *see also* Reserves Act, 1977, Act No. 66 § 100(1), 1 Stat. N.Z. 836.

³⁴¹ New Zealand Territorial Sea and EEZ Act, *supra* note 240, at 194 § 2(1).

nerva Reefs and 480 miles south of Ata, the closest inhabitable Tongan island (see comment to Figure 1). Even if Tonga were to declare a 200-mile exclusive economic zone with baselines at Ata, this zone would not overlap with New Zealand's zone around the Kermadecs. If, however, Tonga declares a 200-mile exclusive economic zone around Teleki Tonga and Teleki Tokelau, the islands built on the Minerva Reefs, this zone would overlap with New Zealand's claim by approximately 5,000 square nautical miles.³⁴³

New Zealand has suggested a solution to this problem within its Territorial Sea and Exclusive Economic Zone Act.³⁴³ The Act defines a "median line" between New Zealand and any other country as "a line every point of which is equidistant from the nearest points of the baseline of the territorial sea of New Zealand and the corresponding baseline of that other country."³⁴⁴ If New Zealand agrees to accept Teleki Tonga and Teleki Tokelau as a baseline point, then the difference might be allocated between the two countries by drawing such a median line. New Zealand might, however, be reluctant to consider the islands built on the Minervas to be an appropriate Tongan baseline point³⁴⁵ since it would lose a significant part of its claimed exclusive economic zone if it did.

B. Equitable Principles

The triumph of the doctrine of "equitable principles" over the cartographic approach of drawing equidistant lines has been described in the opening section.³⁴⁶ Under the adopted approach, boundary conflicts should be resolved through negotiations between (or among) affected states, taking all factors into consideration.

As a preliminary matter, because of the *North Sea Continental Shelf Cases*,³⁴⁷ Tonga may be able to negotiate from a strong position for the establishment of ocean boundaries based on its historic claim. The International Court of Justice in the *North Sea Cases*, although dealing there with disputes concerning the continental shelf, pointed out that delimitation involves the expression of preexisting rights.³⁴⁸ According to the court, rights to the areas to be delimited are already in existence, vested in the states-parties to the delimitation³⁴⁹ and the process of delimitation

³⁴³ See Section II-C *supra* for a discussion of Tonga's claim to Teleki Tonga and Teleki Tokelau.

³⁴⁴ New Zealand Territorial Sea and EEZ Act, *supra* note 240, at 192.

³⁴⁵ *Id.* at 195.

³⁴⁶ Prescott concludes that Tonga is not entitled to any maritime zones around the Minerva Reefs except a 500 meter safety zone. J. Prescott, *supra* note 189, at 21-22. See discussion in section II-C *supra*.

³⁴⁷ See notes 5-16 *supra* and accompanying text.

³⁴⁸ [1969] I.C.J. 4; see note 14 *supra*.

³⁴⁹ [1969] I.C.J. 4, 31.

³⁵⁰ *Id.* at 33: "[T]he coastal state has an original, natural, and exclusive (in short a vested)

is simply the expression of these prior rights.²⁸⁰ Because the equidistance/median line method of delimitation is merely a cartographical device, a generally convenient mode of expressing these preexisting rights,²⁸¹ that method cannot be as persuasive a mode of expression as a longstanding historic title claim.²⁸²

Keeping these pronouncements of the International Court in mind, some recent solutions to international boundary disputes will now be examined. These examples illustrate the types of approaches taken and solutions reached in cases that parallel the complexity of the situation in the South Pacific.

1. *The Anglo-French Continental Shelf Arbitration*

After several years of unsuccessful negotiations, the governments of France and the United Kingdom agreed to submit the issue of continental shelf delimitation between the two countries to a Court of Arbitration.²⁸³ France argued that the Channel Islands, under the jurisdiction of the United Kingdom but located close to the French coast, were a special circumstance which would have to be taken into account in order to achieve an equitable result.²⁸⁴ The court agreed and decided that the islands were a "special circumstance" and "a circumstance creative of inequity" within the meaning of article 6 of the 1958 Convention on the Continental Shelf.²⁸⁵ It then formulated a two-part solution. First, a median line was drawn in the English Channel without considering the Channel Islands as a point on the baseline. Second, the court created an "enclave" within the continental shelf for the Channel Islands to allow them a fisheries zone measured twelve miles seaward from the natural baseline.²⁸⁶

The other major area of disagreement in the Great Britain-France delimitation arbitration was in the Atlantic region. France argued that the westward British Scilly Isles, and the greater projection of the Cornish mainland beyond the French coastline constituted a special circumstance justifying departure from equidistance.²⁸⁷ The United Kingdom perceived no "special circumstances" and argued for the true equidistant line.²⁸⁸

right to the continental shelf off its shores. . . ."

²⁸⁰ *Id.* at 22: "Delimitation is a process which involves establishing the boundaries of an area already, in principle, appertaining to the coastal state and not the determination *de novo* of such an area."

²⁸¹ *Id.* at 23, 35.

²⁸² *Id.* at 35-36.

²⁸³ Anglo-French Arbitration, 18 I.L.M. 397, *supra* note 6.

²⁸⁴ *Id.* ¶¶ 6-8, 18 I.L.M. at 402-403.

²⁸⁵ Convention on the Continental Shelf, *supra* note 8; Anglo-French Arbitration, *supra* note 6, ¶¶ 196-97, 18 I.L.M. at 444.

²⁸⁶ Anglo-French Arbitration, *supra* note 6, ¶¶ 201-02, 18 I.L.M. at 444-45.

²⁸⁷ *Id.* ¶¶ 216-28, 18 I.L.M. at 448-49.

²⁸⁸ *Id.* ¶¶ 227-31, 18 I.L.M. at 450-51.

The court rejected both arguments and concentrated instead on the geography of the Celtic Sea shoreline. It found that the projection westward of the Scilly Isles, when "superadded" to the Cornish mainland, distorted the equidistant line and, as such, constituted a special circumstance.³⁰⁰ The court employed an unusual technique in delimitation, but one it thought produced an equitable result. The court modified the Scilly Isles to "half-effect" basepoints; no justification was given for their choice of that particular fraction. In any event, the court constructed one set of baselines and equidistance lines using the Ushant and the Scilly Isles and another set that ignored them. The triangle thereby created was divided in half to create the "half-effect" line.³⁰¹

This decision opens the door to several possible technical solutions to any boundary disputes between Fiji and Tonga (and for the two Samoas as discussed below³⁰¹). If an exclusive economic zone around Fiji's archipelagic baselines protrudes into Tonga's historic waters, the latter could formulate archipelagic baselines around the opposite group of islands solely for the purpose of delimitation. Alternatively, baselines around the separate islands of both Fiji and Tonga might result in an equitable delimitation. If an island or low-tide elevation were to give one country a "superadded" geographic claim, this effect could be reduced by some fractional proportion equitable to both sides.

The decision in the Anglo-French case is also important in that it underscores the significance of the choice of baselines. The principle of equidistance was not applicable until all the baselines were drawn. Most importantly, the court's methodology would allow Tonga to argue the relevance of its historic claim because before drawing any baselines, the court analyzed whether or not any special circumstances existed.

2. *Treaty Between Australia and Papua New Guinea*

Should the drawing of a median line between Tonga and Fiji or between Tonga and New Zealand be unacceptable to any of the parties, the nations might consider the creation of a joint resource zone within the area beyond their respective territorial waters. Recent agreements be-

³⁰⁰ *Id.* ¶ 244, 18 I.L.M. at 454.

³⁰¹ *Id.* ¶¶ 251-53, 18 I.L.M. at 455-56. In other geographic locations where application of equidistance would lead to a disproportionate result, similar solutions have been negotiated. Italy and Yugoslavia had a number of very small islands lying between them in the Adriatic Sea which were given partial effect in delimitation. Ely, *Seabed Boundaries Between Coastal States: The Effect to be Given Islets as "Special Circumstances,"* 6 INT'L LAW. 219, 227-28 (1972).

In the delimitation between Iran and Saudi Arabia, the island of Kharg was given half-effect by constructing the equidistance line halfway between the area formed by a line equidistant from the Saudi Arabian mainland and Kharg and a line equidistant from both the mainland of Iran and Saudi Arabia giving no effect to Kharg. *Id.* at 229.

³⁰² See text accompanying notes 325-27 *infra*.

tween Japan and Korea,²⁶² Abu Dhabi and Qatar,²⁶³ and Papua New Guinea and Australia²⁶⁴ provide illustrations for delimitation of such a zone. Of these, the treaty between Papua New Guinea and Australia will be examined in detail.

On December 18, 1978, the two countries signed a treaty which, among other things, set up maritime boundaries between them.²⁶⁵ This treaty highlights the importance of mutual agreement as the primary mode of resolving boundary issues between neighboring nations.

Part 4, article 10 of the Treaty establishes a protected or joint resource zone.²⁶⁶ In conjunction with Annexes 6, 7 and 9 to the treaty, article 10 establishes the boundaries of the area, including the land, sea, airspace, seabed and subsoil of the Torres Straits area. Article 10(3) sets out the principal purpose of the parties in establishing the protected zone, which is "to acknowledge and protect the traditional way of life and livelihood of the traditional inhabitants including their traditional fishing and free movement."²⁶⁷

"Traditional activities" are defined in Part 1, article (k) as "traditional activities performed by the traditional inhabitants in accordance with local tradition," including "(ii) activities on water, including traditional fishing."²⁶⁸ "Traditional fishing" is defined in article 1(1) as "the taking, by traditional inhabitants for their own use or their dependents' consumption or for use in the course of other traditional activities, of the living resources of the sea, seabed, estuaries and coastal tidal areas."²⁶⁹ In this manner, Australia and Papua New Guinea used the unique approach of a joint resource zone to protect the traditional lifestyles of the inhabitants of the Torres Straits.

This model may be a useful one for any of the potential South Pacific boundary disputes raised in this paper. A joint jurisdiction or use zone could be established with the Australia-Papua New Guinea protected zone as a model.²⁷⁰ Traditional fishing activities of the peoples of Tonga

²⁶² See note 7 *supra*.

²⁶³ Ely, *supra* note 260, at 229; Karl, *Islands in the Delimitation of the Continental Shelf*, 71 AM. J. INT'L L. 642, 665 (1977). The area is to be developed by Abu Dhabi concessionaries, but all royalties, profits and government fees are to be divided equally.

²⁶⁴ See note 265 *infra*.

²⁶⁵ Treaty Concerning Sovereignty and Maritime Boundaries, Dec. 18, 1974, Australia-Papua New Guinea (publication of the Dep't of Foreign Affairs, Canberra) [hereinafter cited as "Treaty between Australia and Papua New Guinea"].

²⁶⁶ *Id.* at 15.

²⁶⁷ *Id.* at 16.

²⁶⁸ *Id.* at 3.

²⁶⁹ *Id.* at 4.

²⁷⁰ A joint use zone has recently been established in the Gulf of Maine. Although a fisheries treaty had been negotiated between the United States and Canada covering the conservation, management and utilization of fish stocks in the area, the detailed provisions setting entitlement shares for various fish was unacceptable to the New England fishing industry. Agreement Between the United States of America and Canada on East Coast Fish-

and Fiji could thus be protected and maintained.

The Australia-Papua New Guinea Treaty also addresses issues concerning exploitation of seabed resources. First, it deals with the problem of upholding the rights of a permittee who had been granted a petroleum prospecting license by Australia over an area that subsequently became a part of the protected zone. The agreement requires Papua New Guinea to grant the permittee a license under its own laws.²⁷¹ Second, the signatories have provided for the equitable sharing of any mineral resources extending across any line defining the seabed jurisdiction of the parties.²⁷²

Tonga has already granted one petroleum exploration license in south Tonga territory.²⁷³ The Australia-Papua New Guinea Treaty may thus provide a model for negotiation in the event any of the territory to which the license extends is in issue in delimitation. The treaty also presents one approach to the problem of how to exploit seabed deposits which extend beyond Tonga's boundaries. The Australia-Papua New Guinea model, however, is not the only possible mode of resolving the latter problem. Tonga and her neighbors could also consider forming a joint exploration and exploitation agreement in the event any deposits situated across common boundaries are discovered.²⁷⁴

3. Dependency on Fish Resources

Any delimitation in the South Pacific region will need to consider the dependency of the negotiating countries on fish resources within the disputed areas. Fiji, for example, in partnership with the Japanese, is the largest exporter of fish products in the South Pacific.²⁷⁵ Although it ap-

ery Resources (Mar. 29, 1979). This agreement was subsequently withdrawn from the Senate. Letter from Senator William Cohen of Maine to Sherry Broder (Sept. 22, 1981). However, the treaty to submit the boundary dispute over the Gulf of Mexico to the International Court of Justice has been ratified. See U.S.-Canada Gulf of Maine Treaty, *supra* note 21. In the interim, President Reagan agreed to permit Canadian fishermen to fish the entire disputed zone until the final court decision is issued, see CONG. REC. S4053 (daily ed. Apr. 29, 1981) (remarks of Sen. William Cohen of Maine), and to follow article IX of the 1977 agreement between the U.S. and Canada which states: (1) Neither the United States nor Canada will enforce the fishing laws against the nationals of the other country, (2) neither the U.S. nor Canada will permit a third country to take what either country might consider "excess" fish in the disputed area, and (3) both countries have the authority to enforce their laws against any third country in the disputed area. *Id.*; letter from Senator William Cohen of Maine to Sherry Broder (Sept. 22, 1981).

²⁷¹ Treaty between Australia and Papua New Guinea, *supra* note 265, art. 5.

²⁷² *Id.* art. 6. The problem with such a "unity of deposits" is that one country would be able to exploit all of the available amount of that resource from its side of a boundary line. See discussion of this problem in *N. Sea Continental Shelf Cases*, [1969] I.C.J. 4, 51-52.

²⁷³ 1975-1980 TONGA DEVELOPMENT PLAN, *supra* note 19.

²⁷⁴ See, e.g., JAPAN-REPUBLIC OF KOREA JOINT DEVELOPMENT ZONE, *supra* note 7.

²⁷⁵ PAC. ISLANDS Y.B., *supra* note 24, at 101. "In 1977, Fiji exported 2,113 tons of fish products worth \$4.68 million . . ." *Id.* For a survey of the fishing industry in the South

pears that Tonga's fishing activity is more subsistence oriented, new fisheries are being developed²⁷⁶ and it is quite possible that Tonga may grow more economically dependent on fish resources in the future.

The importance to be attached to a nation's dependency on fish resources has been given explicit recognition in several negotiated solutions of past disputes. The Australia-Papua New Guinea Treaty, for example, makes provision for commercial fisheries in article 21 which states that "the parties shall cooperate in the conservation, management and optimum utilization of Protected Zone commercial fisheries."²⁷⁷

The significance of fisheries to livelihood and economic development were considered by the International Court of Justice in the *Fisheries Jurisdiction Case*.²⁷⁸ In negotiations regarding fishing off Iceland's shores, the first factor that both parties were instructed to take into account was Iceland's entitlement to a preferential share of the fish resources in the area "to the extent of the special dependence of its people upon the fisheries in the seas and around its coasts for their livelihood and economic development."²⁷⁹

In addition, it may be interesting for the South Pacific nations to note the decision of the International Court of Justice in the maritime boundary dispute pending between the United States and Canada regarding the Georges Bank.²⁸⁰ This area is a fertile fishing bank with potential for oil production, which falls into an overlap of the exclusive economic zones claimed by the two countries.²⁸¹ Canada seeks an equidistance delimitation. The United States, pointing to the heavy reliance of the Maine fishing industry on the fish resources of the Georges Bank, is arguing for a more equitable approach to delimitation.²⁸² Whatever solution is reached in that case, the nations of the South Pacific should be well aware that

Pacific, see G. KENT, *supra* note 17, at 12-53.

²⁷⁶ Tonga exported 9.5 tons of albacore tuna in 1976. A new Fisheries Extension Centre is being established at Vava'u and fisheries survey work under the FAO-UNDP marine resources development project has been conducted. PAC. ISLANDS Y.B., *supra* note 24, at 413. See also note 47 *supra*.

²⁷⁷ Treaty between Australia and Papua New Guinea, *supra* note 265, at 26.

²⁷⁸ United Kingdom v. Iceland, [1974] I.C.J. 3.

²⁷⁹ *Id.* at 79. The main issue before the court in the *Fisheries Jurisdiction Case* was Iceland's assertion of a 50-mile fisheries zone. The court was understandably reluctant to render judgment or anticipate the law in light of ongoing Law of the Sea Conference discussion of those issues. *Id.* at 53. This reluctance was well justified in light of subsequent developments—the provisions of the Draft Convention on the Law of the Sea and the practices of nations in asserting their rights to 200-mile economic zones encompassing fisheries jurisdiction. The court's consideration of the special dependence of Iceland's people upon fisheries for their livelihood and economic development is, however, an aspect of the decision of continuing validity.

²⁸⁰ U.S.-Canada Gulf of Maine Treaty, *supra* note 21. See generally Note, *Boundary Delimitation in the Economic Zone: The Gulf of Maine Dispute*, 30 MAINE L. REV. 207 (1979).

²⁸¹ Note, *supra* note 280, at 243.

²⁸² *Id.* See text accompanying notes 253-60 *supra* for a discussion of the application of equitable principles in the Anglo-French Continental Shelf Arbitration.

reliance on fish resources within a disputed area is a factor that has been and will be given strong consideration in resolving problems surrounding maritime boundary disputes.

V. WESTERN SAMOA AND AMERICAN SAMOA

A. Introduction

American Samoa and Western Samoa are separated by a narrow strait thirty-two nautical miles wide. Western Samoa has a land area of 1,100 square miles. Its population in 1976 numbered 155,000.²⁸³ American Samoa encompasses a land area of approximately seventy-seven square miles²⁸⁴ and had a population of about 30,500 in 1979.²⁸⁵ Thus Western Samoa is approximately fourteen and a half times as large as American Samoa in land area and has approximately five times as many residents.

Through a circumstance of geography, American Samoa can potentially claim an exclusive economic zone of 114,000 square nautical miles; if the principle of equidistance were applied, Western Samoa would only be entitled to a zone of 38,100 square nautical miles.²⁸⁶ With Wallis (France) 190 nautical miles to the west, Tafahi (Tonga) 142 nautical miles to the south,²⁸⁷ Swains Island (American Samoa) 190 nautical miles to the north and Tutuila Island (American Samoa) thirty-two nautical miles to the east,²⁸⁸ Western Samoa is blocked in all directions from claiming a full 200-mile exclusive economic zone (see Figure 6). Moreover, Tonga's historic claim creates a conflict at the south-eastern edge of Western Samoa's ocean boundary. The situation is ripe for application of those equitable principles that would give Western Samoa a more proportionate share of ocean territory.

B. Western Samoa

Western Samoa consists of two main islands, Savai'i and Upolu, and the seven small islands of Apolima, Manono, Fanuatopu, Namua,

²⁸³ WESTERN SAMOA, DEP'T OF ECONOMIC DEVELOPMENT, WESTERN SAMOA, NATIONAL GOALS, DEVELOPMENT PRIORITIES AND PUBLIC EXPENDITURE POLICIES: 1975-1979, FIRST REPORT OF THE 1975-1979 ECONOMIC DEVELOPMENT PLAN, at 1.1 ¶ 2 (1974) [hereinafter cited as "1975-1979 WESTERN SAMOA ECONOMIC DEVELOPMENT PLAN"]; PAC. ISLANDS Y.B., *supra* note 24, at 501.

²⁸⁴ AMERICAN SAMOA DEVELOPMENT PLANNING OFFICE, ECONOMIC DEVELOPMENT PLAN FOR AMERICAN SAMOA FY 1979-1984, at III-6 (1979).

²⁸⁵ *Id.* at II-2.

²⁸⁶ J. Prescott, *supra* note 189, table 1.

²⁸⁷ *Id.* This distance is measured from Asuisui on Savai'i Island to the nearest point on Tafahi Island.

²⁸⁸ *Id.*

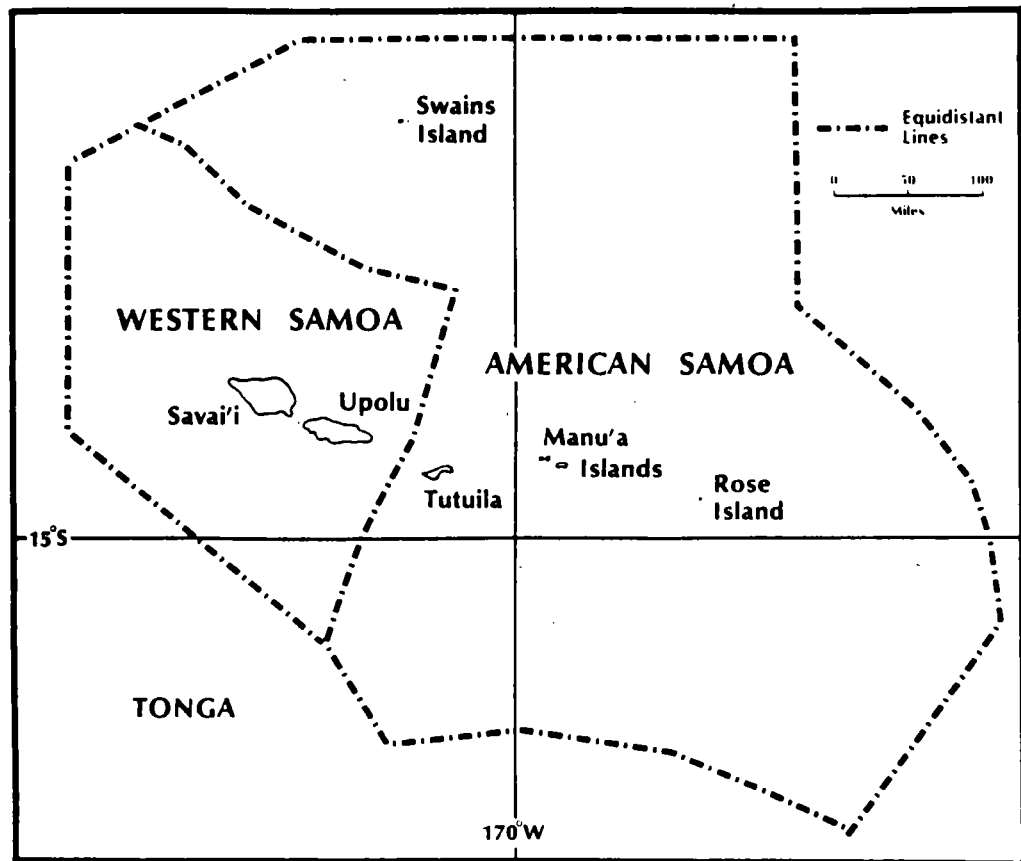


FIGURE 6: AMERICAN SAMOA AND WESTERN SAMOA

Lines illustrate ocean space which would be allotted to each if equidistance lines were used.

Nuutele, Nuulua and Nuusafee.²⁸⁹ The group is an independent state²⁹⁰ and a member of the United Nations, the South Pacific Forum and the (British) Commonwealth of Nations.²⁹¹

In 1977, the Legislative Assembly of Western Samoa passed the Exclu-

²⁸⁹ W. SKINNER, *HANDBOOK OF WESTERN SAMOA* 17 (1925). Savai'i and Upolu comprise most of the land area. Savai'i accounts for 660 square miles; Upolu, 430 square miles. Only Apolima and Manono of the smaller islands are inhabited. The rest are near the fringe reef surrounding Upolu. J. Adren, *The Political Development of Western Samoa from Mandate to Independence* 4-6 (1964) (unpublished Ph.D. thesis at the University of Oklahoma).

²⁹⁰ Western Samoa became an independent state on January 1, 1962. *PAC. ISLANDS Y.B.*, *supra* note 24, at 501.

²⁹¹ *Id.* In 1889, Samoa was divided into Western Samoa and American Samoa along 171°

sive Economic Zone Act of 1977,³⁹² which establishes an exclusive economic zone of 200 miles measured from the baselines described in the Territorial Seas Act of 1971.³⁹³ Despite the potential jurisdictional overlaps resulting from this claim, no reservations have yet been raised by Tonga or American Samoa. Western Samoa has not signed any of the 1958 Geneva Conventions.

C. American Samoa

American Samoa is an unorganized and unincorporated territory of the United States.³⁹⁴ The main group contains six islands: Tutuila, Aunuu, Tau, Ofu, Olosega and Rose Island.

The United States has claimed a three-mile territorial sea around the territory³⁹⁵ and a 200-mile fisheries management zone under the 1976 Fisheries Conservation and Management Act.³⁹⁶ As a territory of the United States, American Samoa is subject to the treaties and conventions which the United States has ratified, including all the 1958 Geneva Conventions.

D. Specific Problem Areas

1. Rose Island

Rose Island is an atoll approximately eighty miles southeast of Tau Is-

west longitude, with Germany acquiring Western Samoa in return for renouncing its claims in Tonga and West Africa to Great Britain. J. HART, *HISTORY OF SAMOA* 87 (1971).

³⁹² Reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18 (1976) at 33 (as noted in Krueger & Nordquist, *supra* note 97, at 348).

³⁹³ Act No. 3 of July 15, 1971 (Western Samoa), reprinted in 29 U.N. GAOR, U.N. Doc. ST/LEG/SER. B/18/Add. 2 at 37 (text transmitted through the Charge' d'Affaires a.i. of New Zealand to the United Nations in a note verbale of July 9, 1974). *Id.*

³⁹⁴ See generally Laughlin, *The Application of the Constitution in United States Territories: American Samoa, A Case Study*, 2 U. HAWAII L. REV. 337, 361-62 (1981). Tate, *What's Going On in American Samoa?* 79 CASE AND COMMENT 26 (1974). Residents of American Samoa are "nationals" of the United States with rights to travel to and from other parts of the American political community. The right to trial by jury was recently declared to be a right of defendants in American Samoa. *King v. Andrus*, 452 F. Supp. 11 (D.D.C. 1977).

After the 1889 division of the two Samoas (*see* note 291 *supra*), the American islands were placed under the jurisdiction of the United States Department of the Navy and designated as Tutuila Naval Station. In 1911, "American Samoa" was adopted as the name of the territory. In 1951, the territory's administration was transferred to the United States Department of the Interior. PAC. ISLANDS Y.B., *supra* note 24, at 45.

³⁹⁵ J. Prescott, *supra* note 189, at 12.

³⁹⁶ 16 U.S.C. §§ 1801-1882 (1976).

land in the Manua Group of American Samoa²⁹⁷ (see Figure 6). It is a naturally formed area of land above water at high tide.²⁹⁸ Plant life apparently exists on the atoll because German promoters of a fishing station planted coconuts on the island around 1870.²⁹⁹ Although presently uninhabited, it is apparently capable of supporting life. Setchell reported in 1924 that one of the Samoans employed in conjunction with the fishing station remained on the island with his family after the project had been discontinued.³⁰⁰ Rose Island thus qualifies as an island under article 121(1) of the Draft Convention³⁰¹ and article 10 of the 1958 Geneva Convention on the Territorial Sea and Contiguous Zone.³⁰² It would therefore be entitled to a territorial sea under article 10(2) of the 1958 Geneva Convention on the Territorial Sea and Contiguous Zone³⁰³ and a territorial sea, contiguous zone, exclusive economic zone and continental shelf under article 121(2) of the Draft Convention.³⁰⁴

2. Swains Island

Swains Island is an atoll with a land area of a little over one square mile³⁰⁵ (see Figure 6). The greatest elevation of land is about six meters.³⁰⁶ The island is historically and geographically a part of the Tokelau Islands.³⁰⁷ It has a small population of people of Samoan and Tokelauan extraction.³⁰⁸

Shortly after 1841, Tokelau Islanders formed a colony on the atoll. Swains Island was originally included within the Tokelau islands as part

²⁹⁷ J. COULTER, LAND UTILIZATION IN AMERICAN SAMOA 43 (1941).

²⁹⁸ W. SETCHELL, AMERICAN SAMOA 227 (1924).

²⁹⁹ *Id.* at 247; J. COULTER, *supra* note 297, at 43.

³⁰⁰ W. SETCHELL, *supra* note 298, at 247.

³⁰¹ Draft Convention, *supra* note 1.

³⁰² Convention on the Territorial Sea and Contiguous Zone, *supra* note 9.

Rose Island would merit consideration as an island under a test even more rigorous than the one adopted in the Draft Convention. Although not presently inhabited, Rose Island, "dont les conditions naturelles permettent la residence stable de groupes humains organizes" and is "capable of effective occupation and control," thus satisfying the more rigorous tests proposed by Lauterpacht. See text accompanying notes 144-55 *supra*; see generally Van Dyke & Brooks, *supra* note 159.

³⁰³ Convention on the Territorial Sea and Contiguous Zone, *supra* note 9.

³⁰⁴ Draft Convention, *supra* note 1.

³⁰⁵ PAC. ISLANDS Y.B., *supra* note 24, at 55.

³⁰⁶ *Id.*

³⁰⁷ Tokelau is a territory directly north of the Samoas under New Zealand administration. *Id.* at 401.

³⁰⁸ HOUSE COMM. ON INTERIOR AND INSULAR AFFAIRS, SPECIAL SUBCOMM. ON TERRITORIAL AND INSULAR AFFAIRS, 84TH CONG., 1ST SESS., REPORT ON AMERICAN SAMOA (Comm. Print No. 4). According to the 1970 census, the population of Swains Island was 74. PAC. ISLANDS Y.B., *supra* note 24, at 55-56.

of the Union Group and was then known as Olosenga.³⁰⁹ The Union Group was subsequently incorporated into the British colonies of Gilbert and Ellice Islands in 1916.³¹⁰ In 1925, Swains Island was annexed by the United States and made an administrative part of American Samoa.³¹¹ Today the island is owned by a single family, which exploits the atoll for copra, producing up to 200 tons per year.³¹²

Although American Samoa, as an island community, can advance many of the equitable arguments in favor of establishing an archipelagic regime for itself, it cannot qualify as an archipelagic regime drawing archipelagic baselines around all its islands under the provisions of the Draft Convention.³¹³ One problem is that if Swains Island is used as one of the base points for drawing archipelagic baselines, the resulting group would not satisfy the water-to-land ratio test.³¹⁴ In addition, the recent United States policy has been to refrain from recognizing archipelagic regimes and no attempt has thus been made to declare archipelagic status for American Samoa. Finally, because the Draft Convention permits only "States" to declare themselves archipelagoes, American Samoa may be foreclosed from making such a claim.³¹⁵

If independence were declared, however, or if the "States" requirement in the Draft Convention were interpreted to include dependent territories, American Samoa could follow the precedent of Fiji and declare an archipelago using its main islands as base points, excluding Rose and Swains Islands.³¹⁶ The ocean between Swains Island and the main islands would then be included in an exclusive economic zone but would not be subject to those sovereign rights adhering to archipelagic waters.

If the United States declares a 200-mile exclusive economic zone around American Samoa, Swains Island could permit American Samoa to declare ocean boundaries disproportionate in relation to its neighbors (see Figure 6). Swains Island could account for approximately one-third of the total claim for American Samoa and at the same time severely restrict the claims of Western Samoa and Tokelau.³¹⁷ Application of the principle of

³⁰⁹ PAC. ISLANDS Y.B., *supra* note 24, at 56.

³¹⁰ Swains Island is historically closer to the Tokelaus as evidenced by the large number of Tokelau Islanders that originally lived on the island and the number of Tokelau-style homes still in existence there. J. GRAY, AMERIKA SAMOA 211 (1960); PAC. ISLANDS Y.B., *supra* note 24, at 56.

³¹¹ H.R.J. Res. 294, 64th Cong., 1st Sess., 43 Stat. 1357 (1925).

³¹² The island is owned by the Jennings family. PAC. ISLANDS Y.B., *supra* note 24, at 56.

³¹³ Draft Convention, *supra* note 1, arts. 46-54; Krueger & Nordquist, *supra* note 97, at 13.

³¹⁴ See Draft Convention, *supra* note 1, art. 47, quoted at text accompanying note 103 *supra*.

³¹⁵ Draft Convention, *supra* note 1, arts. 46-47.

³¹⁶ See section III-A *supra*.

³¹⁷ See J. Prescott, *supra* note 189, at 15.

equidistance would not appear to produce equitable results in this case. Instead, this situation presents another occasion in which it may be more equitable to apply the approaches used in the Anglo-French arbitration.³¹⁸

Swains Island is only 175 kilometers from the Tokelaus but is 450 kilometers from American Samoa's main island of Tutuila.³¹⁹ The geographic location of Swains Island is therefore comparable to that of the British Channel Islands, which are closer to France than to the United Kingdom, but are under the latter's jurisdiction.³²⁰ As discussed earlier,³²¹ in the Anglo-French Arbitration, the court found that the Channel Islands were a special circumstance because of geographic facts³²² and devised a creative solution to delimit the boundaries. The court ruled that the Channel Islands were on France's continental shelf and thus surrounded by French ocean space.³²³ It then created an "enclave" to be measured twelve miles seaward from the natural baselines of the Channel Islands, which then became British ocean space.³²⁴

Tokelau would be able to present additional equitable arguments to justify creation of such an "enclave." Swains Island has traditional ties to Tokelau and has very limited economic importance to American Samoa.

Alternatively, the effect of Swains Island on the boundaries could be minimized in the manner proposed by the Anglo-French court for the Cornish Scilly Islands.³²⁵ Once again, the court recognized a "special circumstance" based on the tendency of these islands to distort the equidistant line. The equidistant line was modified by giving only "half effect" to the Scilly Isles as base points.³²⁶ The court developed one equidistant line using the Scilly Isles and another ignoring its existence; the resulting triangle was then divided in half.³²⁷

This approach could be followed in the Swains Island situation. One equidistant line using Swains Island and Tutuila as base points could be drawn. A second equidistant line, ignoring Swains Island could then be drawn, and some fraction, possibly one-half, of the resulting extension could be awarded to Tutuila.

The location of Swains Island presents another potential delimitation problem in that declaration of a 200-mile exclusive economic zone around

³¹⁸ Anglo-French Arbitration, *supra* note 6. See text accompanying notes 253-56 *supra*.

³¹⁹ PAC. ISLANDS Y.B., *supra* note 24, at 55-56.

³²⁰ See text accompanying notes 253-56 *supra*.

³²¹ *Id.*

³²² Anglo-French Arbitration, *supra* note 6, ¶ 196, 18 I.L.M. at 443-44.

³²³ *Id.* ¶ 201, 18 I.L.M. at 444.

³²⁴ *Id.* ¶ 202, 18 I.L.M. at 444-45.

³²⁵ *Id.* ¶¶ 248-55, 18 I.L.M. at 455-56; see text accompanying notes 257-60 *supra*.

³²⁶ *Id.* ¶ 253, 18 I.L.M. at 456.

³²⁷ *Id.* ¶¶ 250-55, 18 I.L.M. at 455-56.

Swains Island would create an overlap with the zone claimed by Western Samoa, further boxing in that country's maritime space³²⁸ (see Figure 6). Application of the proportionality principle, recognized by the International Court of Justice in the *North Sea Cases*, may be appropriate to a delimitation between American Samoa and Western Samoa.³²⁹ The court held that a reasonable degree of proportionality should exist between the extent of the continental shelf and the lengths of the coastlines of the respective nations.³³⁰

Because no continental shelf exists in this area of the Pacific, a modified proportionality principle might be more appropriate to the Samoan delimitation. Since the countries are island states, a more significant consideration than the length of the coastlines would be the land area of the two Samoas. If the equidistance principle is utilized, Western Samoa, with a land area more than fourteen times that of American Samoa, would be entitled to only a third of the expanse of exclusive economic zone that American Samoa could claim. Proportionality, as applied in this context, would require giving Western Samoa a larger exclusive economic zone.

Once again, the solutions applied to ameliorate the disproportionate effect of the Channel Islands and Scilly Isles in the Anglo-French case could be useful in achieving a fair resolution.³³¹

3. *Tafahi and Niuatoputapu Islands (Tonga)*

Tafahi and Niuatoputapu are located 127 nautical miles from the island of Vavau in the main Tongan group (see Figure 1). Tafahi is 142 nautical miles from Western Samoa.³³²

The Channel Islands' solution³³³ may provide one possible model for resolving this potential overlap between Tonga's and Western Samoa's exclusive economic zones. Tafahi and Niuatoputapu could be treated as enclaves and accorded twelve-mile fisheries zones.³³⁴ Tafahi and Niuatoputapu might then be discounted as base points in drawing an equidistant line.³³⁵ In the alternative, both Tongan islands might be given

³²⁸ See note 317 *supra* and accompanying text.

³²⁹ [1969] I.C.J. 4, 52, 54.

³³⁰ *Id.*

³³¹ See notes 253-61 *supra* and accompanying text.

³³² J. Prescott, *supra* note 104, at 21. The importance of Niuatoputapu to Tonga was recently underscored. In June 1981, Tonga's Deputy Prime Minister, Baron Tuita, told the legislative assembly that the two most northerly islands, Niuatoputapu and Niua-foou, would gain the right to elect a people's representative to Parliament. 52 PAC. ISLANDS MONTHLY 44-45 (1981).

³³³ See text accompanying notes 253-56 *supra*.

³³⁴ See text accompanying note 256 *supra*.

³³⁵ *Id.*

half-effect, as were the Scilly Isles.³³⁶

Given the overriding importance to Tonga of its historic claim,³³⁷ Tonga may prefer to rely solely on that claim. Since Tafahi and Niuatoputapu lie near the northeastern boundary of the historic claim, Western Samoa would experience a minor reduction in its exclusive economic zone, but one that would be substantially less than under the above principles. Western Samoa would thus have an incentive to recognize Tonga's historic claim if it is considered to be the boundary of an exclusive economic zone. This recognition would also benefit Tonga in establishing its claim and negotiating with its other neighbors.

VI. SUMMARY AND CONCLUSION

Official attention to boundary delimitation in the South Pacific has thus far been limited. Perhaps the problems of overlapping ocean boundary lines have not become a matter for serious concern because the inhabitants are not yet able to exploit the far reaches of their exclusive economic zones sufficiently to encounter conflict with their neighbors. One could also speculate that the "Pacific way" might even allow for unlimited joint use. An overall regional regime may well make sense in many instances. The South Pacific nations have recognized such a peaceful approach through their continuing efforts in the South Pacific Forum, the Forum Fisheries Agency³³⁸ and the South Pacific Commission regarding fisheries utilization.

Pressure from other nations, however, may change this situation dramatically. The land resources of Western Samoa and Tonga are of little sustaining economic value, and both nations are looking to the sea to broaden their economic bases and improve the diet of their peoples.³³⁹ Both have expressed concern for the possibility of overfishing of their waters by foreign fishing vessels and interest in the establishment of rational fisheries management and conservation techniques.³⁴⁰

³³⁶ See text accompanying note 260 *supra*.

³³⁷ See note 26 *supra* and accompanying text.

³³⁸ See generally Van Dyke & Heftel, *supra* note 17.

³³⁹ 1975-1979 WESTERN SAMOA ECONOMIC DEVELOPMENT PLAN, *supra* note 283, at 64-65; 1975-1980 TONGA DEVELOPMENT PLAN, *supra* note 19, at 206-08.

³⁴⁰ According to Mr. Slade, Western Samoa's delegate to the 1974 Conference on the Law of the Sea:

Western Samoa was therefore greatly concerned to see other countries with the most sophisticated of fishing technology indiscriminately taking fish, often well within its territorial waters, and rapidly depleting its resources. It had not the means to monitor or to counter those activities and was thus quite helpless in the face of large-scale foreign fishing which was likely to result in over-fishing of stocks.

I UNCLOS III OR at 84, U.N. Sales No. E.75 V.3 (1974).

All these island communities face a problem in policing their waters. Their naval power is limited compared to the developed nations of the world, and, in some cases, it is nonexistent. Their lack of sophisticated vessels places them in a competitively disadvantageous position for pursuing fish, petrochemicals or polymetallic nodules.

Clarification of ocean boundaries may be necessary in order to exclude foreign fishing vessels, to negotiate joint fishing agreements and to impose fees, royalties or other forms of reimbursement for fish taken from national waters. If other resources are discovered, such as petroleum or polymetallic nodules, the financial impetus for drawing boundaries will be even greater. If proposals are made to use the seabed for dumping or emplacement of nuclear wastes, environmental concerns will certainly lead to the desire for clear boundaries. These are all matters over which every state has the right to exercise control.

Both Tonga and Fiji claim uninhabited reef areas far from their main island groups. No dispute yet exists regarding these claims, but whether these reefs can or should generate 200-mile resource zones remains in doubt. The Tongan situation is particularly complex, because the Tongans have built artificial land structures on top of a reef that is below water at high tide. At the very least, one can conclude that these reefs should not be able to generate zones that would infringe upon the established zones of other nations. They arguably should not generate any zones whatsoever because these zones would reduce the resources available to the common heritage of humankind.

Tonga's historic title claim of 1887 may also create difficulties. Was the Proclamation originally issued to claim the waters themselves or only to give clear guidance to the locations of the islands claimed? Should the historic claim be considered one for a territorial sea, a modified archipelago or a type of resource zone? A historic claim should certainly be given due consideration in any ultimate resolution, but the actual weight to be given must be determined through good-faith negotiations.

The problem involving the two Samoas appears to require maximum flexibility in order to ameliorate the harshness of geographic realities because a solution based on the drawing of median lines between the two island groups leads to a result clearly inequitable to Western Samoa. Swains Island, separate from the main islands of American Samoa and only sparsely inhabited, appears to be a "special circumstance" demanding careful analysis in negotiations.

The Pacific Island communities have a strong record of resolving their differences in a "Pacific way," through agreements reached by respect and understanding. The boundary problems of this region have their own unique characteristics, but are not totally unlike problems that have been addressed and resolved in other regions. The Pacific communities may be

able to draw upon these recent solutions, and may in turn be able to negotiate agreements that can serve as models to be used elsewhere.